



## Self-Assessment Ducks

This document has been provided for our members as a checklist which can be used at any time to determine if a farm is meeting the Red Tractor standards for ducks.

This includes the wording of our standards; however, members should refer to the detail within the Ducks Manual to ensure sound understanding of the 'How You Will Be Measured' section as this is what assessors will be auditing on farm.

Standard	Enterprise	Description	Do you meet the standard?			Corrective action
Documents & Procedures						
DP.1 Key	GF, BL, BR, FR, H	The farm, as a whole, must present an acceptable and tidy appearance to the general public. The site management must not present a food safety, animal welfare or environmental risk. (Upgraded)	Y	N		
DP.1.1	GF, BL, BR, FR, H	A farm map must be in place (Revised)	Y	N		
DP.2	GF, BL, BR, FR, H	A documented plan for the effective management of serious incidents and potential emergency situations that threaten food safety, legality or the environment must be in place and known to those involved in farm tasks. (Revised)	Y	N		
DP.2.1	GF, BL, BR, FR, H	You must contact Red Tractor and/ or your Certification Body immediately if a serious incident or emergency threatens the welfare of birds (e.g. stocking density breach), food safety or the environment occurs on farm	Y	N		
DP.3	GF, BL, BR, FR, H	Systems must be in place for recording, investigating and resolution of any complaints that are relevant to the requirements of the Red Tractor Standards (Revised).	Y	N		
Personnel						
PL.1 Key	GF, BL, BR, FR, H	Systems must be in place to ensure all new personnel are effectively trained and deemed	Y	N		





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HF.1 Key	GF, BL, BR, FR, H	Housing /Hatchery must be constructed and maintained to provide a safe and secure environment for birds/ducklings (Revised)	Y	N		
HF.1.1	GF, BL, BR, FR, H	The sound level in housing must be minimised (Revised)	Y	N		
HF.2	GF, BL, BR, FR, H	Housing/ the hatchery must be appropriately and effectively ventilated (Revised)	Y	N		
HF.2.1	GF, BL, BR, FR, H	Temperature records must be kept	Y	N		
HF.2.2	GF, BL, BR, FR	A written policy must be in place outlining recognition of and procedures to be followed in the event of heat or cold stress occurring (Revised)	Y	N		
HF.3	GF, BL, BR, FR, H	Floors must be constructed and maintained in a manner that minimises the risk of injury	Y	N		
HF.4 Key	GF, BL, BR, FR, H	Conditions within the housing must be maintained in a manner that ensures birds are able to keep clean	Y	N		
HF.4.1	GF, BL, BR, FR, H	Surfaces within housing/ hatchery must be in good condition and must be able to be cleaned and disinfected	Y	N		
HF.4.2	GF, BL, BR, FR	Flocks are provided with clean, fresh bedding to a minimum depth of 2cm	Y	N		
HF.4.3	GF, BL, BR, FR	Bedding must remain in a dry, friable condition	Y	N		
HF.4.5	GF, BL, BR, FR	Fixed housing must have a minimum of a 1 metre 'door mat'	Y	N	n/a	
HF.4.7	H	Ducklings must be loaded into clean duckling boxes	Y	N	n/a	



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HF.4.8	H	Drains in production areas are accessible and cleanable	Y	N		
HF.5	GF, BL, BR, FR, H	Housing /hatchery must be lit to allow normal behaviours, rest and effective inspection of birds /ducklings (Revised)	Y	N		
HF.5.1	GF, BL, BR, FR	Lighting follows a 24 hour rhythm (Revised)	Y	N		
HF.5.2	FR	Birds must have access to the range for at least half their lives	Y	N	n/a	
HF.5.3	FR	Facilities to reduce light must be available	Y	N	n/a	
HF.5.4	FR	Windows equating to a minimum of 1% of the floor area must be fitted in all buildings which house birds (Upgraded)	Y	N		
HF.5.5 (Recommendation)	GF, FR	It is recommended that windows equating to 3% of the floor area fitted in all buildings which house birds (New)	Y	N		
HF.6	GF, FR, BR, BL, H	Housing/chick areas must be of sufficient size	Y	N		
Hf.6.1	GF, BL, BR, FR	Houses must have plates which highlight important information	Y	N		
HF.7	GF, FR, BR	Housing areas must provide for the specific needs of young birds	Y	N		
HF.8	GF, BL, BR, FR, H	Automatic equipment is inspected once a day	Y	N		
HF.8.1	GF, BL, BR, FR, H	An alternative power supply must be available which is capable of supplying all essential electrical systems within the poultry houses/hatchery	Y	N		
HF.8.2	GF, BL, BR, FR	An alarm system must be in place which alerts stockmen to ventilation equipment failure	Y	N		
HF.8.3	H	Alarms must be responded to within 15 minutes	Y	N	n/a	



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HF.8.4	H	Incubation equipment is alarmed for high and low temperatures and mains failure	Y	N	n/a	
HF.8.5	H	All incubators in operation must be checked twice daily for temperature, humidity and direction of turn (New)	Y	N	n/a	
HF.8.6	H	Duckling and egg disposal equipment must be checked daily by trained operators to ensure it is working effectively, and a record kept of the outcome (New)	Y	N	n/a	
HF.9	GF, BL, BR, FR, H	Fire prevention measures must be taken	Y	N		
<b>Feed and Water</b>						
FW.1 Key	GF, BL, BR, FR	Birds must be provided with sufficient feed	Y	N		
FW.2	GF, BL, BR, FR	Birds must be provided with sufficient access to feed (Revised)	Y	N		
FW.2.1	BL, BR	Controlled feeding programmes must be agreed with a vet or nutritionist and reviewed annually	Y	N	n/a	
FW.3 Key	GF, BL, BR, FR	Birds must be provided with adequate access to a supply of fresh, clean drinking water (Revised)	Y	N	n/a	
FW.3.1	GF, BL, BR, FR, H	A system must be in place to ensure that any water supply problems are identified and rectified within a timescale that does not compromise welfare	Y	N		
FW.4 Key	GF, BL, BR, FR	Feed must be suitable	Y	N		
FW.5	GF, BL, BR, FR	Bought-in feed must be from an assured source or in specific circumstances with warranty declaration	Y	N		
FW.6	GF, BL, BR, FR	Records of all feedstuffs purchased must be kept	Y	N		
FW.7	GF, BL, BR, FR	When mixing two or more feed materials together records must be kept	Y	N	n/a	



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FW.8	GF, BL, BR, FR	When the mix formulation changes samples must be kept	Y	N	n/a	
FW.9	GF, BL, BR, FR	If mixing using 'pre-mixtures', 'additives' or medicated feeds you have Local Authority approval and meet any associated obligations	Y	N	n/a	
FW.10	GF, BL, BR, FR	Mobile feed milling and/or mixing contractors must be suitably certified	Y	N	n/a	
FW.11	GF, BL, BR, FR	Controls must be in one place to minimise the risk of contamination of feeds by machinery and equipment	Y	N	n/a	
FW.12 Key	GF, BL, BR, FR	Feed must be stored in a manner which minimises the risk of contamination (Revised)	Y	N	n/a	
<b>Animal Health and Welfare</b>						
AH.1 Key	GF, BL, BR, FR	A Flock Health Plan to proactively manage and improve health and welfare of birds must be established and implemented (Revised)	Y	N		
AH.2	GF, BL, BR, FR, H	Records of the health and performance of all birds must be maintained	Y	N		
AH.3	GF, BL, BR, FR	Health and performance records must be reviewed regularly	Y	N		
AH.4	GF, BL, BR, FR, H	The health and welfare of birds must be met at all times	Y	N		
AH.4.1	GF, FR	Environmental enrichment must be provided from day 3 at the latest (Revised)	Y	N		
AH.5	GF, BL, BR, FR	The health and welfare of birds must be checked regularly	Y	N		
AH.5.1	GF, FR	Signs of hock marking and pododermatitis must be monitored and recorded	Y	N		



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AH.6 Key	GF, BL, BR, FR, H	Birds must be handled in a way that avoids injury and minimises stress	Y	N		
AH.7 Key	GF, BL, BR, FR, H	All persons looking after the health and welfare of the birds/ducklings must be demonstrably competent	Y	N		
AH.7.1	GF, BL, BR, FR, H	There must be a named Welfare Officer who is responsible for co-ordinating welfare on-farm/in the hatchery (New)	Y	N		
AH.7.2 Key	GF, BL, BR, FR, H	All poultry farms/hatcheries must retain the services if a named veterinary surgeon on practice	Y	N		
AH.8	GF, BL, BR, FR, H	Sick or injured birds/chicks must receive prompt attention in order that suffering is not prolonged	Y	N		
AH.9 Key	GF, BL, BR, FR, H	Birds that do not respond to treatment or require emergency euthanasia must be promptly and humanely euthanased by a trained and competent person	Y	N		
AH.10	GF, BL, BR, FR	Flocks must be monitored for Salmonella under the National Control Plan	Y	N		
AH.10.1	GF, BL, BR, FR, H	Breeder Layer and Breeder Replacement flocks must be monitored for Salmonella under the National Control Plan	Y	N		
AH.10.2	H	Hatcheries must be monitored for Salmonella	Y	N		
<b>Hatchery Eggs</b>						
HC.1	H	Eggs from flocks infected with Salmonella enteritidis or Salmonella typhimurium must not be admitted to the hatchery	Y	N		
HC.3	H	One way product flow must be maintained from egg receipt to chick dispatch	Y	N		



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HC.4	H	Incoming air must be filtered and a positive airflow from eggs to chicks must be maintained (Revised)	Y	N		
HC.5	H	Differentiation must be maintained between 'clean' and 'dirty' areas	Y	N		
HC.6	H	All eggs must be fumigated or sanitised prior to setting (New)	Y	N		
HC.7	H	Incubation hatcher and setter equipment must be easy to clean, in good working order and sealed to the floor	Y	N		
HC.7.1	H	Automation and conveyors must not cause injury to ducklings	Y	N		
HC.7.2	H	The appropriate incubator or hatchery programme for the machine used must be available for inspection	Y	N		
HC.8	H	The hatchery must have a dedicated egg store and designated setter room	Y	N		
HC.9	H	Eggs must be stored in a temperature and humidity controlled room (Revised)	Y	N		
HC.10	H	Hatching eggs must be identifiable (Revised)	Y	N		
HC.11	H	The hatchery must retain production records for each consignment of eggs (Revised)	Y	N		
HC.12	H	Eggs and ducklings must be transported in purpose built vehicles (Revised)	Y	N		
HC.13	H	A vehicle cleaning and sanitation policy is in place	Y	N		
HC.14	H	Injured or sick chicks must not be transported (New)	Y	N		
HC.15	H	The time between hatch and unloading at the farm must not exceed 72 hours (New)	Y	N		





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HC.16	H	Ducklings must be placed in clean, dry ventilated containers (New)	Y	N		
<b>Breeder Layer Eggs</b>						
BE.2	BL	Egg collecting staff must sanitise their hands prior to handling eggs and between handling floor eggs and nest eggs	Y	N		
BE.3	BL	All eggs found to be cracked, defective or dirty must be identified and rejected. These eggs must be kept separate from clean eggs	Y	N		
BE.4	BL	Eggs must be collected at minimum frequencies	Y	N		
BE.5	BL	All eggs selected for hatching must be placed in clean, dry trays and placed into suitable storage rooms as soon as possible	Y	N		
BE.6	BL	Hatching eggs must be marked with a black mark using an indelible pen	Y	N		
BE.7	BL	Each trolley must be marked with the breeder farm and date laid	Y	N		
BE.8	BL	Egg collection records must be kept	Y	N		
<b>Biosecurity and Disease Control</b>						
BI.1 Key	GF, BL, BR, FR, H	A documented Biosecurity Policy must be in place (Revised)	Y	N		
BI.2	GF, BL, BR, FR, H	Access to the farm must be limited to essential visitors only and visitor records must be kept (Revised)	Y	N		
BI.3 Key	GF, BL, BR, FR, H	The biosecure areas on the farm/hatchery must be defined (Revised)	Y	N		



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BI.4	GF, BL, BR, FR, H	Controls on access to the site must be in place and risk of disease spread by vehicles must be minimised (Revised)	Y	N		
BI.5 Key	GF, BL, BR, FR, H	Farm dedicated protective clothing must be supplied to and worn by all when on-farm/in the hatchery (Revised)	Y	N		
BI.6 Key	GF, BL, BR, FR, H	Risk of disease spread from footwear is minimised (Revised)	Y	N		
BI.7 Key	GF, BL, BR, FR, H	Hand cleanliness is maintained (Revised)	Y	N		
BI.8 Key	GF, FR	The farm must operate a period free of all birds between flock cycles (Revised)	Y	N		
BI.9	GF, BL, BR, FR	Permanent standing water must not accumulate on the farm	Y	N		
BI.10	GF, BL, BR, FR, H	Employed and contracted staff must not keep other poultry or any avian species (Revised)	Y	N		
BI.11	GF, BL, BR, FR, H	Toilets, offices, stores and break rooms must be kept clean and tidy	Y	N		
BI.12	GF, BL, BR, FR, H	There must be no smoking or consumption of food within bird areas (Revised)	Y	N		
BI.13	GF, BL, BR, FR, H	Pets and other animals must not have access to poultry houses and service buildings	Y	N		
BI.14	FR	Farms must be capable of implementing a compulsory housing order	Y	N		
BI.14.1 (Recommendation)	FR	It is recommended that each free range farm should only rear a single species at any given time	Y	N		
<b>Animal Medicines</b>						
AM.1 Key	GF, BL, BR, FR, H	Only authorised veterinary medicines are used (Revised)	Y	N		



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AM.2 Key	GF, BL, BR, FR, H	Veterinary medicines must be used appropriately (Revised)	Y	N		
AM.3	GF, BL, BR, FR, H	Veterinary medicines must only be administered by demonstrably competent persons	Y	N		
AM.4	GF, BL, BR, FR, H	Veterinary medicines must be stored appropriately (Revised)	Y	N		
AM.5	GF, BL, BR, FR, H	Purchase records for all veterinary medicines must be kept	Y	N		
AM.6 Key	GF, BL, BR, FR, H	Records must be kept of all administered veterinary medicines (paper and/or electronic) (Revised)	Y	N		
AM.7	GF, BL, BR, FR, H	Veterinary medicines, their containers and administration equipment must be disposed of responsibly	Y	N		
AM.8	GF, FR	Total antibiotic used per crop must be measured as milligrams active antibiotic per population corrective unit (mg/PCU) and recorded	Y	N		
AM.8.1	GF, BL, BR, FR	Prophylactic administration of antibiotics is not permitted	Y	N		
AM.8.2	GF, BL, BR, FR	Antibiotic use must be supported by veterinary intervention	Y	N		
AM.9 Key	GF, BL, BR, FR	The use of 3rd and 4th generation cephalosporins, glycopeptides and colistin are not permitted	Y	N	n/a	
AM.9.1 Key	GF, BL, BR, FR	Use of macrolides and fluroquinolones must only be as a last resort, under veterinary direction (Revised)	Y	N	n/a	
AM.10 Key	GF, BL, BR, FR	Systems must be in place to ensure birds receiving veterinary medicines are not presented for	Y	N		



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		slaughter before the withdrawal period has expired				
<b>Husbandry Procedures</b>						
HP.1	GF, FR	Mutilations are not permitted	Y	N		
HP.2	BL, BR	Husbandry procedures are carried out by competent persons in accordance with scheme requirements and only when necessary	Y	N		
<b>Fallen Stock</b>						
FS.1	GF, BL, BR, FR, H	Fallen stock must be removed from housing/pens/fields	Y	N		
FS.2 Key	GF, BL, BR, FR, H	Carcases of fallen and euthanased stock and IMD waste must be stored securely and in a manner that protects them from vermin and other animals (Revised)	Y	N		
FS.3	GF, BL, BR, FR, H	Carcases must be disposed of correctly, either by a licenced collector or by approved on-farm incineration	Y	N		
<b>Environmental Protection and Contamination Control</b>						
EC.1 Key	GF, BL, BR, FR, H	Potential pollutants must be stored in a manner that minimises the risk of contamination and pollution to crops, feedstuffs, animals, soils, groundwater and watercourses. (Revised)	Y	N		
EC.1.1	GF, BL, BR, FR, H	Organic manures must be stored in a manner that minimises the risk of contamination and pollution to crops, feedstuffs, animals, soils, groundwater and watercourses. (Revised)	Y	N		



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EC.2	GF, BL, BR, FR, H	In the case of packaging breakages potential pollutants must be transferred to a suitable container (Revised)	Y	N	n/a	
EC.4 Key	GF, BL, BR, FR, H	PPPs must be approved and appropriate for their intended use. (Revised)	Y	N	n/a	
EC.4.1	GF, BL, BR, FR, H	PPPs are mixed/handled in a manner that minimises the risk of contamination and pollution. (Revised)	Y	N	n/a	
EC.5 Key	GF, BL, BR, FR, H	PPPs must be applied in a manner that minimises the risk of contamination and pollution (Revised)	Y	N	n/a	
EC.6	GF, BL, BR, FR, H	PPP application must be undertaken by competent operators.	Y	N	n/a	
EC.7	GF, BL, BR, FR, H	All PPP application equipment must be maintained and tested. (Revised)	Y	N	n/a	
EC.8	GF, BL, BR, FR, H	Records must be kept of all PPP applications. (Revised)	Y	N	n/a	
EC.8.1	GF, BL, BR, FR, H	Where PPPs are used on crops an Integrated Pest Management (IPM) plan is completed (Upgraded)	Y	N	n/a	
EC.9 Key	GF, BL, BR, FR, H	Manufactured fertilisers and organic manures must be applied in a manner that minimises the risk of contamination and pollution. (Revised)	Y	N	n/a	
EC.10	GF, BL, BR, FR, H	All manufactured fertiliser application equipment must be maintained and calibrated at least annually (Revised)	Y	N	n/a	
EC.11 Key	GF, BL, BR, FR, H	All wastes which cannot be utilised are disposed of in a manner that minimises the risk of contamination and pollution. (Revised)	Y	N		



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EC.12	GF, BL, BR, FR, H	Systems are in place to manage waste responsibly (Revised).	Y	N	n/a	
<b>Depopulation</b>						
DE.1	GF, BL, BR, FR	The person responsible for birds must be present at depopulation	Y	N		
DE.2	GF, BL, BR, FR	A loading area must be available for the loading birds for transport and must be clean, tidy and hygienically managed	Y	N		
DE.3 Key	GF, BL, BR, FR	Feed must not be withdrawn for more than nine hours prior to catching	Y	N		
DE.3.1	BL, BR	Water must be provided up to transfer and must be available in the destination house prior to unloading the birds	Y	N		
DE.4	GF, BL, BR, FR	Water must be available up to catching	Y	N		
DE.5	GF, BL, BR, FR	There must be a system in place for a designated person to inspect forklifts and other catching equipment, including catchers' transport, for cleanliness and disinfection with a Defra approved disinfectant prior to entry to the biosecure area (Revised)	Y	N		
DE.6	GF, BL, BR, FR	Clean and tidy facilities must be made available for catchers to use during breaks	Y	N		