

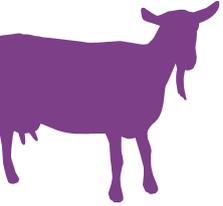


# DAIRY GOATS STANDARDS

NOVEMBER 2021



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# DAIRY GOATS STANDARDS

## DEAR VALUED MEMBER,

**Thank you for being part of the Red Tractor Dairy Goats assurance scheme.**

These comprehensive standards underpin our industry's strength and credibility, securing its future as a world-leader in animal health and welfare, while enhancing consumer trust in goat milk's traceability and safety.

They have been written, reviewed and approved by our dairy goats working group, which is made up of farmer representatives, leading industry experts and supply chain stakeholders.

It is worth remembering that some standards have been introduced or revised to help our members adapt to changes in legislation or meet evolving supply chain demands.

We are pleased to have consensus on advancing our sector in important areas, such as staff training. Also included is a recommendation on implementing a breeding and management policy to ensure minimal euthanasia of kids.

These are necessary standards for our members as they will drive our sector forward, carefully balancing the evolving needs of consumers, farmers, processors and retailers.

In an ever-changing world, Red Tractor assurance is your definitive point of reference for peace of mind that your farm business meets key requirements to supply the food chain, without the need for additional checks and cost.

For example, your scheme aligns with the Campaign for Responsible Rodenticide Use (CRRU) Code of Practice. This means you can purchase and use effective rodenticides without the extra cost of training and licensing.

Building on the sector's already greatly improved reputation around antibiotic use, Red Tractor's medicine standards align with the new Responsible Use of Medicines in Agriculture (RUMA) Alliance. This helps to deliver against the dairy goat sector's commitments to use antibiotics responsibly, improve staff training and minimise the development of antibiotic resistance.

With ever-increasing interest and scrutiny of how food is produced, compliance with these standards not only serves to protect the reputation of your own farm, but the entire sector in which you play a vital part.

Here's to a secure and sustainable future for British farming.

Best wishes,

A handwritten signature in black ink that reads 'A Rhodes'.

**Angela Rhodes**

Chair, Red Tractor dairy sector board



The Red Tractor assurance journey begins on farms, and **our members have a vital role to play** in building and maintaining the trust of the British public.

As the provider of the UK's largest and most comprehensive food standards scheme, Red Tractor is the lynch pin for the entire supply chain, balancing legislation and the competing demands of consumers, farmers, processors and retailers.

**Our Standards are written, revised and approved by our Technical Advisory Committees and Sector Boards in close consultation with farmer representatives and leading industry experts, all working to future-proof the industry.**

This is essential given the demand for food traceability, growing consumer awareness of animal welfare and pesticide issues and a need to protect and enhance the environment.

Please note that the standards must be read in conjunction with the **Member Rules** at the back of this manual, which all scheme members are bound by.

For more information on the Red Tractor Assurance Scheme, visit: **[redtractorassurance.org.uk](http://redtractorassurance.org.uk)**.





# HOW TO USE THIS GUIDE

Our standards are organised in sections. The **AIM** of each standard or group of standards is clearly explained. All of the words against each standard, including the column **'How you will be measured'**, form part of it.

Standard coding begins with a two-letter prefix which identifies the section (e.g. EC for Environmental Protection and Contamination Control). You may notice that the codes are not always consecutive – rest assured that no information is missing from this guide.

Assessors will use this code together with one to identify the enterprise to which it relates (e.g. B or L for Beef & Lamb) to record any non-conformances on the report at the end of the assessment.

Look out for the **guidance boxes** throughout this guide – these offer useful tips to help you meet the relevant standard.

**Key** while all standards must be met, particular attention should be paid to these as they can have implications for your certification

**Recommendation** this is not a standard and a non-conformance raised will not affect your certification. However these are recommended actions to undertake to help demonstrate working to Red Tractor and industry core principles

**New** a completely new standard which the member must now adhere to, or a new recommendation

**Revised** a standard that has changed and requires the member to take some different or additional action to before

**Upgraded** the standard has been upgraded to a Key standard or from a Recommendation to a full standard

**Appendix** indicates that additional information is provided in the Appendices at the back of this manual and can also be found by visiting: [redtractorassurance.org.uk](https://redtractorassurance.org.uk)

**R** this icon indicates that a **record** is required and suggests potential documentary evidence which could be used to show compliance

## WHERE TO FIND HELP



At the end of each section this icon indicates where you can get **additional information**, should you need it.

Visit our website: [redtractorassurance.org.uk](https://redtractorassurance.org.uk) for additional help.



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# HOW THE RED TRACTOR ASSURANCE SCHEME WORKS

Red Tractor is the body that sets the standards a member must conform to in order to be certified.

We license independent contractors, known as certification bodies, to carry out assessments and certification. They manage membership and will be your day-to-day contact.

All our certification bodies work to the same standards and procedures, and all their assessors have relevant training and experience. You can choose any one and get the same service, just like getting an MOT for your vehicle.

**You must conform to the standards and the membership rules at all times to be a member.** It is important to keep up to date of any changes because both standards and membership rules may occasionally be amended. Please check: [redtractorassurance.org.uk](https://redtractorassurance.org.uk) for updates to standards.



## HOW TO JOIN

To become Red Tractor Assured, first choose one of our licensed certification bodies. You can see the full list of our certification bodies and the standards they can certify on the back page of this booklet.

To join the scheme, contact any one of the certification bodies and they will send you an application pack. You can apply to join at any time throughout the year and your membership must be renewed annually.

Before applying, make sure you read the membership rules and the standards you must conform to. These detail what you need to do and how you will be assessed.

If applying for more than one scheme, your certification body may apply a discount and

will coordinate the assessment together in a single visit where possible.

You are welcome to choose a different certification body for each of the various enterprises or activities you wish to be certified for and the relevant assessments will be conducted separately.

**It is possible to change your certification body at any time and maintain your 'Red Tractor Assured' status, provided you have no outstanding non-conformances or obligations.**

Please pay particular attention to the limitation of liability provisions set out in Rules 74 – 76.



Remember to provide your certification body with your up-to-date contact details and add **rtfcomms@redtractor.org.uk** to your email contacts to make sure you receive the latest guidance and information to help your assurance certification.



# SIX STEPS TO RED TRACTOR ASSURANCE

## 1. APPLICATION

Send an application and fee to your chosen certification body detailing all the relevant holdings/premises. Receipt will be acknowledged in 14 days and the assessment will be arranged.

## 2. INITIAL ASSESSMENT

The assessor will talk to you about your business as you walk together around (or facilitate remote viewing via video-streaming of) the facilities, look at the livestock/crop as applicable and check your paperwork.

They will need to talk to your staff too about what they do. Any areas which do not meet the standards (which we refer to as “non-conformances”) will be highlighted to you throughout the assessment.

They are not allowed to advise on, or suggest, how you need to correct things. You will be left or sent a report which will contain details of any non-conformances, an indication of the evidence you will be expected to provide (e.g. invoices, photos, photocopies, letter from vet) and the timescales for action.

## 3. NON-CONFORMANCES

You must supply your certification body with evidence that you have corrected everything as explained in the non-conformance report.

In some cases, a revisit may be required and this may incur a charge. Your application will lapse if you do not correct everything satisfactorily and in good time.

## 4. ISSUE CERTIFICATE OF CONFORMITY

Once satisfactory evidence has been provided, you will be entered as ‘Assured’ on the Scheme Member Checker database and you can then sell your product(s) as ‘Assured’. You may receive an actual certificate in electronic format or printed, but the status on the Scheme Member Checker database is definitive.

## 5. RENEWAL

You will be invited to renew your membership annually, 12 months after the initial assessment and every 12 months thereafter. You will receive a renewal notice and a maximum of two reminder letters.

## 6. ROUTINE ASSESSMENTS AND SPOT CHECKS

An assessor will make routine visits (or carry out remote assessments) similar to your initial assessment to check you are continuing to conform to the standards at all times.

These assessments will normally be held no further apart than 18 months for dairy and beef and lamb schemes. Assessment timings may be altered to accommodate multiple schemes on one farm visit.

### SPOT CHECKS

You may also be subject to spot check visits, some of which may be unannounced as part of our risk-based approach to inspections. To find out more about this, please see: [redtractorassurance.org.uk](https://redtractorassurance.org.uk).

## NON-CONFORMANCE - WHAT HAPPENS NEXT?

If you do not conform to any standards you must provide evidence, normally within 28 days, that you have rectified them as outlined at steps 2 and 3 above.

If you have a major non-conformance or excessive non-conformances against the standards, your certification may be suspended until you have shown you have put this right.

### Suspension:

Your membership and certification can also be suspended if you have not put non-conformances right within the time stipulated. If an unannounced spot check as a result of our risk-based approach to inspections shows no improvement the member will still be categorised as high risk and suspended.

Suspension is only lifted once you have proved that you have corrected the non-conformances. In some cases, a revisit may be required.

You must not sell products as 'Red Tractor Assured' whilst your certification is suspended.

### Withdrawal:

If within three months of the suspension date you have not demonstrated that you have corrected the non-conformances, the certification body will withdraw certification and your membership will automatically terminate.

The certification body will also withdraw certification and your membership will automatically terminate if you are still categorised as high risk following two consecutive unannounced spot checks as a result of our risk based approach to inspections.

You can only regain certification by following the procedure for a new applicant and as long as no other sanctions or non-conformances remain. Your right to sell products as 'Red Tractor Assured' will cease immediately if your certification is withdrawn or if your membership is terminated for any other reason or expires and is not renewed within one month of expiry in accordance with the membership rules.



To help members avoid the most common non-conformances for each sector, we have published useful guides on our website. Go to: [redtractorassurance.org.uk](https://redtractorassurance.org.uk).

# Documents and Procedures (DP)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Plans and procedures in place to ensure safe and legal food production</b>		
<p><b>DP.1 Key</b> The farm, as a whole, must present an acceptable and tidy appearance to the general public. The site management must not present a food safety, animal welfare or environmental risk <b>UPGRADED</b></p>	<p>■ <b>DP.1.a</b> The external areas around buildings and farm entrances are kept clear of rubbish, non-essential equipment and other debris.</p>	<p>■ <b>DP.1.b</b> Loose wire, net and silage wrap, scrap machinery, scrap metal and disused tyres are managed.</p>
<p><b>GUIDANCE</b> Any waste awaiting collection or disposal is stored appropriately i.e. in a dedicated area away from livestock. Old machinery, tyres, wire and silage wrap are stored neatly. External areas around buildings should be kept clear to discourage vermin.</p>		
<p><b>DP.1.1</b> A farm map must be present and areas of specific risk are identified</p>	<p>■ <b>DP.1.1.a</b> Farm map shows where applicable:</p> <ul style="list-style-type: none"> <li>– all buildings</li> <li>– all fields, including area (hectares/acres)</li> <li>– watercourses including ditches and ponds</li> <li>– boreholes, springs and wells, including any on neighbouring land, within 50m of the boundary</li> <li>– any areas of high pollution risk</li> </ul>	<p><b>R</b> ■ Farm map</p>
<p><b>GUIDANCE</b> One or more maps may be used and this may be held as a hard copy or as an electronic document.</p>		
<p><b>DP.2</b> A documented plan for the effective management of serious incidents and potential emergency situations that threaten the welfare of livestock, food safety or the environment must be in place and displayed in a position available to those involved in farm tasks <b>REVISED</b></p>	<p>■ <b>DP.2.a</b> You have considered the risks to your farm and documented the actions to be taken in the event of;</p> <ul style="list-style-type: none"> <li>– feed and/ or water equipment failing</li> <li>– fire</li> <li>– power cuts</li> <li>– extreme weather (drought, flooding, snow)</li> <li>– disease outbreaks which have the potential to affect other farms/ the general public</li> <li>– activist activity</li> <li>– pollution incident e.g. slurry spillages or leaks</li> <li>– loss of assurance status</li> <li>– other site-specific risks</li> </ul>	<p><b>R</b> ■ Contingency/ Emergency plan</p>
<p>■ <b>DP.2.b</b> Up-to-date relevant contact details are displayed (including out of hours phone numbers) e.g. vet, electricity supplier, Environment Agency (or equivalent), feed and water supplier</p>	<p>■ <b>DP.2.c</b> Details must be noted on how situations would be dealt with if the person with primary responsibility for stock is unavailable for any period</p>	
<p>■ <b>DP.2.d</b> Plan is accessible and known to those involved with tasks on the farm</p>		

STANDARDS	HOW YOU WILL BE MEASURED	
<p><b>DP.3</b> Systems must be in place for recording, investigating and resolution of any complaints that are relevant to the requirements of the Red Tractor Standards <b>REVISED</b></p>	<p>■ <b>DP.3.a</b> System includes recording the:</p> <ul style="list-style-type: none"> <li>– complaint</li> <li>– investigation result</li> <li>– action taken to prevent the issue happening gain</li> </ul>	<p><b>R</b> ■ Complaints records</p>
<p><b>GUIDANCE</b></p> <ul style="list-style-type: none"> <li>n <i>Complaints made by Local Authority, general public, customers (e.g. abattoir feedback) or other</i></li> <li>n <i>Complaints including, but not limited to, milk test failures, medicine residue levels, dirty stock, welfare and environmental issues</i></li> </ul>		
<p><b>DP.4</b> The nominated vet or vet from the nominated vet practice must be informed of each antibiotic failure</p>	<p>■ <b>DP.4.a</b> Nominated vet or vet from the nominated vet practice informed within one week of the farmer being made aware of the failure</p>	<p><b>R</b> ■ Complaints record ■ Vet review record</p>
<p>■ <b>DP.4.b</b> Nominated vet or vet from the nominated vet practice reviews medicine use and makes recommendations to prevent the issue happening again</p>		
<p>■ <b>DP.4.c</b> Vet review recorded in the complaints record</p>		
<p><i>DP.5 (Recommendation)</i> <b><i>It is recommended you have a written breeding and management policy in place and implemented so there is minimal euthanasia of kids</i></b> <b>NEW</b></p>	<p>■ <b>DP.5.a</b> Policy must cover breeding and management decision processes to include:</p> <p><b>Breeding</b></p> <ul style="list-style-type: none"> <li>– breeding management decisions</li> </ul> <p><b>Management</b></p> <ul style="list-style-type: none"> <li>– provision of rearing facilities to cover the number of youngstock</li> <li>– provision/plan for TB breakdown</li> </ul>	
<p>■ <b>DP.5.b</b> Evidence that the breeding and management policy is implemented on-farm</p>		
<p> <b>WHERE TO FIND HELP</b></p> <ul style="list-style-type: none"> <li>n For information on farm fires see the Defra guidance document 'Farm Fires - Protecting Farm Animal Welfare': <a href="https://www.gov.uk/government/publications/farm-fires-protecting-farm-animal-welfare">https://www.gov.uk/government/publications/farm-fires-protecting-farm-animal-welfare</a></li> <li>n For additional guidance on animal welfare in severe weather visit: Keeping farm animals and horses in extreme weather: <a href="https://www.gov.uk/guidance/keeping-farm-animals-and-horses-in-extreme-weather">https://www.gov.uk/guidance/keeping-farm-animals-and-horses-in-extreme-weather</a></li> <li>n For additional practical guidance on dealing with livestock in droughts and flooding visit BRP+ Managing cattle and sheep during extreme weather events: <a href="https://www.gov.uk/guidance/keeping-farm-animals-and-horses-in-extreme-weather">https://www.gov.uk/guidance/keeping-farm-animals-and-horses-in-extreme-weather</a></li> </ul>		

# Personnel (PL)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: All personnel (including but not limited to employees, self-employed and family members) are trained and competent to carry out the required activities on farm/sites relating to primary production on site</b>		
<p><b>PL.1 Key</b> Systems must be in place to ensure all personnel are effectively trained and deemed competent to carry out the activities they are required to do <b>REVISED</b></p>	<p>■ <b>PL.1.a</b> From November 2021, all new starters have a documented induction covering at least the following:</p> <ul style="list-style-type: none"> <li>– an explanation of the tasks they will undertake</li> <li>– Health and Safety information</li> <li>– reporting lines</li> </ul>	<p><b>R</b> ■ Induction record</p>
<p><b>GUIDANCE</b> Records of induction and training may be recorded on either separate or consolidated records, provided that compliance with all relevant requirements can be demonstrated.</p>		
<p><b>PL.2</b> Records of training must be kept <b>REVISED</b></p>	<p>■ <b>PL.2.a</b> A training record is available for all, including:</p> <ul style="list-style-type: none"> <li>– name</li> <li>– start date (where applicable)</li> <li>– induction date (where applicable)</li> <li>– details of training/ events attended</li> <li>– date of training</li> <li>– who provided the training (in-house or external provider)</li> </ul>	<p><b>R</b> ■ Training record</p>
<p>■ <b>PL.2.b</b> Where workers are trained to undertake specific tasks, these are listed in the training record</p>	<p>■ <b>PL.2.c</b> Records kept for two years after person has left the business</p>	
<p><b>PL.3</b> The performance and competence of employees must be regularly reviewed and refresher training implemented as required <b>REVISED</b></p>	<p>■ <b>PL.3.a</b> Employees are regularly observed and the output of their work reviewed</p> <p>■ <b>PL.3.b</b> Gaps in competence are addressed by recorded refresher training, implemented immediately or within a defined timeline</p>	
<p><b>GUIDANCE</b> This standard applies to employees (not personnel, workers, family members). On a site operated by only one person, there is no requirement for them to undergo a performance review (although this could be undertaken by a fieldsperson or other appropriate person if deemed valuable).</p>		

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Any labour providers used are managed with agreements in place to ensure that workers provided are competent, and labour providers are licensed</b>		
<p><b>PL.4</b> Where labour providers are used, they are licensed and a documented agreement is in place <b>REVISED</b></p>	<ul style="list-style-type: none"> <li>■ <b>PL.4.a</b> All labour providers used hold a valid Gangmasters &amp; Labour Abuse Authority (GLAA) licence</li> <li>■ <b>PL.4.b</b> A service level agreement is in place between the business and the labour provider</li> <li>■ <b>PL.4.c</b> The agreement confirms that any workers provided are suitably competent</li> <li>■ <b>PL.4.d</b> The agreement confirms any training completed by the labour provider as an alternative to the businesses' own training systems</li> <li>■ <b>PL.4.e</b> The agreement confirms that all workers are legally permitted to work within the UK</li> <li>■ <b>PL.4.f</b> The agreement defines allocation of Health and Safety responsibilities between labour provider and labour user</li> </ul>	<p style="text-align: center;"><b>R</b></p> <ul style="list-style-type: none"> <li>■ Evidence of GLAA licence</li> <li>■ Service Level agreement</li> </ul>
<p><b>GUIDANCE</b> The GLAA defines specific circumstances which are excluded from the licensing requirements – refer to GLAA website for further information. This standard does not apply where workers are supplied outside of the scope of licensing requirements.</p>		
<b>AIM: A safe working environment for workers and visitors</b>		
<p><b>PL.5</b> Health and Safety Policy in place and effectively communicated to workers <b>NEW</b></p>	<ul style="list-style-type: none"> <li>■ <b>PL.5.a</b> Health &amp; Safety Policy in place</li> <li>■ <b>PL.5.b</b> Policy is effectively communicated to all relevant workers</li> <li>■ <b>PL.5.c</b> Language and learning style is given due consideration to ensure all workers understand information</li> </ul>	<p style="text-align: center;"><b>R</b></p> <ul style="list-style-type: none"> <li>■ Health and Safety Policy</li> </ul>
<p><b>GUIDANCE</b> Definition of worker (taken from: <a href="https://www.gov.uk/employment-status/worker">https://www.gov.uk/employment-status/worker</a>): A person is generally classed as a 'worker' if:</p> <ul style="list-style-type: none"> <li>– they have a contract or other arrangement to do work or services personally for a reward (your contract doesn't have to be written)</li> <li>– their reward is for money or a benefit in kind, for example the promise of a contract or future work</li> <li>– they only have a limited right to send someone else to do the work (subcontract)</li> <li>– they have to turn up for work even if they don't want to</li> <li>– their employer has to have work for them to do as long as the contract or arrangement lasts</li> <li>– they aren't doing the work as part of their own limited company in an arrangement where the 'employer' is actually a customer or client</li> </ul>		
<p> <b>WHERE TO FIND HELP</b></p> <ul style="list-style-type: none"> <li>n Guidance on legal requirements for management of Health &amp; Safety is available through the HSE website: <a href="https://www.hse.gov.uk/simple-health-safety/index.htm">https://www.hse.gov.uk/simple-health-safety/index.htm</a></li> <li>n Farmwise – Your essential guide to health and safety in agriculture: <a href="https://www.hse.gov.uk/pubns/priced/hsg270.pdf">https://www.hse.gov.uk/pubns/priced/hsg270.pdf</a></li> <li>n Gangmaster &amp; Labour Abuse Authority (GLAA): <a href="http://www.gla.gov.uk">www.gla.gov.uk</a></li> </ul>		

# Traceability and Assurance (TI)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: A clear identification of livestock to deliver food chain traceability</b>		
<b>TI.1.1 Key</b> <b>Goats must be identified in accordance with legislation</b>	<ul style="list-style-type: none"> <li>■ <b>TI.1.1.a</b> Goats are identified by an approved method within the required timeframe</li> </ul>	
<b>TI.2.1 Key</b> <b>Records of goat movements must be accurately kept to maintain traceability</b> <span style="background-color: #800080; color: white; padding: 2px;">REVISED</span>	<ul style="list-style-type: none"> <li>■ <b>TI.2.1.a</b> A holding register which can be paper or computer based or a combination of both is kept up to date and covers:                             <ul style="list-style-type: none"> <li>– holding details</li> <li>– tag replacements</li> <li>– movements</li> <li>– deaths</li> <li>– annual inventory (on holding as at 1st December each year)</li> <li>– individual records of goat born or identified as required by legislation</li> </ul> </li> </ul>	<div style="text-align: center; border: 1px solid #800080; border-radius: 50%; width: 30px; height: 30px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">R</div> <ul style="list-style-type: none"> <li>■ Holding register</li> <li>■ Movement records</li> </ul>
<ul style="list-style-type: none"> <li>■ <b>TI.2.1.b</b> Goat movements are reported within the required timeframe</li> </ul>		
<ul style="list-style-type: none"> <li>■ <b>TI.2.1.c</b> Received paper movement documentation is kept for 3 years</li> </ul>		
<b>AIM: Traceability is maintained through to delivery</b>		
<b>TI.3 Key</b> <b>Livestock must be accompanied by the required movement and delivery information</b>	<ul style="list-style-type: none"> <li>■ <b>TI.3.a</b> Goats are accompanied by animal movement documentation</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>TI.3.b</b> Food Chain information (FCI) accompanies each consignment of livestock sent to slaughter (including those going via a livestock market)</li> </ul>	
<b>Aim: Controls are in place to maintain assurance status</b>		
<b>TI.4 Key</b> <b>Controls must be in place to ensure assurance status of livestock being sold as assured</b> <span style="background-color: #800080; color: white; padding: 2px;">NEW</span>	<ul style="list-style-type: none"> <li>■ <b>TI.4.a</b> Goats are farm assured their whole life                             <ul style="list-style-type: none"> <li>– If livestock are purchased from a market, the market is assured</li> <li>– If livestock passes through a collection centre, the collection centre is assured</li> </ul> </li> </ul>	<div style="text-align: center; border: 1px solid #800080; border-radius: 50%; width: 30px; height: 30px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">R</div> <ul style="list-style-type: none"> <li>■ Transport declaration</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>TI.4.b</b> Vehicles used to transport livestock are assured:                             <ul style="list-style-type: none"> <li>– assessed under the farm scheme if transporting the farms own livestock</li> </ul>                             OR                             <ul style="list-style-type: none"> <li>– Transport of other members stock is permitted when all parties are farm assured not for hire and reward and with a completed declaration</li> </ul>                             OR                             <ul style="list-style-type: none"> <li>– Red Tractor Livestock Transport scheme (or equivalent) and hauliers membership numbers are known</li> </ul> </li> </ul>	

STANDARDS	HOW YOU WILL BE MEASURED	
<p><b>TI.5 Key</b> If livestock from multiple holdings are collected onsite the site must hold an Animal Gatherings Order</p>	<p>■ <b>TI.5.a</b> The conditions of the AGO are being met</p>	<p><b>R</b> ■ Animal Gatherings Order</p>
<p> <b>WHERE TO FIND HELP</b></p> <ul style="list-style-type: none"> <li>■ For more information on Animal Identification and Movement visit: <a href="http://www.gov.uk/topic/keeping-farmed-animals/cattle-identity-registration">www.gov.uk/topic/keeping-farmed-animals/cattle-identity-registration</a></li> <li>■ For information on when you should tag you sheep visit: <a href="https://www.gov.uk/guidance/sheep-and-goat-keepers-how-to-identify-your-animals">https://www.gov.uk/guidance/sheep-and-goat-keepers-how-to-identify-your-animals</a></li> <li>■ Red Tractor Checker to complete assurance checks on other farms, hauliers and markets visit: <a href="http://www.redtractorassurance.org.uk/checkers">www.redtractorassurance.org.uk/checkers</a></li> </ul>		

## Vermin Control (VC)

STANDARDS	HOW YOU WILL BE MEASURED	
<p><b>AIM: Effective and responsible control of birds, rodents, insects and other animals to prevent contamination and food safety risk</b></p>		
<p><b>VC.1 Key</b> There must be effective control of vermin <b>REVISED</b></p>	<p>■ <b>VC.1.a</b> No build-up of vegetation close to farm structures that could harbour vermin</p> <p>■ <b>VC.1.b</b> A site survey is completed at least annually while stock are housed and/or the feed storage areas are in use, detailing:</p> <ul style="list-style-type: none"> <li>– date of inspection</li> <li>– locations inspected</li> <li>– findings</li> <li>– action required</li> <li>– date actions completed</li> </ul> <p><b>GUIDANCE</b> <i>A site survey is a record of every inspection and/or survey undertaken to look for signs of rodent activity and/or environmental management requirements.</i></p> <p>■ <b>VC.1.c</b> Dead/trapped vermin are searched for and disposed of when bait points are checked</p>	<p><b>R</b> ■ Site survey</p>

# Vermin Control (VC)

STANDARDS	HOW YOU WILL BE MEASURED		
<p><b>VC.2</b>  <b>Toxic bait must be used responsibly</b>  <b>REVISED</b></p>	<p>■ <b>VC.2.a</b>            Prior to treatment with baits the use of non-chemical control methods is considered first followed by the least toxic alternatives (see Appendix – Risk hierarchy)</p>	<p><b>R</b></p> <ul style="list-style-type: none"> <li>■ Environmental Risk Assessment</li> <li>■ Bait Plan</li> <li>■ COSHH assessment (where applicable)</li> </ul>	
<p>■ <b>VC.2.b</b>            An Environmental Risk Assessment is undertaken in accordance with the Appendix before bait is laid</p>	<p>■ <b>VC.2.c</b>            Where baits are used a Bait Plan identifies:</p> <ul style="list-style-type: none"> <li>– location of bait points</li> <li>– bait used</li> <li>– bait point inspection</li> <li>– replenishment dates</li> </ul>		
<p>■ <b>VC.2.d</b>            Non-target animals do not have access to baits</p>	<p>■ <b>VC.2.e</b>            Bait is prevented from contaminating animal feed/milk storage area</p>		
<p>■ <b>VC.2.f</b>            Permanent baiting is not routinely undertaken and toxic bait is removed when treatment is finished</p>	<p>■ <b>VC.2.g</b>            Product label directions are followed</p>		
<p>■ <b>VC.2.h</b>            A documented COSHH assessment is carried out where there are five or more employees</p>			
<p><b>GUIDANCE</b>  <i>Permanent baiting is the application of a rodenticide product when no active infestation is present. Permanent baiting is strictly limited to sites with a high potential for reinvasion when other methods of control have proven insufficient and can only be carried out by professional users and only with products authorised for this use.</i></p>			
<p><b>VC.3</b>  <b>Systems must be in place to control vermin in milk production/storage areas</b> <b>NEW</b></p>	<p>■ <b>VC.3.a</b>            In the milk storage facility doors are kept closed</p>		
<p>■ <b>VC.3.b</b>            In the parlour feed hoppers are clean and covered</p>	<p>■ <b>VC.3.c</b>            There is no evidence of accumulated dirt from nesting birds</p>		
<p>■ <b>VC.3.d</b>            Insect elimination measures (such as screens, food-safe fly sprays, strips, electric fly killers) are positioned and managed where they cannot contaminate the product</p>	<p>■ <b>VC.3.e</b>            Vermin access points are eliminated</p>		
<p> <b>WHERE TO FIND HELP</b>            n The Campaign for Responsible Rodenticide Use Code is published on: <a href="http://www.thinkwildlife.org/crru-code">www.thinkwildlife.org/crru-code</a>            n HSE step-by-step guide to COSHH assessment: <a href="https://www.hse.gov.uk/pubns/books/hsg97.htm">https://www.hse.gov.uk/pubns/books/hsg97.htm</a></p>			

# Milk Production (MP)

STANDARDS	HOW YOU WILL BE MEASURED
<b>AIM: Safe and hygienic milk collection that prevents cross-contamination between farms</b>	
<p><b>MP.1</b> The milk collection area must be kept practically clean and tidy</p>	<p>■ <b>MP.1.a</b> The area from the back axle of the tanker to the storage tank access is made of concrete or similar surface, complete, drained and kept sufficiently clean that the tanker hose is not soiled when in use</p> <p>■ <b>MP.1.b</b> The walkway from the driver's door to the back axle of the tanker and storage tank access provides clean, hard-standing access for the tanker driver</p>
<p><b>MP.2</b> The milk collection area must be externally lit to facilitate the safe collection of milk</p>	<p>■ <b>MP.2.a</b> Sufficient external lighting to allow milk to be collected in darkness</p>
<b>AIM: The dairy and milk storage area are kept in a clean, food safe manner</b>	
<p>*Applies to walls, floors, doors, ceilings, light covers, windows in rooms/areas classed as dairy and milk storage plus the siting of the hand wash facilities.</p>	
<p><b>MP.3</b> The dairy and milk storage area must be kept clean, tidy and free from foul odours and airborne dust</p>	<p>■ <b>MP.3.a</b> Area free from accumulated dirt, dust, cobwebs, mould, rust, rubbish and medicines, chemicals/products, buckets and equipment not in use (including pressure washers when not specifically used for dairy)</p> <p>■ <b>MP.3.b</b> Foul odours avoided by keeping drains free from blockages, adequate ventilation and not siting slurry/effluent tanks next to dairy</p> <p>■ <b>MP.3.c</b> A means of cleaning the dairy available e.g. dedicated brush or hose pipe</p> <p><b>GUIDANCE</b> <i>Dairy being any area where the milk is either held or where equipment through which the milk passes is sited (i.e. plate cooler, filter)</i></p>
<p><b>MP.4</b> Structures within the dairy and milk storage area must be sound, maintained and suitable</p>	<p>■ <b>MP.4.a</b> Walls (the full height to the ceiling) and doors are undamaged with a sealed, washable finish</p> <p>■ <b>MP.4.b</b> Floors are impervious, well-drained (no standing water) and complete (any cracks not able to hold dirt or water)</p> <p>■ <b>MP.4.c</b> Ceilings and roof linings are complete and maintained. False ceilings are fully sealed.</p> <p>■ <b>MP.4.d</b> Any beams present are treated with a food-safe sealing product and maintained</p> <p>■ <b>MP.4.e</b> Well-fitting, complete windows that are permanently secured shut or have fitted fly screens</p> <p>■ <b>MP.4.f</b> Lights are shatterproof or covered</p>
<p><b>MP.5</b> The dairy and milk storage access points must be kept secure when unattended <b>REVISED</b></p>	<p>■ <b>MP.5.a</b> All access points to the dairy/milk storage facilities can be secured</p> <p>■ <b>MP.5.b</b> The external door(s) or room can be locked</p> <p>■ <b>MP.5.c</b> Doors are kept closed when not in use</p>

# Milk Production (MP) (continued)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: To prevent the contamination of milk by storage equipment and associated refrigeration systems</b>		
<p><b>MP.6</b> Milk storage tanks are managed to reduce the risk of milk contamination</p>	<p>■ <b>MP.6.a</b> Tank and silo lid hatches/covers and bungs are entire and kept routinely closed</p>	
	<p>■ <b>MP.6.b</b> Checks can be made on milk to ensure it is not contaminated i.e. tanks have lids or sampling points and space between the tank lid and ceiling to allow sampling and visual inspection of milk</p>	
	<p>■ <b>MP.6.c</b> Exterior:</p> <ul style="list-style-type: none"> <li>- tanks/ silos, pipelines, rubber seals, motor casings, outlets and hoses/ pressure washers are free from external accumulated dirt, dust, rust, bird droppings</li> <li>- water heaters, agitator motors free from rust</li> <li>- milk silo air inlets are fitted with a filter</li> <li>- milk silos are located on a drained concrete pad</li> </ul>	
	<p>■ <b>MP.6.d</b> Interior:</p> <ul style="list-style-type: none"> <li>- cleaning completed after every complete milk collection and left free of chemical residues achieved by a final rinse with potable water</li> <li>- clean outlet pipes, dipsticks, lids, caps, bungs, internal ladders, external valves and sampling points</li> </ul>	
<p><b>MP.7</b> Milk cooling systems and storage tanks cool milk to required temperatures <b>REVISED</b></p>	<p>■ <b>MP.7.a</b> Milk cooled immediately after milking to at least the legal maximum temperatures. *First Purchaser contracts may specify more stringent temperature requirements</p>	
	<p>■ <b>MP.7.b</b> Tanks are fitted with functioning temperature gauges. Temperatures checked after each milking and comparisons between temperatures on tanker collection receipts and tank gauges made to identify faults</p>	
<p><b>MP.8</b> Milk cooling systems and storage tanks must be maintained to ensure effective cooling and washing</p>	<p>■ <b>MP.8.a</b> Evidence of annual service</p>	<p style="text-align: center;"><b>R</b></p> <p>■ Service sheets</p>
	<p>■ <b>MP.8.b</b> Maintenance/calibration of relevant gauges and leakage testing as required by legislation</p>	
	<p>■ <b>MP.8.c</b> Faults identified during the service are rectified</p>	
<b>AIM: To prevent the contamination of milk by milking parlour or automatic milking equipment, buildings and associated structures</b>		
<p><b>MP.9</b> The milking parlour/automated milking units must be kept clean and tidy</p>	<p>■ <b>MP.9.a</b> The parlour area is free from accumulated dirt, dung, dust and rubbish</p>	
	<p>■ <b>MP.9.b</b> The parlour walls, doors and gates and collecting yard close to the entrance are clean</p>	
	<p>■ <b>MP.9.c</b> Troughs are free from mould and a build-up of mould of feed debris</p>	
	<p>■ <b>MP.9.d</b> Ceilings are visually clean and beams/ girders free from rust and accumulated dust</p>	
	<p>■ <b>MP.9.e</b> The parlour is kept tidy and clear of products, chemicals and items not in constant use</p>	

STANDARDS	HOW YOU WILL BE MEASURED	
<b>MP.10</b> <b>Equipment in the parlour must be clean</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>MP.10.a</b> Equipment visually clean: internally and external - applies to ducts, jars, clusters and pipework</li> </ul>	<div style="text-align: center;"><b>R</b></div> <ul style="list-style-type: none"> <li>■ Plant cleaning protocol</li> </ul>
<ul style="list-style-type: none"> <li>■ <b>MP.10.b</b> Detailed plant cleaning protocol available and on display, including stages of wash and final rinse, products used, water temperature and checks undertaken (frequency).  If auto wash used stages should be detailed along with frequency of checks undertaken.</li> </ul>		
<b>MP.11</b> <b>A supply of water and suitable means of washing must be available to the parlour area</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>MP.11.a</b> A dedicated hose or power washer for the parlour which is long enough to reach all areas of the parlour and all milking points</li> </ul>	
<b>MP.12</b> <b>Structures within the parlour area must be sound, maintained and suitable</b>	<ul style="list-style-type: none"> <li>■ <b>MP.12.a</b> Walls (with a washable height to 2.5m or whole wall) and doors are undamaged with a sealed, washable finish</li> </ul>	<ul style="list-style-type: none"> <li>■ <b>MP.12.b</b> Floors are impervious, well-drained (no standing water), complete (any cracks not able to hold dirt or water)</li> </ul>
<ul style="list-style-type: none"> <li>■ <b>MP.12.c</b> Ceilings and roof linings complete and maintained. False ceilings fully sealed</li> </ul>	<ul style="list-style-type: none"> <li>■ <b>MP.12.d</b> Any beams present are treated and maintained</li> </ul>	
<b>MP.13</b> <b>The parlour must be well lit and any lighting must not be a cause for contamination</b>	<ul style="list-style-type: none"> <li>■ <b>MP.13.a</b> Parlour light intensity enables goat I.D. to be read and foremilk inspection carried out</li> </ul>	<ul style="list-style-type: none"> <li>■ <b>MP.13.b</b> Lights are shatterproof or covered</li> </ul>
<ul style="list-style-type: none"> <li>■ <b>MP.13.c</b> Light covers are free from accumulated dead flies and cobwebs</li> </ul>		
<b>AIM: The parlour is maintained, hygienic and functioning correctly to ensure food safety standards and goat welfare</b>		
<b>MP.14</b> <b>The milking machine and all associated equipment, fixtures and fittings must be maintained and suitable</b>	<ul style="list-style-type: none"> <li>■ <b>MP.14.a</b> Fixtures and fittings are of sound construction with a washable finish</li> </ul>	<ul style="list-style-type: none"> <li>■ <b>MP.14.b</b> No signs of perishing rubber ware</li> </ul>
<ul style="list-style-type: none"> <li>■ <b>MP.14.c</b> Automatic dipping and flushing systems are maintained and functioning to ensure milk contamination is avoided (can be N/A)</li> </ul>		
<b>MP.15</b> <b>Teat cup liners must be clean, free from damage and changed regularly</b>	<ul style="list-style-type: none"> <li>■ <b>MP.15.a</b> The milking machine has teat cup liners that are changed in accordance with manufacturers' instructions for number of milkings/hours of use</li> </ul>	<div style="text-align: center;"><b>R</b></div> <ul style="list-style-type: none"> <li>■ Records of date of liner change</li> </ul>
<ul style="list-style-type: none"> <li>■ <b>MP.15.b</b> Records of liner changes are kept for 12 months</li> </ul>		

## Milk Production (MP) (continued)

STANDARDS	HOW YOU WILL BE MEASURED	
<p><b>MP.16</b> The milking machine must be tested at least annually, identified faults rectified and records kept</p>	<p>■ <b>MP.16a</b> Machine tested statically at least annually to latest standards relevant to machine or installation date (currently ISO6690:2007)</p>	<p><b>R</b></p> <p>■ Machine test certificate and report – showing test compliance to ISO6690:2007</p>
<p>■ <b>MP.16.b</b> Copies of test report available to assessor</p>		
<p>■ <b>MP.16.c</b> Test reports/delivery notes/invoices demonstrate faults have been rectified</p>		
<p><i>MP.17 (Recommendation)</i> It is recommended that a milking-time test is undertaken</p>	<p>■ <b>MP.17.a</b> A milking-time test in addition to the annual static test</p>	<p><b>R</b></p> <p>■ Test certificate</p>
<p><i>MP.18 (Recommendation)</i> It is recommended that milking machine testing is undertaken by a competent engineer or technician</p>	<p>■ <b>MP.18.a</b> Technician/engineer holds accreditation through the Milking Equipment Association or similar</p>	<p><b>R</b></p> <p>■ Documentation quotes membership number</p>
<p><b>AIM: Water and chemicals used for cleaning are food-safe and managed correctly to prevent an adverse effect on milk safety and quality</b></p>		
<p><b>MP.19</b> Water used in the milk production area that is in contact with teats/udders and internal equipment surfaces must be safe <b>REVISED</b></p>	<p>■ <b>MP.19.a</b> Sufficient supply of clean, safe water for milking operations and milking equipment cleaning</p> <p><b>GUIDANCE</b> <i>Water is direct from the mains, held in a clean, covered holding tank or from a safe private water supply.</i></p> <p>■ <b>MP.19.b</b> Where private water supplies (e.g. from boreholes) are used an annual risk assessment, has been completed and water has been determined as being safe. See relevant Appendix for guidance</p>	<p><b>R</b></p> <p>■ Water test report ■ Risk assessment</p>
<p><b>MP.20</b> Chemicals and cleaning agents used within the milk production area must be authorised for intended use, stored and used in accordance with manufacturers' instructions <b>REVISED</b></p>	<p>■ <b>MP.20.a</b> Products used are within date, not phenolic based, not known to cause taint in milk, not harmful to livestock if in contact with the udder</p> <p>■ <b>MP.20.b</b> Cleaning chemicals do not contain quaternary ammonium compounds (QACs), as stated on the chemical data sheet or a warranty received from the supplier</p> <p>■ <b>MP.20.c</b> Correct quantities are used (measuring jug used or regular checks on auto-dosing systems)</p> <p>■ <b>MP.20.d</b> Products are used in water of the correct temperature</p>	<p><b>R</b></p> <p>■ Data sheets/warranty declaration from supplier ■ Wash protocol</p>
<p><b>MP.21</b> The temperature of the wash cycle must be checked regularly</p>	<p>■ <b>MP.21.a</b> Functional thermal tapes, electronic thermometers or integrated monitoring systems are used</p> <p>■ <b>MP.21.b</b> Gauges on water heaters indicate start temperature</p>	<p><b>R</b></p> <p>■ Wash protocol</p>

STANDARDS	HOW YOU WILL BE MEASURED
<b>MP.22</b> <b>Current safety sheets for all chemicals and cleaning agents (including disinfectants) used within the milk production area must be available</b>	<ul style="list-style-type: none"> <li>■ <b>MP.22.a</b> Chemical safety sheets are easily accessible to all, in case of emergency or spillage, either printed or downloaded and saved on an electronic device accessible by all</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>MP.22.b</b> If chemical data sheets are saved on an electronic device, they can be printed when needed (e.g. in an emergency) or can be accessed on a portable device such as a smart phone or tablet</li> </ul>
<b>AIM: Goats are milked at a frequency that prevents undue stress associated with distended udders (Milked at a Frequency 2)</b>	
<b>MP.23 Key</b> <b>Lactating goats must be milked daily or as detailed in the health plan <span style="background-color: #e0e0e0; padding: 2px;">REVISED</span></b>	<ul style="list-style-type: none"> <li>■ <b>MP.23.a</b> Milking times and intervals are consistent for all milking goats including those in isolation</li> </ul>
<b>AIM: To ensure that milk is safe and suitable for human consumption</b>	
<b>MP.24 Key</b> <b>Procedures must be in place to reduce the risk of contaminating milk and to inspect for abnormalities</b>	<ul style="list-style-type: none"> <li>■ <b>MP.24.a</b> Udders and teats are clean and dry prior to milking with a means of cleaning soiled udders available and used as necessary</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>MP.24.b</b> Udder cloths, if used are single use or sanitised between goats</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>MP.24.c</b> Goats have their milk individually inspected at the start of milking – for presence of blood, clots and discolouration Methods of inspection are: foremilk, conductivity meter/colour detection system, transparent claws long milk tube filters or recording jars</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>MP.24.d</b> Contamination of milk from antibiotic sprays minimised by only applying post milking</li> </ul>
<b>MP.25 Key</b> <b>Milk consigned for human consumption must be suitable for use</b>	<ul style="list-style-type: none"> <li>■ <b>MP.25.a</b> Milk is unsuitable and discarded if: <ul style="list-style-type: none"> <li>– it is from a TB reactor (from the point of veterinary diagnosis)</li> <li>– inspection/detection identifies abnormalities in the milk</li> <li>– the goat it derives from shows signs of disease, infection, udder inflammation or wounds (and therefore the goat is being treated); or is under medicine withdrawal period</li> </ul> </li> </ul>
<b>MP.26 Key</b> <b>Systems are in place to ensure that milk unfit for human consumption does not enter the milk storage tank</b>	<ul style="list-style-type: none"> <li>■ <b>MP.26.a</b> In all milking system, goats whose milk is unfit for human consumption are: <ul style="list-style-type: none"> <li>– clearly identified for the full withdrawal period</li> <li>– treated goats are visually identified with stock marker/spray, lower leg tapes/bands</li> </ul> </li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>MP.26.b</b> In conventional parlour systems, goats whose milk is unfit for human consumption are: <ul style="list-style-type: none"> <li>– milked last or into a separate bucket unit system. If separate units are unavailable and treated goats not milked last, the unit is thoroughly cleaned prior to being used on other goats</li> </ul> </li> </ul>

## Milk Production (MP) (continued)

STANDARDS	HOW YOU WILL BE MEASURED
<b>AIM: To ensure milking personnel, including relief milkers, do not cause milk contamination</b>	
<p><b>MP.27</b> Milking personnel are aware of relevant procedures that prevent contaminated milk from entering the milk storage tank</p>	<p>■ <b>MP.27.a</b> I.D. of treated goats is communicated to milking personnel including relief milkers (e.g. white board)</p> <p>■ <b>MP.27.b</b> All aware of methods of identifying treated goats (as outlined in Herd Health Plan)</p>
<p><b>MP.28 Key</b> Milking personnel must follow good hygiene practices</p>	<p>■ <b>MP.28.a</b> Wellingtons, milking apron/suit are clean at the start of milking and cleaned/changed when soiled during milking. Clean gloves are used for each milking</p> <p>■ <b>MP.28.b</b> Hands, forearms (and gloves, if used), are kept clean during milking</p> <p>■ <b>MP.28.c</b> Cuts, wounds and sores are covered</p> <p>■ <b>MP.28.d</b> Stockmen suffering from infectious illness that could contaminate raw milk do not carry out milking</p>
<p><b>MP.29</b> Dedicated and accessible hand and arm washing and drying facilities must be provided <b>REVISED</b></p>	<p>■ <b>MP.29.a</b> Located within the dairy or an adjacent room which is also accessible to tanker driver</p> <p>■ <b>MP.29.b</b> Facilities include: – a designated sink – hot and cold water is made available – soap</p> <p>■ <b>MP.29.c</b> Paper towels along with bin (emptied regularly) or hygienic method of hand drying are available</p> <p>■ <b>MP.29.d</b> Hand basins discharge into a drain or well-drained floor</p>
<p><b>GUIDANCE</b> <i>Sinks should not be used as storage units</i></p>	
<p><b>MP.30</b> The dairy and all milking areas are designated no smoking areas</p>	<p>■ <b>MP.30.a</b> Smoking does not occur in the dairy or parlour/automated milking unit area</p> <p>■ <b>MP.30.b</b> Both areas have appropriate signage</p>

# Housing, Shelter and Handling Facilities (HF)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Safe, comfortable and hygienic housing for all livestock, including youngstock and those close to giving birth</b>		
<b>HF.1 Key</b> <b>Housing must be constructed and maintained to provide a safe and secure environment for livestock</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>HF.1.a</b> Housing secure to prevent straying/escaping</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.1.b</b> There are no sharp edges, projections or other features presenting a hazard to livestock</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.1.c</b> Electrical installations are inaccessible to livestock</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.1.d</b> Lights over feed troughs are shatterproof/protected</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.1.e</b> Surfaces goats can reach are free from harmful chemical compounds, preservatives and paints</li> </ul>	
<b>HF.2</b> <b>Housing must be appropriately and effectively ventilated</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>HF.2.a</b> Ventilation minimises high humidity, build-up of odours and maintains a comfortable temperature</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.2.b</b> Minimise signs of heat stress and goats are not exposed to draughts/extreme cold</li> </ul>	
<b>HF.3</b> <b>Floors must be constructed and maintained in a manner that minimises the risk of injury</b>	<ul style="list-style-type: none"> <li>■ <b>HF.3.a</b> Floor surfaces are sound, and livestock can walk at ease without slipping or risk of injury</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.3.b</b> Design of any slats are suitable for the species and do not cause livestock to slip or cause foot injuries. Non-slatted lying areas provided to goats</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.3.c</b> Manhole covers/drains that livestock have access to are maintained</li> </ul>	
<b>HF.4 Key</b> <b>Conditions in housing must be maintained in a manner that ensures livestock are able to keep clean</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>HF.4.a</b> Lying areas are well-drained and regularly cleaned out to avoid a build-up of dirty bedding</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.4.b</b> All livestock sent to slaughter meet abattoir cleanliness specifications</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.4.c</b> No accumulation of excess water, urine, dung or slurry</li> </ul>	
<b>HF.4.1</b> <b>Safe, suitable, and legal bedding is provided in lying areas</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>HF.4.1.a</b> Bedding (used in lying areas including cubicles, loose housing, non-slatted lying areas, and corrals) is non-injurious, non-toxic and is absorptive</li> </ul>	<div style="text-align: center;"> <span style="border: 1px solid black; border-radius: 50%; padding: 2px 6px;">R</span> </div> <ul style="list-style-type: none"> <li>■ Delivery records</li> <li>■ Waste transfer notes</li> <li>■ Waste exemption records</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>HF.4.1.b</b> Where slatted flooring is used for newborn and young kids, bedding is provided</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.4.1.c</b> Delivery records of waste materials used for bedding are kept e.g. recycled woodchip, paper</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>HF.4.1.d</b> Waste exemptions to use such materials are registered with the Environment Agency and kept</li> </ul>	

## Housing, Shelter and Handling Facilities (HF) (continued)

STANDARDS	HOW YOU WILL BE MEASURED
<p><b>HF.5</b> Lighting in housing must allow normal behaviours, rest and effective inspection of livestock <b>REVISED</b></p>	<p>■ <b>HF.5.a</b> Adequate lighting (whether fixed or portable) is available to enable inspection of stock at any time</p>
	<p>■ <b>HF.5.b</b> Housing is lit during normal daylight hours (natural or artificial).</p>
	<p>■ <b>HF.5.c</b> A period of rest from artificial lighting is provided daily</p>
	<p>■ <b>HF.5.d</b> Fixed lighting is provided in all milking goat housing</p>
<p><b>AIM: Housing and grouping should be specific to livestock size and requirements</b></p>	
<p><b>HF.6</b> Housing must be of sufficient size</p>	<p>■ <b>HF.6.a</b> Group yards and loose housing systems allow space for them all to lie down simultaneously, rise without difficulty, turn around and stretch</p>
	<p>■ <b>HF.6.b</b> Loose housing provides at least 1.5m<sup>2</sup> per adult goat</p>
<p><b>HF.6.1 Key</b> Tethered housing systems are not permitted <b>REVISED</b> <b>UPGRADED</b></p>	<p><b>GUIDANCE</b> <i>Definition of tethered housing: a housing practice used throughout the housed period whereby the stock is fed, watered and sleeps within the area defined by the reach of its restraining method</i></p>
<p><b>HF.7</b> Livestock must be kept in appropriate groups</p>	<p>■ <b>HF.7.a</b> Livestock grouped according to age, size and production status (exception cow/calf, ewe/lamb and goat/kid)</p>
	<p>■ <b>HF.7.b</b> Livestock (including bucks) allowed the sound and view of other livestock (except where segregated/isolated)</p>
	<p>■ <b>HF.7.c</b> Fractious or fully horned cattle are not mixed with unfamiliar groups or are removed from the group if there is evidence of injury or bullying</p>
	<p>■ <b>HF.7.d</b> Sexually mature male and female livestock kept apart (unless breeding is planned)</p>
<p><b>AIM: Appropriate, well maintained facilities are available for specific activities</b></p>	
<p><b>HF.8</b> Handling facilities must be in place and maintained in a condition that minimises the risk of injury and distress to livestock or handlers <b>REVISED</b></p>	<p>■ <b>HF.8.a</b> Well maintained, structurally sound and stable crush, race and handling pens (including portable systems) with no sharp edges</p>
<p><b>HF.9</b> There must be appropriate facilities for livestock to give birth</p>	<p>■ <b>HF.9.a</b> Facilities available to separate goat from the rest of the group if necessary</p>
	<p>■ <b>HF.9.b</b> Clean, dry bedding provided and replaced regularly</p>
	<p>■ <b>HF.9.c</b> Lighting allows close inspection of livestock</p>

STANDARDS	HOW YOU WILL BE MEASURED
<p><b>HF.10</b> Facilities must be available on-farm that enable the loading and unloading of livestock with minimal stress and risk of injury to livestock and handlers <b>REVISED</b></p>	<p>■ <b>HF.10.a</b> Adequate lighting to inspect stock at point of loading</p>
	<p>■ <b>HF.10.b</b> Structurally sound and stable gates/barriers to prevent livestock escaping</p>
	<p>■ <b>HF.10.c</b> Facilities are free from sharp edges or other projections which may cause injury to livestock and handlers</p>
	<p>■ <b>HF.10.d</b> If loading ramps are used they are of appropriate maximum angle, they are designed to minimise the risk of slipping and have secure side guards – ramp angles do not exceed 26.6° for adult goats and 20° for goats</p>
	<p>■ <b>HF.10.e</b> The use of tailgating to overcome site access issues and minimise journey lengths is only permitted if it occurs within the loading site boundary, with suitable facilities (as defined in legislation)</p>
<p><b>AIM: Appropriate shelter and conditions are available at all times for livestock kept outdoors (applicable to livestock in fields, corrals and forage crops)</b></p>	
<p><b>HF.11</b> Livestock kept outdoors must have access to shelter and well-drained lying areas <b>REVISED</b></p>	<p>■ <b>HF.11.a</b> Shelter provided (natural or man-made; hedges, trees, walls) to protect from inclement weather</p>
	<p>■ <b>HF.11.b</b> Well-drained lying areas</p>
<p><b>HF.12</b> There must be controls in place to minimise overgrazing and poaching</p>	<p>■ <b>HF.12.a</b> Outdoor feeding areas are situated so that they do not become excessively poached or allow a large build-up of dung</p>
	<p>■ <b>HF.12.b</b> Tracks and gateways are maintained to reduce the risk of foot damage or injury</p>

# Feed and Water (FW)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: All livestock receive a daily diet sufficient to maintain full health</b>		
<p><b>FW.1 Key</b> Livestock must be provided with sufficient feed</p>	<p>■ <b>FW.1.a</b> The diet is adequate to maintain rumen function and suitable to the production status and body condition of the animal</p>	<p><b>R</b></p> <ul style="list-style-type: none"> <li>■ BCS sheets</li> <li>■ Feed plan</li> </ul>
<p>■ <b>FW.1.b</b> No unmanaged welfare outcomes in relation to Body Condition Score of milking herd</p>		
<p>■ <b>FW.1.c</b> Systems in place to ensure nutritional needs are met: – either regular documented body condition scoring or – documented feed plan for the milking herd plus documented feed plans for dry goats and kids Feed plans available and reviewed twice a year, updated as required and kept for two years</p>		
<p><b>FW.1.1</b> A system is in place to ensure newborn kids receive sufficient, suitable colostrum</p>	<p>■ <b>FW.1.1.a</b> The health plan clearly shows there is a system in place to ensure that sufficient colostrum is received as soon as possible after birth, but within six hours</p>	<p><b>R</b></p> <ul style="list-style-type: none"> <li>■ Health plan</li> </ul>
<p>■ <b>FW.1.1.b</b> Alternative sources of colostrum (fresh/frozen/artificial) are available in the event of the dam not being able to produce</p>		
<p><i>FW.1.2 (Recommendation)</i> <b>Colostrum or milk from known Johne's positive goats must not be fed to youngstock (suckling of dams permissible)</b></p>	<p>■ <b>FW.1.2.a</b> Detail contained within colostrum policy in herd health plan including alternative provision e.g. frozen colostrum from clean goats/powdered colostrum</p>	
	<p>■ <b>FW.1.2.b</b> ID of known Johne's positive animals recorded and available</p>	
<p><i>FW.1.3 (Recommendation)</i> <b>It is recommended that milk (excluding colostrum) from goats under the statutory withdrawal period for antibiotics is not fed to youngstock (suckling of dams permissible)</b></p>		
<p><b>FW.2</b> All livestock must be provided with sufficient access to feed</p>	<p>■ <b>FW.2.a</b> There is enough feeding space per animal - dependent on the system of feeding See Appendix for recommended space allowances</p>	
	<p>■ <b>FW.2.b</b> Feeding systems inc. automatic feeders are checked at least daily to ensure they are working and clean</p>	

STANDARDS	HOW YOU WILL BE MEASURED
<p><b>FW.3 Key</b> All livestock must be provided with adequate access to a supply of fresh, clean drinking water <b>REVISED</b></p>	<p>■ <b>FW.3.a</b> The water supply is sufficient to cover times of peak demand e.g. during hot weather/lactation and there is sufficient trough space/drinkers for the number of livestock</p>
	<p>■ <b>FW.3.b</b> Water is easily accessible to livestock and troughs do not obstruct walkways and feeding areas and do not have the potential to cause injury to livestock</p>
	<p>■ <b>FW.3.c</b> Water troughs are kept clean</p>
	<p>■ <b>FW.3.d</b> Provision is made to ensure an emergency supply of suitable drinking water can be supplied if normal supplies were to fail</p>
	<p><b>GUIDANCE</b> <i>Emergency water supply is an alternative source of water i.e. tankers, fire service, natural etc.</i></p>
	<p>■ <b>FW.3.e</b> Grazing livestock have access to water, regardless of crop being grazed</p>
<p><b>AIM: Animal feed is suitable and traceable</b></p>	
<p><b>FW.4 Key</b> Feed must be suitable</p>	<p>■ <b>FW.4.a</b> Feed is not stale or contaminated</p>
	<p>■ <b>FW.4.b</b> Feed only includes feed materials and additives permitted by the scheme and UK and EU law</p>
	<p>■ <b>FW.4.c</b> The composition of all purchased and home mixed feed is known</p>
	<p>■ <b>FW.4.d</b> Materials produced by Anaerobic Digesters are prohibited for animal feed</p>
	<p>■ <b>FW.4.e</b> Injurious weeds (e.g. ragwort) that livestock have access to are controlled</p>
	<p>■ <b>FW.4.f</b> No non-permitted materials are used, specifically:</p> <ul style="list-style-type: none"> <li>– no antibiotic or hormonal growth promoters</li> <li>– no animal products or by-products (mammalian, avian or fish) except for fish oils and milk products</li> <li>– no rejected food that contain meats or have been in contact with meat (including bakery)</li> <li>– no catering waste, including used cooking oils</li> </ul>

## Feed and Water (FW) (continued)

STANDARDS	HOW YOU WILL BE MEASURED	
<p><b>FW.5</b> Bought-in feed must be from an assured source or in specific circumstances with a warranty declaration</p>	<p>■ <b>FW.5.a</b> Compounds and blended feed - UFAS, or equivalent</p>	<p><b>R</b> ■ Warranty Declaration</p>
<p>■ <b>FW.5.b</b> Bagged or sealed compounded or blended feed, minerals, mineral blocks/licks (except for rock salt), supplements and milk replacers are sourced from a UFAS merchant or from a non-UFAS merchant by a UFAS, or equivalent, compounder</p>	<p>■ <b>FW.5.c</b> Straights from a merchant, processed food by-products and co-products from the biofuels industry are UFAS, FEMAS, or equivalent</p>	
<p>■ <b>FW.5.d</b> The following materials are sourced with a completed warranty declaration:</p> <ul style="list-style-type: none"> <li>– farm-to-farm supplies of any feeds unexpectedly in surplus</li> <li>– hay and/or silage purchased</li> <li>– roots and vegetable/fruit which have not been processed beyond basic grading and washing</li> </ul>		
<p><b>GUIDANCE</b> See Appendix for equivalent schemes. Red Tractor warranty declaration template provides details of what information should be included.</p>		
<p><b>FW.5.1 (Recommendation)</b> When sourcing cereals, fruit or vegetables from another farm it is recommended that the supplying farm is a member of a farm assurance scheme</p>	<p>■ <b>FW.5.1.a</b> Cereals from an assured combinable crops farm accompanied by a grain passport</p>	
	<p>■ <b>FW.5.1.b</b> Fruit and vegetables from an assured fresh produce farm accompanied by a warranty declaration</p>	<p><b>R</b> ■ Feed delivery documents/ invoices/warranty declarations/grain passports/own records</p>
<p><b>FW.6</b> Records of all feedstuffs purchased must be kept</p>	<p>■ <b>FW.6.a</b> Feed records detail:</p> <ul style="list-style-type: none"> <li>– supplier name</li> <li>– feed type including ingredient composition</li> <li>– date of delivery</li> <li>– quantity</li> <li>– load or batch number</li> </ul>	
	<p>■ <b>FW.6.b</b> Records are kept for two years</p>	

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: On-farm mixing produces safe animal feed</b>		
<p><b>FW.7</b> When mixing two or more feed materials together records must be kept</p>	<p>■ <b>FW.7.a</b> For total mixed rations (TMR) that incorporate forages or moist feeds produced on a daily basis, produce a record of the ingredients and quantities and update it when the mix changes</p> <p>■ <b>FW.7.b</b> For home mixed compounds, meals or blends based on dry feed ingredients records of every batch mixed are kept detailing ingredients, quantities, mixing dates</p>	<p><b>R</b></p> <p>■ Home mixing records</p>
<p><b>GUIDANCE</b> <i>Forage only, (forage top-dressed with concentrates) or single feeds mixed with water do not require records</i></p>		
<p><b>FW.8</b> When the mix formulation changes samples must be kept</p>	<p>■ <b>FW.8.a</b> Samples of dry feed ingredients (over 3% inclusion) are kept</p> <p>■ <b>FW.8.b</b> For dry mixes, samples of finished feed mix are kept</p> <p>■ <b>FW.8.c</b> Samples are kept for a minimum of four weeks after last use</p> <p>■ <b>FW.8.d</b> Samples are: – representative (small samples from several different points) – of adequate quantity (approx. 0.5 kg) – free from contamination – identifiable (labelled with feed details and date) – stored in a cool, dry area</p>	
<p><b>FW.9</b> If mixing using ‘pre-mixtures’, ‘additives’ or medicated feeds you must have Local Authority approval and meet any associated obligations</p>	<p>■ <b>FW.9.a</b> The site has Local Authority Approval</p> <p>■ <b>FW.9.b</b> There is a HACCP system in place</p> <p>■ <b>FW.9.c</b> There is a Quality Control Plan in place</p> <p>■ <b>FW.9.d</b> Additional approval from the Veterinary Medicines Directorate (VMD) is in place if medicated feeds are being incorporated</p>	<p><b>R</b></p> <p>■ Local authority approval ■ HACCP ■ Quality Control Plan ■ VMD approval</p>
<p><b>GUIDANCE</b> <i>Not applicable to the inclusion of bought in mineral feeding stuffs (labelled as such) used in TMRs. Feed additives are substances such as vitamins, trace elements (e.g. copper and zinc) and preservatives. Pre-mixtures are mixtures of additives at high concentrations.</i></p>		
<p><b>FW.10</b> Mobile feed mixing contractors must be suitably certified</p>	<p>■ <b>FW.10.a</b> Contractors certified to the NAAC Assured Land Based Contractor Mobile Feed Mixing and Processing scheme, or scheme deemed equivalent by Red Tractor</p>	<p><b>R</b></p> <p>■ Contractors’ NAAC registration number</p>

## Feed and Water (FW) (continued)

STANDARDS	HOW YOU WILL BE MEASURED
<b>AIM: Feed remains clean, palatable and free from contamination</b>	
<b>FW.11</b> <b>Controls must be in place to minimise the risk of contamination of feeds by machinery and equipment</b>	<ul style="list-style-type: none"> <li>■ <b>FW.11.a</b> All feeding and mixing equipment and lorries/trailers/feed boxes/buckets used for transporting feed are maintained in a clean condition and are suitable for purpose</li> </ul>
<b>FW.12 Key</b> <b>Feed must be stored in a manner which minimises the risk of contamination</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>FW.12.a</b> Storage facilities protect against feed contamination by domestic animals, wildlife and vermin</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>FW.12.b</b> Storage facilities for dry feed are weatherproof</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>FW.12.c</b> In loose feed storage areas lighting is covered unless shatterproof bulbs are used</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>FW.12.d</b> Risk of cross contamination is minimised by ensuring feed is readily identifiable and keeping different feeds separate</li> </ul>
<div style="display: flex; align-items: flex-start;"> <div style="margin-right: 10px;">  </div> <div> <p><b>WHERE TO FIND HELP</b></p> <ul style="list-style-type: none"> <li>⁂ To check if your supplier is UFAS or FEMAS assured visit: <a href="http://www.aictradeassurance.org.uk">www.aictradeassurance.org.uk</a></li> <li>⁂ For further guidance on the Feed Hygiene regulation visit: <a href="https://www.food.gov.uk/business-industry/farmingfood/animalfeed/animalfeedlegislation/approvregfeedguidance">https://www.food.gov.uk/business-industry/farmingfood/animalfeed/animalfeedlegislation/approvregfeedguidance</a></li> <li>⁂ To check if your mobile feed mixer contractor is assured vis: <a href="http://www.naac.co.uk">www.naac.co.uk</a></li> <li>⁂ For more information on injurious weeds see the Identification of Injurious Weeds at: <a href="https://www.gov.uk/government/publications/identification-of-injurious-weeds">https://www.gov.uk/government/publications/identification-of-injurious-weeds</a></li> </ul> </div> </div>	

# Animal Health and Welfare (AH)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Proactive management of the health and welfare of all livestock through planning and reviewing</b>		
<p><b>AH.1 Key</b>  <b>A written Health Plan must be established and implemented</b> <span style="background-color: #8e44ad; color: white; padding: 2px;">REVISED</span></p>	<ul style="list-style-type: none"> <li>■ <b>AH.1.a</b> The plan is signed, dated and reviewed annually by the vet</li> <li>■ <b>AH.1.b</b> The plan is farm specific and updated as and when changes occur</li> <li>■ <b>AH.1.c</b> The plan makes reference to those responsible for livestock and other relevant persons e.g. nutritionist, foot trimmer, nominated vet or nominated vet practice</li> <li>■ <b>AH.1.d</b> The dated plan includes elements prescribed in the Appendix: <ul style="list-style-type: none"> <li>– biosecurity policy</li> <li>– infectious disease inc. Johnes</li> <li>– vaccination</li> <li>– parasite control</li> <li>– foot care and lameness management</li> <li>– mastitis</li> <li>– metabolic disorders</li> <li>– management of drying off</li> <li>– colostrum policy</li> <li>– husbandry procedures</li> <li>– identifying treated animals</li> <li>– pain relief procedures</li> <li>– dealing with TB reactors</li> <li>– euthanasia</li> </ul> </li> <li>■ <b>AH.1.e</b> Plan is easily accessible to all persons involved in the care and management of the stock</li> </ul>	<div style="border: 1px solid #8e44ad; border-radius: 50%; width: 30px; height: 30px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">R</div> <p>■ Health plan</p>
<p><b>AH.1.1</b>  <b>A written annual livestock health and performance review must be undertaken by the nominated vet or a vet from the nominated vet practice</b> <span style="background-color: #8e44ad; color: white; padding: 2px;">REVISED</span></p>	<ul style="list-style-type: none"> <li>■ <b>AH.1.1.a</b> Review of records/data taking into account those records maintained throughout the year;  <b>Health and Performance data</b> <ul style="list-style-type: none"> <li>– lameness</li> <li>– mortality records</li> <li>– culling records and reasons for culling</li> <li>– mastitis</li> <li>– disease</li> <li>– parasites</li> </ul> <b>Medicine usage data</b> <ul style="list-style-type: none"> <li>– medicine administration records</li> <li>– medicine collation</li> <li>– antibiotic collation</li> <li>– review use of HP-CIAs</li> <li>– prophylactic treatments</li> <li>– review cascade use</li> <li>– make recommendations for alternative strategies and reduction where possible</li> </ul> </li> <li>■ <b>AH.1.1.b</b> The review is signed and dated by the nominated vet/ or vet from the nominated vet practice</li> <li>■ <b>AH.1.1.c</b> Provide recommendations for any updates to the health plan if required</li> </ul>	<div style="border: 1px solid #8e44ad; border-radius: 50%; width: 30px; height: 30px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">R</div> <p>■ Health and performance review</p>

## Animal Health and Welfare (AH) (continued)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AH.1.3</b> <b>Johne's disease must be managed with the vet as detailed in the health plan</b>	<ul style="list-style-type: none"> <li>■ <b>AH.1.3.a</b> Plan is implemented</li> </ul>	
<b>AH.2</b> <b>Records of the health and performance of livestock must be maintained throughout the year</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>AH.2.a</b> Records include an annual collation of:                             <ul style="list-style-type: none"> <li>– lameness</li> <li>– mastitis</li> <li>– mortality records inc. cause of deaths if known</li> <li>– culling records and reasons for culling</li> <li>– medicine records including reason for treatment</li> <li>– abattoir feedback (where provided and applicable)</li> </ul> </li> </ul>	<div style="text-align: center; border: 1px solid black; border-radius: 50%; width: 30px; height: 30px; margin: 0 auto; line-height: 30px;">R</div> <ul style="list-style-type: none"> <li>■ Farm records</li> <li>■ Recording tools</li> <li>■ Mortality records</li> </ul>
<b>AH.3</b> <b>The nominated vet/ vet practice must visit the farm at least annually and see the livestock</b> <b>REVISED</b>		
<b>AIM: The Health and Welfare of all livestock is being checked and managed</b>		
<b>AH.4 Key</b> <b>The health and welfare of livestock must be met at all times</b>	<ul style="list-style-type: none"> <li>■ <b>AH.4.a</b> Any health or welfare issues have been detected and are being managed</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AH.4.b</b> Unmarketable livestock have their welfare needs met prior to humane euthanasia</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AH.4.c</b> No unmanaged issues identified in welfare outcome scoring</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AH.4.d</b> Lame goats identified, treated and managed in accordance with the Herd Health Plan</li> </ul>	
<b>AH.5</b> <b>The health and welfare of livestock must be checked regularly</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>AH.5.a</b> Livestock checks as follows:                             <ul style="list-style-type: none"> <li>– at least minimum daily checks for livestock outside, twice daily inside</li> <li>– increased checks for newborns and those about to give birth</li> <li>– flock inspection frequency in extensive, upland areas appropriate to need</li> </ul> </li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AH.5.b</b> Checks made for signs of illness, injury and stress</li> </ul>	
<b>AH.6 Key</b> <b>Livestock must be handled in a way that avoids injury and minimises stress</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>AH.6.a</b> Livestock are handled in a manner without frightening and excessive force and not in a way to cause pain and suffering Refer to Red Tractor Appendix for definition of unacceptable behaviour with regards to livestock handling</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AH.6.b</b> No electric goads</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AH.6.c</b> Dogs kept under control</li> </ul>	

STANDARDS	HOW YOU WILL BE MEASURED		
<p><b>AH.6.1 (Recommendation)</b>  <b>It is recommended that CCTV is in operation and is used to monitor animal welfare</b> <b>NEW</b></p>	<p>■ <b>AH.6.1.a</b>  CCTV is in operation in the parlour and main handling area</p>	<p><b>R</b>  ■ CCTV procedure</p>	
<p>■ <b>AH.6.1.b</b>  CCTV is operational</p>	<p>■ <b>AH.6.1.c</b>  Footage is recorded and kept for a minimum of 30 days</p>		
<p>■ <b>AH.6.1.d</b>  A documented procedure is followed with regards to review of the footage and staff training</p>	<p>■ <b>AH.6.1.e</b>  Signage is displayed to inform personnel and visitors that CCTV is in use and its purpose</p>		
<p>■ <b>AH.6.1.f</b>  CCTV footage is made available for assessment purposes, on request</p>			
<p><b>AIM: The health and welfare of all livestock is being managed by competent people</b></p>			
<p><b>AH.7 Key</b>  <b>All persons looking after the health and welfare of livestock must be demonstrably competent</b></p>	<p>■ <b>AH.7.a</b>  All personnel have skills and knowledge in animal husbandry and are aware of unacceptable behaviours</p>		
	<p>■ <b>AH.7.b</b>  The member can demonstrate they are confident that any contractors used. e.g. foot trimmers, shearers, AI technicians are competent</p>		
<p><b>AIM: Effective and appropriate management of sick and injured livestock</b></p>			
<p><b>AH.8 key</b>  <b>Sick or injured livestock must receive prompt attention in order that suffering is not prolonged</b></p>	<p>■ <b>AH.8.a</b>  Livestock that are sick from a non-infectious condition or injured are treated either within the group or are moved to segregation facilities</p>		
	<p>■ <b>AH.8.b</b>  For an infectious condition, if appropriate, the animal is isolated from the main flock/herd</p>		
	<p>■ <b>AH.8.c</b>  If appropriate a vet has been involved</p>		
<p><b>AH.8.1</b>  <b>Appropriate facilities must be provided for the segregation or isolation of sick or injured livestock</b> <b>REVISED</b></p>	<p>■ <b>AH.8.1.a</b>  Segregation facilities for livestock that are sick or injured from a non-infectious condition are available at all times. Facilities can be situated within/close to other livestock housing and in some cases a field may be suitable</p>		
	<p>■ <b>AH.8.1.b</b>  Isolation facilities for livestock suffering from an infectious condition are available for use within three hours. The facility is capable of being cleansed and disinfected and does not allow direct contact with any other animal and its drainage prevents contamination of other livestock areas.</p>		
	<p>■ <b>AH.8.1.c</b>  Facilities are managed and maintained in accordance with scheme standards for housing and facilities, feed and water</p>		
<p><b>GUIDANCE</b>  <i>Rules related to notifiable diseases including TB may go above scheme requirements</i></p>			

## Animal Health and Welfare (AH) (continued)

STANDARDS	HOW YOU WILL BE MEASURED	
<p><b>AH.9 Key</b>  <b>Livestock that do not respond to treatment or require emergency euthanasia must be promptly and humanely euthanased by a competent person</b></p>	<p>■ <b>AH.9.a</b>            Evidence in health plan that euthanasia is carried out by a competent person using acceptable methods</p>	<p><b>R</b>            ■ Euthanasia policy</p>
<p>■ <b>AH.9.b</b>            The competent person is available to production sites as soon as possible (normally within-60 minute drive) in order to deal with emergency cases promptly and prevent unnecessary suffering</p>		
<p><b>AIM: The welfare needs of livestock are met</b></p>		
<p><b>AH.10</b>  <b>Goats must be provided with environmental enrichment</b></p>		
<p> <b>WHERE TO FIND HELP</b>            Guidance on euthanasia can be found at <a href="http://www.hsa.org.uk">www.hsa.org.uk</a></p>		

# Youngstock (CR)

STANDARDS	HOW YOU WILL BE MEASURED
<b>AIM: The welfare needs of all youngstock not reared on their dams or suckling other maternal stock are met</b>	
<p><b>CR.1</b> Housing areas must provide for the specific needs of artificially reared youngstock</p>	<ul style="list-style-type: none"> <li>■ <b>CR.1.a</b> The housing area and pens are constructed and maintained to provide a safe, comfortable and hygienic environment.</li> <li>■ <b>CR.1.b</b> Effectively ventilated and lit</li> <li>■ <b>CR.1.c</b> Clean, dry, bedded and have a non-slatted lying area</li> <li>■ <b>CR.1.d</b> Of sufficient size to allow kids to lie down simultaneously, rise without difficulty, stretch and move freely without injury</li> <li>■ <b>CR.1.e</b> Visual and tactile contact with others kids (where there are two or more)</li> <li>■ <b>CR.1.f</b> Livestock over eight weeks to be housed in groups of two or more</li> <li>■ <b>CR.1.g</b> Flooring is non-slip and maintained</li> </ul>
<p><b>CR.2 Key</b> Artificially reared youngstock must receive a daily diet to maintain their health and welfare</p>	<ul style="list-style-type: none"> <li>■ <b>CR.2.a</b> Kids provided with at least two milk feeds a day until 28 days and not weaned before five weeks of age</li> <li>■ <b>CR.2.b</b> Manufacturers' instructions followed for artificial milk replacers where used</li> <li>■ <b>CR.2.c</b> Youngstock not weaned until sufficient hard feed is eaten</li> <li>■ <b>CR.2.d</b> At the latest youngstock are given dry, fresh, clean feed including forage from eight days of age</li> <li>■ <b>CR.2.e</b> Feeding equipment teats positioned for easy reach</li> <li>■ <b>CR.2.f</b> Feeding equipment kept in a clean condition</li> </ul>
<p><b>CR.3 Key</b> Artificially reared youngstock must be provided with sufficient access to clean fresh drinking water</p>	<ul style="list-style-type: none"> <li>■ <b>CR.3.a</b> Unrestricted access provided at all times from birth</li> </ul>

# Biosecurity and Disease Control (BI)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Effective biosecurity measures to prevent the spread of disease and protect food safety and animal health</b>		
<p><b>BI.1 Key</b> A documented Biosecurity Policy must be in place</p>	<p>■ <b>BI.1.a</b> The policy is specific to the farm and updated to reflect any changes in practice in relation to the farm health and performance reviews</p>	<p><b>R</b> ■ Farm biosecurity policy (Can form part of the health plan)</p>
<p>■ <b>BI.1.b</b> The policy details controls on-farm to manage biosecurity risks to the farm including risks from:</p> <ul style="list-style-type: none"> <li>– incoming stock (bought-in and returning livestock)</li> <li>– equipment including husbandry contractors equipment and clothing</li> <li>– vehicles</li> <li>– farm visitors that have access to livestock</li> <li>– working dogs and domestic pets on the farm have a worming routine</li> </ul>	<p>■ <b>BI.1.c</b> The policy is being implemented</p>	
<p><b>BI.2</b> Cleaning and disinfecting facilities must be available for use on the farm</p>	<p>■ <b>BI.2.a</b> DEFRA-approved disinfectants for the species handled and cleaning equipment available for use on boots, clothing, vehicles and facilities</p>	
<p><b>BI.3</b> Disease Risks to livestock from within the farm holding must be minimised <b>REVISED</b></p>	<p>■ <b>BI.3.a</b> Grazing livestock do not have direct access to muck heaps</p>	<p>■ <b>BI.3.b</b> Where manure/slurry/soil improvement products are spread on grazing land, grazing intervals in relevant Appendix are adhered to</p>
<p> <b>WHERE TO FIND HELP</b></p> <p>n For help in identifying biosecurity risks visit: <a href="http://www.nadis.org.uk">www.nadis.org.uk</a></p> <p>n For legal guidance and a list of Defra approved disinfectants visit: <a href="#">Defra, UK - Disinfectants</a></p> <p>n Check local TB outbreaks data online at: <a href="http://www.ibtb.co.uk">www.ibtb.co.uk</a></p>		

# Animal Medicines (AM)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Responsible and competent use of medicines and veterinary treatments</b>		
<b>AM.1 Key</b> <b>Only authorised veterinary medicines are used</b>	<ul style="list-style-type: none"> <li>■ <b>AM.1.a</b> POM-V products are prescribed by a vet</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AM.1.b</b> POM-VPS products are prescribed by a vet, pharmacist or Suitably Qualified Person (SQP)/Registered Animal Medicines Advisor (RAMA)</li> </ul>	
<b>AM.2 Key</b> <b>Veterinary medicines must be used appropriately</b> <span style="background-color: #800080; color: white; padding: 2px;">REVISED</span>	<ul style="list-style-type: none"> <li>■ <b>AM.2.a</b> Prescription-only medicines are used in accordance with the prescription</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AM.2.b</b> General Sales Medicine (AVM-GSL products) (non-prescription) are used in accordance with manufacturers' or veterinary instructions</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AM.2.c</b> Expired medicines and open medicines not used within specified timescale (in-use shelf life) are not used</li> </ul>	
	<ul style="list-style-type: none"> <li>■ <b>AM.2.d</b> Antibiotic footbaths must not be used in milking stock</li> </ul>	
<p><b>GUIDANCE</b></p> <p>A Veterinary Medicinal Product is legally defined as:</p> <ul style="list-style-type: none"> <li>– any substance or combination of substances presented as having properties for treating or preventing disease in animals</li> <li>– any substance or combination of substances that may be used in, or administered to, animals with a view either to restoring, correcting or modifying physiological functions by exerting a pharmacological, immunological or metabolic action, or to making a medical diagnosis</li> </ul> <p>Veterinary medicinal products used to treat and prevent disease in farm animals include, but are not limited to, vaccines, ecto- and endoparasiticides, antibiotics, anti-inflammatories and anesthetics.</p> <p>POM-V stands for 'Prescription Only Medicine – Veterinarian' and these veterinary products may only be supplied upon prescription by a veterinary surgeon for animals under their care. All antibiotics for food-producing animals are classified as POM-V.</p> <p>POM-VPS standards for 'Prescription-Only Medicine – Veterinarian, Pharmacist, Suitably Qualified Person (SQP)' and these products may be prescribed by these registered qualified persons.</p> <p>The use of antibiotics as growth promoters is illegal. The use of certain substances which have a hormonal or thyrostatic action, including beta-agonists, except for specific therapeutic purposes, is also prohibited.</p>		
<b>AM.2.1</b> <b>Udder and hoof care products must not contain quaternary ammonium compounds (QACs)</b>	<ul style="list-style-type: none"> <li>■ <b>AM.2.1.a</b> Either the chemical data sheet or a warranty declaration from the supplier confirms product does not contain QACs</li> </ul>	<div style="text-align: center;"><span style="border: 1px solid black; border-radius: 50%; padding: 2px 6px;">R</span></div> <ul style="list-style-type: none"> <li>■ Chemical data sheets/warranty declaration</li> </ul>
<b>AM.3</b> <b>Veterinary medicines must only be administered by demonstrably competent persons</b>	<ul style="list-style-type: none"> <li>■ <b>AM.3.a</b> Person undertaking task has relevant experience or training</li> </ul>	<div style="text-align: center;"><span style="border: 1px solid black; border-radius: 50%; padding: 2px 6px;">R</span></div> <ul style="list-style-type: none"> <li>■ Named in HHP or training records</li> </ul>
<b>AM3.1</b> <b>At least one person, who is responsible for administering medicines has undertaken training and holds a certificate of competence/attendance from training undertaken since October 2016</b> <span style="background-color: #800080; color: white; padding: 2px;">NEW</span>	<ul style="list-style-type: none"> <li>■ <b>AM.3.a</b> Training courses cover at least:               <ul style="list-style-type: none"> <li>– medicine types</li> <li>– medicine handling</li> <li>– administration</li> <li>– medicine storage</li> <li>– recording requirements</li> <li>– avoiding residues</li> </ul> </li> </ul>	<div style="text-align: center;"><span style="border: 1px solid black; border-radius: 50%; padding: 2px 6px;">R</span></div> <ul style="list-style-type: none"> <li>■ Certificate of competence/attendance</li> <li>■ Training records</li> </ul>
<p><b>GUIDANCE</b></p> <p>Courses include, but are not limited to, City &amp; Guilds, NOAH/Lantra Antimicrobial Best Practice and veterinary led training courses, as approved by Red Tractor. A list of approved courses can be found on the Red Tractor Assurance website</p>		

# Animal Medicines (AM) (continued)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Safe, secure and responsible management of medicines</b>		
<b>AM.4</b> <b>Veterinary medicines must be stored appropriately</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>AM.4.a</b> Kept in a locked storage facility/room. * This must not be in the same room as that classified as the dairy.</li> <li>■ <b>AM.4.b</b> Stored in accordance with the manufacturer's instructions</li> <li>■ <b>AM.4.c</b> Medicated feed is kept in separate clearly labelled bulk storage or bags</li> <li>■ <b>AM.4.d</b> Medicines that require refrigeration and are stored in a domestic fridge are stored in a container</li> </ul>	
<b>AM.5</b> <b>Purchase records for all veterinary medicines must be kept</b>	<ul style="list-style-type: none"> <li>■ <b>AM.5.a</b> Records detail:               <ul style="list-style-type: none"> <li>– identity of medicine</li> <li>– quantity</li> <li>– date of purchase</li> <li>– supplier name and address</li> <li>– expiry date(s)</li> <li>– batch number(s)</li> </ul> </li> <li>■ <b>AM.5.b</b> Medicine purchase records are kept for five years</li> <li>■ <b>AM.5.c</b> Medicated Feedstuff Prescriptions (MFSPs) are kept for 5 years</li> </ul>	<div style="text-align: center;"><b>R</b></div> <ul style="list-style-type: none"> <li>■ Medicine purchase records</li> <li>■ MFSPs (may be held centrally by parent company)</li> </ul>
<b>AM.6 Key</b> <b>Records must be kept of all administered veterinary medicines (paper and/or electronic)</b> <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>AM.6.a</b> Records detail:               <ul style="list-style-type: none"> <li>– identity of medicine</li> <li>– date of administration</li> <li>– quantity administered</li> <li>– length of withdrawal period for meat/milk</li> <li>– identification of the animal or group of animals administered</li> <li>– batch number</li> <li>– number of animals treated</li> <li>– date treatment finished</li> <li>– date animal/milk becomes fit for human consumption</li> <li>– name of person administering medicine</li> <li>– reason for treatment</li> </ul> </li> </ul>	<div style="text-align: center;"><b>R</b></div> <ul style="list-style-type: none"> <li>■ Medicine administration records</li> </ul>
	<ul style="list-style-type: none"> <li>■ <b>AM.6.b</b> Medicine administration records are kept for five years</li> </ul>	
<p><b>GUIDANCE</b></p> <p><i>The required information does not need to be in a single location (e.g. a medicine book); it can be stored in a combination of documents. However, a farm must be able to demonstrate how the information can be collated to correlate administration of particular medicines to specific groups of livestock so as to ensure the food chain is protected and any use of medicines is traceable.</i></p> <p><i>For example, medicines administered routinely to groups of animals, such as vaccines, need not be individually entered in the medicine book – a list in the VHP, medicine book or other document covering the necessary information will suffice as long as it can be correlated against other farm records and associated medicine purchase records</i></p>		

STANDARDS	HOW YOU WILL BE MEASURED		
<p><b>AM.7</b>  <b>Veterinary medicines, their containers and administration equipment must be disposed of responsibly</b> <b>REVISED</b></p>	<p>■ <b>AM.7.a</b>  Expired and unusable medicines awaiting disposal are stored separately to in-use medicines</p>	<p><b>R</b></p> <ul style="list-style-type: none"> <li>■ Medicine disposal records</li> <li>■ Waste transfer note/receipt</li> </ul>	
<p>■ <b>AM.7.b</b>  Used needles and blades are stored in a dedicated sharps container pending disposal</p>	<p>■ <b>AM.7.c</b>  Records of medicine disposal are kept, detailing:</p> <ul style="list-style-type: none"> <li>– identity of medicine</li> <li>– batch number</li> <li>– quantity</li> <li>– date of disposal</li> <li>– route of disposal</li> </ul>		
<p>■ <b>AM.7.d</b>  Medicines, their containers and administration equipment are disposed of through the supplier, registered waste disposal contractor or local authority, referring to the product literature for further guidance</p>	<p>■ <b>AM.8.a</b>  Products collated by product name either by vet from prescription data or completed by farmer from medicine records</p>		<p><b>R</b></p> <ul style="list-style-type: none"> <li>■ Collation of antibiotic use</li> </ul>
<p><b>AM.8</b>  <b>Medicine records must provide an annual collation of total antibiotic used for the farm</b></p>	<p>■ <b>AM.9</b>  Use is supported by a veterinary statement outlining the justification for use, including sensitivity testing and/or diagnostics (this can occur in parallel with treatment)</p>		<p><b>R</b></p> <ul style="list-style-type: none"> <li>■ Vet statement</li> </ul>
<p><b>AM.9 Key</b>  <b>Use of HP-CIA antibiotics (i.e. those belonging to Category B “Restrict”, as defined by the European Medicines Agency), must only be as a last resort, under veterinary direction</b> <b>REVISED</b></p>	<b>AIM: Prevention of contamination in food</b>		
<p><b>AM.10 Key</b>  <b>Livestock being treated must be clearly identified and prescribed withdrawal periods complied with</b></p>	<p>■ <b>AM.10.a</b>  System in place that ensures no contaminated milk enters tank or/and no livestock are presented for slaughter before the withdrawal period has expired</p> <ul style="list-style-type: none"> <li>– for farm to farm sales, animals under statutory withdrawal periods are accompanied by a withdrawal period declaration</li> </ul>	<p><b>R</b></p> <ul style="list-style-type: none"> <li>■ Withdrawal period declaration</li> </ul>	
<p>■ <b>AM.10.b</b>  Means of identification remains in place for the full withdrawal period</p>	<p>■ <b>AM.10.c</b>  Withdrawal period for cascade use is in accordance with veterinary prescription (standard minimum is seven days for milk – followed by milk testing and 28 days for meat)</p>		
<p><b>GUIDANCE</b>  <i>Ensuring treated livestock are “identifiable” may be achieved in different ways. It is not a specific requirement that treated animals are physically marked, although this is one way of ensuring animals are identifiable. The key is that it is possible, through systems employed on the farm, to identify treated animals to protect the food supply chain.</i></p>			

## Animal Medicines (AM) (continued)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AM.11</b> <b>Procedures must be in place to deal appropriately with needles or part needles remaining in livestock</b>	<b>AM.11.a</b> Broken needle policy detailing: <ul style="list-style-type: none"> <li>– how the animal should be identified</li> <li>– procedures around informing the abattoir if sent for slaughter</li> <li>– records to be kept</li> </ul>	<div style="text-align: center;"><b>R</b></div> ■ Broken needle policy
	<b>AM.11.b</b> Broken needle policy is followed	
	<b>AM.11.c</b> Livestock containing broken needles may only be sold for slaughter if the animal is identifiable up to the time of slaughter	
<div style="display: flex; align-items: center;"> <b>WHERE TO FIND HELP</b> </div> <p>                     n For guidance on the responsible use of medicines visit: <a href="http://www.ruma.org.uk">www.ruma.org.uk</a>                      n NOAH Compendium of authorised veterinary medicine datasheets: <a href="https://www.noahcompendium.co.uk/">https://www.noahcompendium.co.uk/</a> </p>		

## Husbandry Procedures (HP)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Responsible and competent undertaking of husbandry procedures</b>		
<b>HP.1 Key</b> <b>Husbandry procedures are carried out by competent persons in accordance with the scheme requirements</b> <span style="background-color: #8e8e8e; color: white; padding: 2px;">REVISED</span>	<b>HP.1.a</b> Routine husbandry procedures are detailed in the health plan	<div style="text-align: center;"><b>R</b></div> ■ Health plan ■ Medicine records for pain relief ■ Training records
	<b>HP.1.b</b> Carried out in accordance with timescales outlined in the Appendix	
	<b>HP.1.c</b> Anaesthetic and analgesic are used in accordance with the Appendix	
	<b>HP.1.d</b> Carried out by appropriately trained and competent persons in accordance with the Appendix	
	<b>GUIDANCE</b> <i>A person is considered “competent” when they can demonstrate they understand the tasks they are required to do and how to undertake them correctly, e.g. how to use equipment</i>	

# Fallen Stock (FS)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Fallen stock, including euthanased, still births and afterbirths are managed in such a way to prevent risk of environmental contamination and spread of disease</b>		
<b>FS.1</b> Fallen stock must be removed from housing/pens/fields	<ul style="list-style-type: none"> <li>■ <b>FS.1.a</b> Evidence that checks for fallen stock are regularly conducted and any found are promptly removed</li> </ul>	
<b>FS.2 Key</b> Carcasses of fallen and euthanased stock must be stored in a manner that prevents contamination and protects them from vermin and other animals <b>REVISED</b>	<ul style="list-style-type: none"> <li>■ <b>FS.2.a</b> Carcasses awaiting collection are stored in a manner that does not threaten the biosecurity of the farm, out of public view, in containers or covered</li> <li>■ <b>FS.2.b</b> If any containers used as storage they are vermin proof (including birds)</li> <li>■ <b>FS.2.c</b> Kept away from milk production and collection areas</li> </ul>	
<b>FS.3</b> Carcasses must be disposed of correctly either by a licenced collector or by approved on-farm incineration	<ul style="list-style-type: none"> <li>■ <b>FS.3.a</b> Carcasses disposed of before they present an infestation/health risk</li> </ul>	<div style="border: 1px solid black; padding: 5px; background-color: #e0e0e0;"> <div style="text-align: center; font-weight: bold; font-size: 1.2em; border: 1px solid black; border-radius: 50%; width: 20px; height: 20px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">R</div> <ul style="list-style-type: none"> <li>■ NFSCo contract</li> <li>■ Competent Authority Incinerator Approval</li> <li>■ Collection records</li> </ul> </div>
<ul style="list-style-type: none"> <li>■ <b>FS.3.b</b> Carcasses collected by or taken to a licensed fallen stock collector</li> </ul>		
<ul style="list-style-type: none"> <li>■ <b>FS.3.c</b> No evidence of carcasses being disposed of by burning or burying (other than by incineration)</li> </ul>		
<ul style="list-style-type: none"> <li>■ <b>FS.3.d</b> On-farm incinerators are covered by species approval document issued by the relevant competent authority</li> </ul>		
<ul style="list-style-type: none"> <li>■ <b>FS.3.e</b> Records are kept for two years</li> </ul>		
<div style="display: flex; align-items: flex-start;"> <div style="margin-right: 10px;">  </div> <div> <p><b>WHERE TO FIND HELP</b></p> <ul style="list-style-type: none"> <li>n For guidance on animal by-products; storage, collection and disposal visit: <a href="#">Fallen stock and safe disposal of dead animals</a></li> <li>n National Fallen Stock Company (NFSCo) collection and disposal service for farmers: <a href="http://www.nfsco.co.uk/">http://www.nfsco.co.uk/</a></li> <li>n Government guidance on incineration of animal by-products: <a href="https://www.gov.uk/guidance/animal-by-products-how-to-burn-them-at-an-incinerator-site">https://www.gov.uk/guidance/animal-by-products-how-to-burn-them-at-an-incinerator-site</a></li> </ul> </div> </div>		

# Livestock Transport (LT)

STANDARDS	HOW YOU WILL BE MEASURED
<b>AIM: The health and welfare of livestock is managed during transportation</b>	
<p><b>LT.1 Key</b>  <b>Vehicles used maintain the health and welfare of livestock being transported</b>  <b>REVISED</b></p>	<ul style="list-style-type: none"> <li>■ <b>LT.1.a</b> Headroom that allows livestock to stand in a natural position</li> <li>■ <b>LT.1.b</b> Non-slip flooring</li> <li>■ <b>LT.1.c</b> Flooring that prevents the leakage of faeces and urine (as far as practicable)</li> <li>■ <b>LT.1.d</b> No sharp edges projections or other hazards which may cause injury</li> <li>■ <b>LT.1.e</b> Adequate ventilation</li> <li>■ <b>LT.1.f</b> Partitions are appropriately designed, constructed and positioned</li> <li>■ <b>LT.1.g</b> For journeys over eight hours, partitions are provided. For journeys less than eight hours, partitions are provided as necessary to allow segregation of stock and prevent individual or small groups of animals from injury</li> <li>■ <b>LT.1.h</b> The vehicle is secure with side gates to prevent livestock escaping during loading/unloading and whilst on-board</li> <li>■ <b>LT.1.i</b> Loading ramps have foot batons to reduce risk of slipping</li> <li>■ <b>LT.1.j</b> The internal and external ramp angles does not exceed: 26.6° for adult goats or 20° for kids</li> <li>■ <b>LT.1.k</b> Vehicles fitted with roof to protect from weather</li> <li>■ <b>LT.1.m</b> Lighting (fixed or portable i.e torch) is available for inspection of livestock</li> </ul>
<p><b>LT.2</b>  <b>Where bedding is used it must be clean, safe and suitable</b></p>	<ul style="list-style-type: none"> <li>■ <b>LT.2.a</b> Kids are provided with straw during transport</li> <li>■ <b>LT.2.b</b> Adult goats transported on non-slip flooring</li> </ul>
<p><b>LT.3</b>  <b>Legally required stocking densities must be followed in order to minimise stress and risk of injury</b></p>	<ul style="list-style-type: none"> <li>■ <b>LT.3.a</b> Adjustments made when required to allow for current weather conditions, type of vehicle and size and category of livestock</li> <li>■ <b>LT.3.b</b> Not tightly or loosely stocked</li> <li>■ <b>LT.3.c</b> Legal stocking densities outlined in Appendix adhered to</li> <li>■ <b>LT.3.d</b> Different species not carried in the same compartment</li> </ul>

STANDARDS	HOW YOU WILL BE MEASURED						
<p><b>LT.4 KEY</b> Livestock transported off the farm must be fit for the intended journey <b>REVISED</b></p>	<p>■ <b>LT.4.a</b> Livestock are able to bear weight on all four legs and walk onto the vehicle unaided</p>	<p>■ <b>LT.4.b</b> The following livestock are not transported;</p> <ul style="list-style-type: none"> <li>– sick or injured livestock where moving them would cause additional suffering</li> <li>– heavily pregnant females (where more than 90% of the gestation period has passed) unless being transported for veterinary treatment</li> <li>– females who have given birth during the last seven days</li> <li>– newborns with unhealed navels not transported</li> </ul>					
	<p><b>GUIDANCE</b> <i>It is permitted for a farmer or a haulier if appointed to transport heavily pregnant females (past 90% gestation) short distances under 50km e.g. between or within farm premises if the journey is for the purposes of improving conditions of birth.</i></p>						
	<p>■ <b>LT.4.c</b> The restrictions on youngstock are adhered to as outlined below:</p>	<table border="1"> <tr> <td data-bbox="635 831 938 902">Kids less than 14 days</td> <td data-bbox="938 831 1469 902">Not transported more than eight hours unless accompanied by their dam</td> </tr> <tr> <td data-bbox="635 902 938 974">Kids of less than seven days</td> <td data-bbox="938 902 1469 974">Not transported more than 100km unless accompanied by their dam</td> </tr> </table>		Kids less than 14 days	Not transported more than eight hours unless accompanied by their dam	Kids of less than seven days	Not transported more than 100km unless accompanied by their dam
	Kids less than 14 days	Not transported more than eight hours unless accompanied by their dam					
Kids of less than seven days	Not transported more than 100km unless accompanied by their dam						
<p><b>GUIDANCE</b> <i>Animals that are slightly ill or injured may only be transported under very limited circumstances including transport within keepership, only if it does not cause any unnecessary suffering.</i></p>							
<p><b>AIM: Well managed transportation</b></p>							
<p><b>LT.5</b> Livestock transported by a trained and competent person</p>	<p>■ <b>LT.5.a</b> Drivers have an understanding of handling and driving livestock to avoid injury, minimise stress and express normal behaviours</p> <p>■ <b>LT.5.b</b> Drivers hold the species specific Certificate of Competence for the journeys they undertake:</p> <ul style="list-style-type: none"> <li>– for journeys over 65km and less than 8 hours- Species Specific Certificate of Competence for Short Journeys</li> <li>– for journeys over eight hours Species Specific Certificate of Competence for Long Journeys</li> </ul>	<p><b>R</b></p> <p>■ Species Specific Certificate of Competence</p>					
<p><b>LT.6</b> Emergency plans and facilities must be in place</p>	<p>■ <b>LT.6.a</b> Driver equipped with a mobile phone and emergency contact numbers</p> <p>■ <b>LT.6.b</b> In the case of long journeys (over eight hours) written contingency plan is available</p>		<p><b>R</b></p> <p>■ Contingency plan</p>				
<p><b>LT.7</b> A valid transporter authorisation for the journeys undertaken must be held</p>	<p>■ <b>LT.7.a</b> Transporter authorisation held:</p> <ul style="list-style-type: none"> <li>– a short journey (Type 1) authorisation for journeys between 65km to up to eight hours</li> <li>– a long journey (Type 2) authorisation for journeys over eight hours</li> </ul>	<p><b>R</b></p> <p>■ Transporter authorisation</p>					

## Livestock Transport (LT) (continued)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>LT.8</b> Vehicles and trailers used for journeys in excess of eight hours must be approved under the relevant competent authority	<div style="text-align: right;">              ■ Vehicle approval certificate         </div>	
<b>AIM: Controls to prevent the spread of disease</b>		
<b>LT.9 Key</b> Vehicles must be cleaned and disinfected using DEFRA-approved disinfectants	<b>■ LT.9.a</b> Cleaned and disinfected after every load and within 24 hours of delivery unless vehicles are used to make repeat journeys between the same two points in a single day	
<div style="background-color: #f0f0f0; padding: 5px;">  <b>WHERE TO FIND HELP</b> <ul style="list-style-type: none"> <li>■ Government guidance on animal welfare during transport: <a href="https://www.gov.uk/guidance/animal-welfare#animal-welfare-during-transport">https://www.gov.uk/guidance/animal-welfare#animal-welfare-during-transport</a></li> <li>■ DEFRA approved disinfectants: <a href="https://www.gov.uk/guidance/defra-approved-disinfectant-when-and-how-to-use-it">https://www.gov.uk/guidance/defra-approved-disinfectant-when-and-how-to-use-it</a></li> <li>■ DAERA approved disinfectants: <a href="https://www.daera-ni.gov.uk/publications/approved-disinfectants">https://www.daera-ni.gov.uk/publications/approved-disinfectants</a></li> </ul> </div>		

## Environmental Protection (EC)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: No Chemical or physical contamination, pollution or spread of disease from any potential contaminants or wastes</b>		
<b>EC.1 Key</b> Potential pollutants must be stored in a manner that minimises the risk of contamination and pollution to crops, feedstuffs, animals, soils, groundwater and watercourses <b>REVISED</b>	<b>■ EC.1.a</b> Fuel tanks are bunded where required for legislation (See Appendix)	
	<b>■ EC.1.b</b> Agrochemicals are kept in a well-maintained store which allows for containment of any spillages	
	<b>■ EC.1.c</b> Manufactured fertilisers is stored on a hard dry surface	
	<b>■ EC.1.d</b> Fertilisers that pose a combustion or oxidiser hazard are stored in accordance with the Safety Data Sheet	
<b>GUIDANCE</b> <i>Potential pollutants include but not limited to, PPPs*, manufactured fertilisers, fuel oil, empty containers, disinfectants, rodenticides, dairy chemicals, sheep dip</i> <i>*PPP's are defined as any product with a current MAPP number</i>		

STANDARDS	HOW YOU WILL BE MEASURED	
<p><b>EC.1.1 Key</b>  <b>Organic manure must be stored in a manner that minimises the risk of contamination and pollution to crops, feedstuffs, animals, soils, groundwater and watercourses</b> <b>REVISED</b></p>	<p>■ <b>EC.1.1.a</b>  Organic manures (not including storage within a building) are not stored:</p> <ul style="list-style-type: none"> <li>– within 10m of inland freshwaters or coastal waters</li> <li>– within 50m of a spring, well or borehole</li> <li>– where there is significant risk of runoff entering watercourses</li> </ul>	<p style="text-align: center;"><b>R</b></p> <p>■ Documented slurry storage requirement calculation</p>
<p><b>GUIDANCE</b>  <i>Risk factors to take into account are: slope (especially if greater than 12 degrees), ground cover, proximity to watercourses or wetlands, weather conditions and forecasts, soil type and condition, presence and condition of land drains.</i></p>	<p>■ <b>EC.1.1.b</b>  Manure heap (not including field heaps) effluent run off does not enter a watercourse, either directly or through land drains, roads, tracks or other pathway.  In Scotland, manure heaps effluent is collected in an effluent tank or drained to a constructed farm wetland.</p>	
<p>■ <b>EC.1.1.c</b>  Where manure is stored in temporary field heaps, these must be moved at least every 12 months</p>	<p>■ <b>EC.1.1.d</b>  Slurry tanks, reception pits pipes and channels are impermeable</p>	
<p>■ <b>EC.1.1.e</b>  Above ground slurry stores with a pipe for emptying are fitted with at least two functioning valves (one of which can be the nozzle), locked when not in use</p>	<p>■ <b>EC.1.1.f</b>  Reception pits can hold 48 hours production including likely rainfall on all surfaces draining into the pit</p>	
<p>■ <b>EC.1.1.g</b>  The combined available capacity of slurry tanks, pits, compounds and lagoons have sufficient capacity for slurry storage of:</p> <ul style="list-style-type: none"> <li>– at least four months in non-NVZ areas</li> <li>– at least five months in NVZ areas</li> <li>– at least six months in Scotland</li> </ul>	<p>■ <b>EC.1.1.h</b>  There is a documented up-to-date calculation of slurry storage requirements</p>	
<p><b>GUIDANCE</b>  Watercourse = includes, water courses (ditches, streams, rivers), ponds, lakes, reservoirs, canals, estuaries, coastline  Organic manure = livestock manures, sewage sludge/ biosolids, compost, digestates, organic industrial wastes</p>		

## Environmental Protection (EC) (continued)

STANDARDS	HOW YOU WILL BE MEASURED
<p><b>EC.1.2 Key</b>  <b>Silage must be stored in a manner that minimises the risk of contamination and pollution</b></p>	<ul style="list-style-type: none"> <li>■ <b>EC.1.2.a</b>                      Silage is made and stored at least 10m from watercourses and at least 50m from springs, wells and boreholes</li> <li>■ <b>EC.1.2.b</b>                      Silos have an effluent collection system</li> <li>■ <b>EC.1.2.c</b>                      Effluent from baled silage does not leak into water sources</li> <li>■ <b>EC.1.2.d</b>                      Field silage effluent is contained                      (Field storage not permitted by Scottish legislation)</li> </ul>
<p><b>EC.1.3</b>  <b>Slurry pits/lagoons must be fenced for animal and personnel safety</b></p>	
<p><b>EC.2</b>  <b>In the case of packaging breakages potential pollutants must be transferred to a suitable container</b> <b>REVISED</b></p>	<ul style="list-style-type: none"> <li>■ <b>EC.2.a</b>                      Container has an appropriate safe closure cap or bag tie</li> <li>■ <b>EC.2.b</b>                      The original label information is displayed</li> </ul>
<p><b>AIM: Fertilisers are stored and managed in a safe and responsible manner to prevent theft, contamination and pollution</b></p>	
<p><b>EC.3</b>  <b>Nitrogen based fertilisers must be stored in a way that minimises the risk of theft</b> <b>NEW</b></p>	<ul style="list-style-type: none"> <li>■ <b>EC.3.a</b>                      Is stored where there is no public access</li> <li>■ <b>EC.3.b</b>                      Product is either not stored close to, or is not visible from a public highway (covering or sheeting is an acceptable way of ensuring the product is not visible)</li> <li>■ <b>EC.3.c</b>                      Checks are made to ensure manufactured fertiliser has not been tampered with, moved or stolen</li> <li>■ <b>EC.3.d</b>                      Any theft or losses are reported to the police immediately (Tel: 101) as detailed in Appendix</li> </ul>
<p><b>AIM: PPPs are correctly handled and applied to prevent contamination and minimise impact on the wider environment</b></p>	
<p><b>EC.4 (Key)</b>  <b>PPPs must be appropriate for their intended use</b> <b>REVISED</b></p>	<ul style="list-style-type: none"> <li>■ <b>EC.4.a</b>                      Manufacturer's instructions are followed</li> <li>■ <b>EC.4.b</b>                      PPPs are approved for use</li> <li>■ <b>EC.4.c</b>                      Unapproved product is kept in a segregated area of the pesticide store pending collection for disposal at the earliest opportunity; clearly marked with signs/ labels stating that it must not be used</li> </ul>

STANDARDS	HOW YOU WILL BE MEASURED	
<p><b>EC.5 Key</b>  <b>PPPs must be applied in a manner that minimises the risk of contamination and pollution</b> <b>REVISED</b></p>	<p>■ <b>EC.5.a</b>            PPP application does not occur in areas of high pollution risk, as identified on a farm map</p>	
	<p>■ <b>EC.5.b</b>            PPP application does not occur in unsuitable conditions e.g. when there is a risk of drift or where soil conditions are unsuitable e.g. waterlogged, flooded or snow-covered soil or where the soil has been frozen for more than 12 hours in the previous 24 hours</p>	
	<p>■ <b>EC.5.c</b>            Buffer zone requirements of the PPP being applied are complied with</p>	
<p><b>EC.6</b>  <b>PPP application must be undertaken by competent operators</b></p>	<p>■ <b>EC.6.a</b>            NPTC Pesticide Application Certificates/the Lantra Awards Level 2 Pesticides qualification are held</p>	<p><b>R</b>            ■ NPTC/Lantra certificates</p>
<p><b>EC.7</b>  <b>All PPP application equipment must be maintained and tested</b> <b>REVISED</b></p>	<p>■ <b>EC.7.a</b>            Frequency of testing is carried out as follows:</p> <ul style="list-style-type: none"> <li>– all new trailed/ mounted/ self-propelled sprayers are NSTS tested before they are five years old</li> <li>– subsequently trailed/ mounted/ self-propelled sprayers with a boom width over 3m are NSTS tested once every three years</li> <li>– subsequently trailed/mounted/self propelled sprayers with a boom width of 3m or under and other application equipment (slug pellet, micro-granular applicators, weed wipers) are NSTS tested once every six years</li> <li>– knapsack, handheld and pedestrian equipment does not require an NSTS test but should be checked by the operator at least annually</li> </ul>	<p><b>R</b>            ■ NSTS certificates            ■ Calibration records</p>
	<p>■ <b>EC.7.b</b>            Equipment calibration occurs at least annually</p>	
<p><b>EC.8</b>  <b>Records must be kept of all PPP applications</b> <b>REVISED</b></p>	<p>■ <b>EC.8.a</b>            Records include:</p> <ul style="list-style-type: none"> <li>– field identifier</li> <li>– crop/variety</li> <li>– date and time applied</li> <li>– justification/target for application</li> <li>– product name and active ingredient</li> <li>– rate of application</li> <li>– water volume</li> <li>– name of sprayer operator</li> <li>– grazing/harvest interval</li> <li>– total area</li> <li>– wind direction and speed</li> <li>– first permissible harvest date</li> </ul>	<p><b>R</b>            ■ PPP application records</p>
	<p>■ <b>EC.8.c</b>            – Records are kept for at least 3 years</p>	
<p><b>EC.8.1 (Recommendation)</b>  <b>It is recommended where PPPs are used on crops an Integrated Pest Management (IPM) plan is completed</b></p>		<p><b>R</b>            ■ IPM plan</p>

## Environmental Protection (EC) (continued)

STANDARDS	HOW YOU WILL BE MEASURED	
<b>AIM: Fertilisers and other soil amendments are legal, suitable for their intended use and applied in a manner that prevents pollution and contamination</b>		
<p><b>EC.9 Key</b>  <b>Manufactured fertilisers and organic manures must be applied in a manner that minimises the risk of contamination and pollution</b> <b>REVISED</b></p>	<ul style="list-style-type: none"> <li>■ <b>EC.9.a</b> Any materials, including waste materials, that are applied to land should have agricultural benefit</li> <li>■ <b>EC.9.b</b> Exemptions/permits to use waste materials are held</li> <li>■ <b>EC.9.c</b> A Manure Management Plan (MMP) is kept and followed when applying organic manures to land</li> <li>■ <b>EC.9.d</b> Before application the following factors are considered: <ul style="list-style-type: none"> <li>– NVZ restrictions</li> <li>– soil type</li> <li>– soil condition</li> <li>– crop requirements</li> <li>– slope</li> <li>– weather conditions</li> <li>– locations of watercourses</li> <li>– water supplies and abstraction points (including on neighbouring land)</li> </ul> </li> <li>■ <b>EC.9.e</b> Applications are not carried out during high risk times e.g. on waterlogged, flooded or snow-covered soil or where the soil has been frozen for more than 12 hours in the previous 24 hours</li> <li>■ <b>EC.9.f</b> Biosolids are assured under the Biosolids Assurance scheme</li> <li>■ <b>EC.9.g</b> Untreated sewage sludge, untreated abattoir or catering derived animal by-products are not applied</li> <li>■ <b>EC.9.h</b> Applications are made in accordance with the Appendix</li> <li>■ <b>EC.9.i</b> MMP includes, at least: <ul style="list-style-type: none"> <li>– where and when manure can/cannot be applied (detailed on a map)</li> <li>– calculations of total area required to apply organic manure</li> <li>– details of Total Spreadable Area available and outlets for any excess organic manure</li> </ul> </li> </ul>	<p style="text-align: center;"><b>R</b></p> <ul style="list-style-type: none"> <li>■ Manure Management Plan (or records detailing required information)</li> <li>■ Exemptions/permits</li> </ul>
<p><b>EC.10</b>  <b>All manufactured fertiliser application equipment must be maintained and calibrated at least annually</b> <b>REVISED</b></p>		<p style="text-align: center;"><b>R</b></p> <ul style="list-style-type: none"> <li>■ Calibration record</li> </ul>
<p><b>GUIDANCE</b>  <i>Annual field calibration and records are acceptable</i></p>		

STANDARDS	HOW YOU WILL BE MEASURED	
<b>Aim: No chemical or physical contamination, pollution or spread of disease from any potential contaminants or wastes management</b>		
<p><b>EC.11 Key</b>  <b>All wastes which cannot be utilised are disposed of in a manner that minimises the risk of contamination and pollution</b>  <b>REVISED</b></p>	<p>■ <b>EC.11.a</b>  Wastes are disposed of by a registered waste carrier</p> <p>■ <b>EC.11.b</b>  Wastes are not burnt, with the exception of vegetation and untreated wood</p> <p>■ <b>EC.11.c</b>  Empty PPP containers are:</p> <ul style="list-style-type: none"> <li>– cleaned using an integrated pressure rinsing device, or triple rinsed appropriately and the rinsate returned to the spray tank</li> <li>– stored securely</li> <li>– not reused</li> <li>– returned to the supplier or where non-returnable, disposed of via a registered waste carrier</li> </ul> <p>■ <b>EC.11.d</b>  Redundant PPPs are disposed of via the supplier or a registered waste carrier</p>	<p><b>R</b></p> <ul style="list-style-type: none"> <li>■ Waste transfer notes</li> <li>■ Waste carrier name and registration number</li> </ul>

## GUIDANCE

In order to transport your own waste you must be registered (free of charge) as a low tier waste carrier:  
<https://www.gov.uk/register-renew-waste-carrier-broker-dealer-england>

Opportunities are considered for:

- reducing the production of waste
- re-using waste (where appropriate)
- recycling waste, plastics in particular



## WHERE TO FIND HELP

- n HSE guidance on storing pesticides for farmers and other professional users: <https://www.hse.gov.uk/pubns/ais16.pdf>
- n AHDB guidance on slurry storage: <https://ahdb.org.uk/knowledge-library/slurry-and-manure>
- n GB authorised biocidal products (including rodenticides):  
<https://www.hse.gov.uk/biocides/uk-authorized-biocidal-products.htm>
- n Environment Agency resource efficiency and waste - key actions for farmers: [https://projectblue.blob.core.windows.net/media/Default/Pork/Documents/Key%20Actions%20for%20Farmers\\_Resource%20Efficiency%20and%20Waste.pdf](https://projectblue.blob.core.windows.net/media/Default/Pork/Documents/Key%20Actions%20for%20Farmers_Resource%20Efficiency%20and%20Waste.pdf)
- n For information on the importance of fertiliser security, visit: [www.secureyourfertiliser.gov.uk](http://www.secureyourfertiliser.gov.uk)
- n For a list of approved pesticides: visit [www.pesticides.gov.uk](http://www.pesticides.gov.uk)
- n Information on sprayer certificates of competency can be found at: [www.cityandguilds.com](http://www.cityandguilds.com)
- n Details of NSTS approved test centres can be found at: [www.nsts.org.uk](http://www.nsts.org.uk)
- n Nutrient Management Guide (RB209): <https://ahdb.org.uk/nutrient-management-guide-rb209>
- n Public register of waste carriers, brokers and dealers (England):  
<https://environment.data.gov.uk/public-register/view/search-waste-carriers-brokers>
- n Public register of waste carriers, brokers and dealers (Northern Ireland):  
<https://www.daera-ni.gov.uk/articles/registered-waste-carriers-transporters>
- n Public register of waste carriers, brokers and dealers (Scotland): <https://www2.sepa.org.uk/wastecarriers>
- n Public register of waste carriers, brokers and dealers (Wales):  
<https://naturalresources.wales/permits-and-permissions/waste-carriers-brokers-and-dealers-public-register/?lang=en>
- n Protecting our water, soil and air - GOV.UK: ([www.gov.uk](http://www.gov.uk))

**CHAIN OF ASSURANCE REQUIREMENTS**

	Farm	Market	Collection Centres	Transport
<b>Cattle &amp; Sheep</b>	RTA Beef & Lamb Scheme FAWL Scheme QMS Cattle & Sheep Assurance Scheme NIBL FQAS <hr/> <i>Residency period*</i> Cattle – minimum 90 days Sheep – minimum 60 days	RTA Livestock Markets & Collection Centre Scheme QMS Auction Market Assurance Scheme	RTA Livestock Markets & Collection Centre Scheme QMS Auction Market Assurance Scheme	RTA Livestock Transport Scheme QMS Haulage Assurance Scheme The assured farmer's vehicle, for transporting their own livestock (or another assured farmer's vehicle provided certain conditions are met – see relevant standard)
<b>Goats</b>	RTA Goat Scheme	RTA Livestock Markets & Collection Centre Scheme	RTA Livestock Markets & Collection Centre Scheme	RTA Livestock Transport Scheme The assured farmer's vehicle, for transporting their own livestock (or another assured farmer's vehicle provided certain conditions are met – see relevant standard)
<b>Pigs</b>	RTA Pigs Scheme QMS Pigs Assurance Scheme	RTA Livestock Markets & Collection Centre Scheme (only recognised if market is certified to handle assured pigs and if assured pigs move direct to slaughter from market)	RTA Livestock Markets & Collection Centre Scheme (only recognised if site is certified to handle assured pigs and if moving direct to slaughter from collection centre)	RTA Livestock Transport Scheme QMS Haulage Assurance Scheme The assured farmer's vehicle, for transporting their own livestock
<b>Poultry</b>	Relevant RTA Poultry Scheme (Broiler & Poussin, Duck, Turkey)	N/A	N/A	RTA Poultry Catching & Transport Scheme
Notes	Source farms must have valid certification on the day livestock leave the holding. Assurance status can change on any day. Assurance should be verified each time a supplier sends livestock to the site.			Unless the farmer is transporting their own livestock (and therefore covered under their farm assurance), each individual trailer is assured separately. Therefore, the specific trailer used must have valid certification on the day the livestock are transported

**RTA** – Red Tractor Assurance **FAWL** – Farm Assured Welsh Livestock **QMS** – Quality Meat Scotland

**NIBL FQAS** – Northern Ireland Beef & Lamb Farm Quality Assurance Scheme

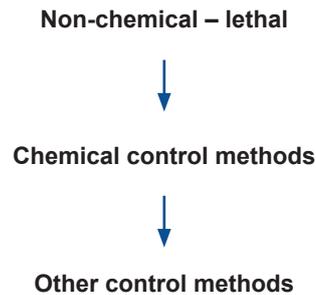
\*Residency period – the minimum amount of time that livestock have been on-farm before being described as assured. The residency period starts from the day of arrival on the holding and includes the day the livestock moved off the holding.

## Appendix VC.2

### VERMIN CONTROL

#### Risk Hierarchy (VC.2.a)

When considering rodent control methods, the concept of a 'risk hierarchy' must be used. This means considering the use of non-chemical control methods first, followed by the least toxic alternatives.



#### Environmental Risk Assessment (VC.2.b)

Where rodent control requires the use of toxic bait (rodenticides), an environmental risk assessment must be undertaken before laying bait. The risk assessment must cover the following:

- n What the treatment is designed to achieve, what methods of rodent control will be used and how success will be measured
- n Which non-target species may be present in or near the treatment site
- n What steps have been/will be taken to prevent or adequately control exposure of wildlife/domestic pets and contamination of the environment
- n How dead rodents and rodenticides will be disposed of

#### Bait Plan (VC.2.c)

Records must be kept of baits used and checks made. The standard outlines the information that must be recorded.



### FURTHER GUIDANCE

The **Control of Substances Hazardous to Health Regulation 2002 (COSHH)** requires that assessments be carried out to identify any risks to operators and others who may be affected by working with substances hazardous to health, such as rodenticides. If you have five or more employees, you must record your assessment but, even if you have fewer than five, it makes sense to write down what steps you have taken to identify the risks. The Health and Safety Executive provide an e-tool for completing the COSHH assessment, which can be found here: <http://coshh-tool.hse.gov.uk/>

#### Disposal of poisoned rodents

The bodies of dead rodents may carry residues of rodenticides and, if eaten by predators or scavengers, may be a source of wildlife exposure to rodenticides. It is essential to carry out regular searches for rodent bodies, both during and after the treatment period. Bodies may be found for several days after rats have eaten the bait and rats may die up to 100 metres or more away from the baited site.

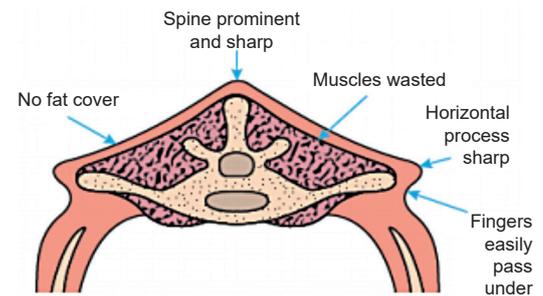
It is important to check with local authorities regarding appropriate routes of disposal of poisoned rodent carcasses and there may also be recommendations on the rodenticide product label. Red Tractor has been advised that poisoned rodents can be disposed of (in order of preference):

- in an on-farm incinerator for animal carcasses
- with other waste as part of the domestic waste collection, securely bagged in a dustbin or wheelie bin (subject to local authority agreement)
- off site at a suitably authorised incinerator or landfill
- by burial on site, provided this is done away from sensitive areas

## GOAT BODY CONDITION SCORE

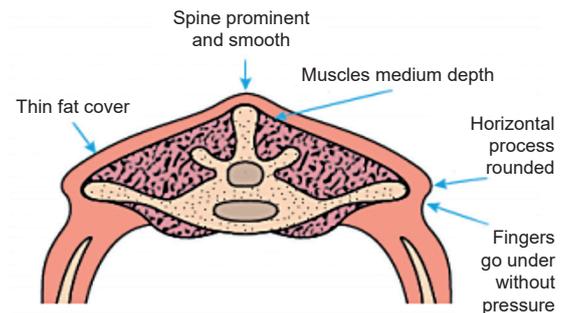
### BCS 1 = Very thin

- the goat looks emaciated (thin)
- weak with backbone highly visible, hollow flank and clearly visible ribs
- no fat cover and your fingers can penetrate spaces between ribs
- sternal fat easily grasped between thumb and fingers and moved from side to side



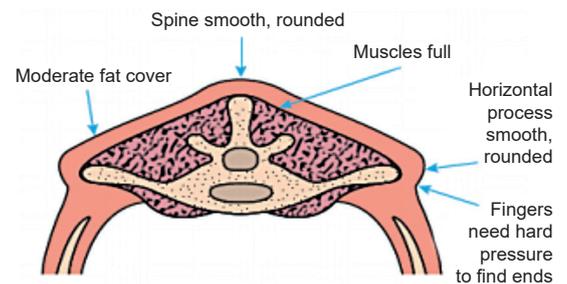
### BCS 2 = Thin

- slightly better, but the goat still looks bony (slightly thin)
- backbone is visible with a continuous ridge and ribs can be seen and felt
- only a small amount of fat cover
- sternal fat wider and thicker than BCS1, but can still be grasped and lifted



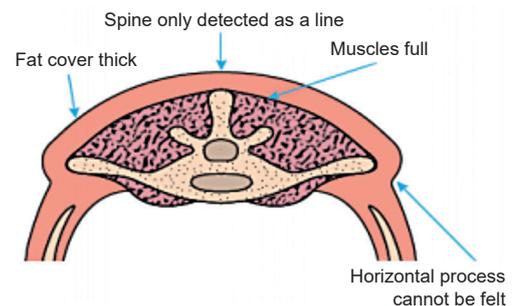
### BCS 3 = Normal

- backbone is not prominent
- even layer of fat covers ribs
- thick tissue layer covers vertebrae
- sternal fat is wide and thick. Can be grasped, but has little movement



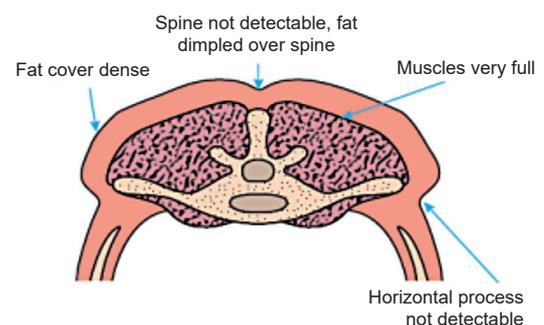
### BCS 4 = Fat

- side is sleek in appearance
- backbone and ribs cannot be seen
- cannot grab hold of spinous processes
- sternal fat difficult to grip



### BCS 5 = Very fat

- backbone is buried in fat
- ribs not visible and covered with excessive fat
- reference marks on spinous processes are lost
- sternal fat extends and covers sternum, and cannot be grasped



## GOAT BODY CONDITION SCORE

### AH.1 Locomotion Score

Score	Category	Locomotion description	Action
0	Good locomotion	Walks using all four feet with a flat back. Rhythm of stride and bearing of weight should be even. Long, fluid strides possible.	<ul style="list-style-type: none"> <li>n No action needed</li> <li>n Routine preventative care (foot trimming)</li> <li>n Record locomotion at next scoring session</li> </ul>
1	Imperfect locomotion	Uneven rhythm and bearing weight or shortened strides. Affected limb/limbs not immediately identifiable.	<ul style="list-style-type: none"> <li>n Further preventative care (foot trimming)</li> <li>n Additional observation recommended</li> </ul>
2	Impaired locomotion	Uneven weight bearing on immediately identifiable limb/limbs. Noticeably shortened strides, can also detect an arch to the centre of the back.	<ul style="list-style-type: none"> <li>n Lameness to benefit from further care</li> <li>n Foot to be inspected to establish cause of lameness before additional treatment</li> <li>n Action should be taken as soon as practically possible</li> </ul>
3	Severely impaired locomotion	Unable to walk at a brisk human pace or keep up with the healthy herd. Lameness easy to identify; limping, reluctance to stand on lame limb/limbs. Back arched when walking. Very lame.	<ul style="list-style-type: none"> <li>n Very lame, requires urgent care and professional advice</li> <li>n Examine and treat as soon as possible</li> <li>n Goat should not be made to walk far and kept on a straw yard or at grass</li> <li>n Consider culling in severe cases</li> </ul>

### ASSESSING THE SAFETY OF PRIVATE WATER SUPPLIES

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(This appendix applies to water that comes into contact with teats, udders and milk (via internal equipment surfaces), and is not applicable to water that is used as livestock drinking water, or water used to wash down parlour stalls, walls and floors)

Regulation (EC) No 853/2004, Annex I, Part II (4)(d) requires the use of potable or clean water whenever necessary to prevent contamination during primary production. Current interpretation of this is that clean water can be used during certain primary production activities as long as the water meets the definition of clean contained in the regulations. That means that it does not contain micro-organisms, harmful substances or toxic marine plankton capable of directly or indirectly affecting the health quality of food. It also means that the clean water is not used as an ingredient and/ or is not intentionally added to the food.

Private Water Supplies (PWS) are not provided by a water utility company and they could include boreholes, springs and wells.

#### Ensuring the safety of water

##### Private Water Supplies must:

1. Be properly managed, maintained, protected and treated
2. Be routinely monitored and inspected to ensure it is good working order and has not been interfered with or damaged
3. Regularly maintain the equipment as per manufacturers' instructions
4. Test PWS water for hazards (microbiological and chemical) before use

#### Risk Assessment

PWSs are at risk of contamination from a range of sources, from surface water and livestock to humans and vermin and a range of contaminants – including pathogenic micro-organisms associated with faecal matter and chemicals.

1. A risk assessment must be carried out annually (see [www.redtractorassurance.org.uk](http://www.redtractorassurance.org.uk) for a template)
2. Where the Risk Assessment or Water Testing identifies a potential risk, details of investigations into the cause(s) of the problem and remedial actions must be undertaken and recorded

## **ASSESSING THE SAFETY OF PRIVATE WATER SUPPLIES**

### **WATER TESTING – Verifying of water is safe through testing**

Testing water for microbiological is a means of verifying its safety. The presence of E.coli (or faecal coliforms) in excess of 10 CFU/100 ml is an indication that faecal contamination of the water source has occurred and that there may be a microbial safety risk associated with the water. This is the primary concern to the water supply (note there are a range of other contaminants that can be tested for and are useful information).

When taking a water sample, ensure a fresh sample is taken (run tap first), use a clean container and do not contaminate the sample once taken (e.g. from the tap as the sample is being taken).

### **WATER TEST RESULTS- Remedial action**

- n where less than 10 CFU E.coli (or faecal coliforms)/100ml is found to be present following a water test, the water may be used.
  
- n where more than 10 CFU E.coli (or faecal coliforms)/100 ml are found to be present following a water test, the source of the problem should be investigated and the contingency plan implemented such that the water should either not be used or alternative steps should be taken to restrict use of the water until investigations have been carried out and remedial action has been taken. Such action may be to switch to another water source (e.g. mains water supply) or if this is not possible, the water should be treated to reduce the risk of microbial contamination or chemical residue and retested before use.
  
- n where water testing repeatedly fails on E.coli (or faecal coliforms), despite remedial action, the contingency plan must remain in place until written clearance from the Environmental Health Office or Local Authority to continue using the water for milk production is obtained. The EHO/LA may require additional criteria before use.

Possible remedial actions must be appropriate to rectify the problem. Depending on why the source failed, they may include:

- n installation or replacement of UV filters
- n addition of dilute hypochlorite solution to the header tank (not suitable for header tanks used to supply livestock drinking water)
- n repair of the PWS chamber or replacement of any caps and seals
- n guidance from the equipment manufacturer

## ACCEPTED FEED ASSURANCE SCHEMES

Abbreviated Scheme Name	Full Scheme Name	Scope of the Scheme
UFAS (AIC)	Universal Feed Assurance Scheme	Assured compound feeds, complementary feeds, licks, pre-mixtures and feed ingredients/ materials
FEMAS (AIC)	Feed Materials Assurance Scheme	Production of and trade in feed ingredients/ feed materials
TASCC (AIC)	Trade Assurance Scheme for Combinable Crops	Whole combinable crops. <i>Note: this scheme covers crops storage and transport. Farm assurance is covered by the schemes listed below.</i>
GTAS	Gafta Trade Assurance Scheme	Trade in feed ingredients/feed materials
IGAS	Irish Grain Assurance Scheme	Whole combinable crops. <i>Note: this scheme covers crops storage and transport. Farm assurance is covered by the schemes listed below.</i>
COCERAL GTP	European trade body for agro supply and animal feeds	Trade in feed ingredients/ feed materials
GMP+ (PDV)	Dutch Product Board for Animal Feed	Assured compound feeds, complementary feeds, licks, pre-mixtures and feed ingredients/ materials
FAMI-QS	European Feed Additives And Pre-mixtures Quality System	Feed additives and pre-mixtures
BFBi scheme	Brewing, Food & Beverage Industry Suppliers Association	Certifies grains from smaller breweries
RTAOS	Red Tractor Assurance Cold Crush Oilseeds Scheme	Certifies meal from cold crush oilseeds

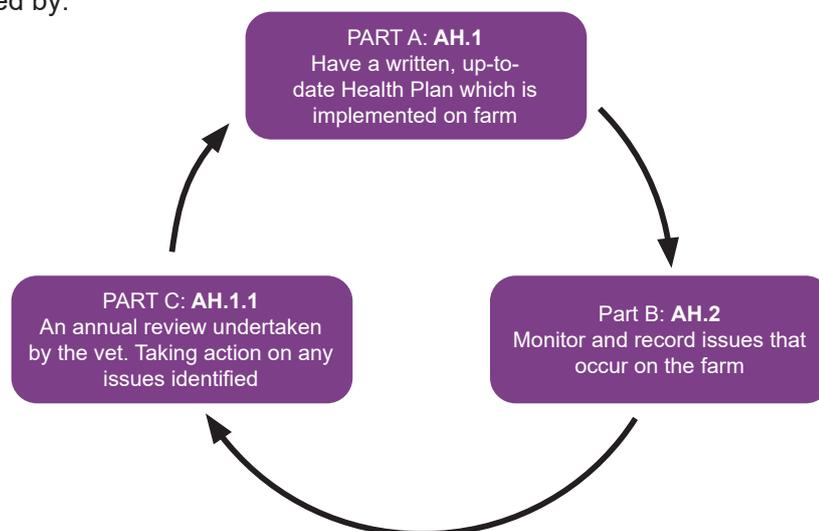
## Accepted Farm Assurance Schemes:

Abbreviated Scheme Name	Full Scheme Name	Scope of the Scheme
RTA Combinable Crops and Sugar Beet	Red Tractor Assurance for Farms - Combinable Crops and Sugar Beet Scheme	Combinable crops & sugar beet
SQC	Scottish Quality Farm Assured Combinable Crops Ltd	Combinable crops
NIFQACS	Northern Ireland Farm Quality Assured Cereals Scheme	Combinable crops
SAFA	Soil Association Farm Assurance (Crops module)	Combinable crops
RTA Fresh Produce	Red Tractor Assurance for Farms - Fresh Produce Scheme	Produce – vegetables, fruits and root crops

### HEALTH PLAN AND REVIEW (GOATS)

Standards AH.1, AH1.1 and AH.2 requires proactive, farm-specific health planning.

This is demonstrated by:



It is a requirement that the Health Plan is reviewed, signed and dated by the nominated veterinary surgeon or veterinary surgeon from the nominated vet practice annually.

#### **PART A: The Health Plan (AH.1)**

Farmers can complete the health plan themselves or can develop it with their veterinary surgeon who has knowledge of the farm. However, the vet must review, sign and date the health plan annually.

There are certain key criteria that your health plan must include as a minimum, which is detailed in Table A.

#### **PART B: Monitor the issues that occur on-farm (AH.2)**

Health and performance of livestock should be recorded and monitored on an on-going basis, but the scheme requires as a minimum an annual collation of:

- lameness
- mortality records inc. cause of deaths if known
- culling records and reasons for culling
- medicine records including reason for treatment
- abattoir feedback (where provided and applicable)

The collation can be undertaken by the farmer themselves, or a veterinary surgeon. This information is then used to complete the Annual Health and Performance Review.

### HEALTH PLAN AND REVIEW (GOATS)

#### PART C: Annual Health and Performance Review (AH.1.1)

It is a requirement that the nominated veterinary surgeon or veterinary surgeon from the nominated vet practice conducts an Annual Health and Performance Review. This entails:

- n Reviewing records/data taking into account those records maintained throughout the year
- n Identifying key issues to focus on e.g. those that have either been most significant in terms of:
  - Incidence rate/number of cases
  - Impact on productivity/welfare
  - Financial impact
- n Identifying the preventative actions or treatments to be used in the future to prevent or control the issue
- n Provide recommendations for any updates to the Health Plan as required

#### TEMPLATES

There are templates available from the Red Tractor website for a Flock/Herd Health Plan and Health and Performance review. The scheme templates reference the minimum scheme requirements. Other templates and/or computer packages may be used, as long as the criteria outlined in this appendix are included.

**HEALTH PLAN AND REVIEW (GOATS)**

**Table A: Health Plan mandatory components**

MANAGEMENT TOPIC	WHAT THE PLAN MUST DETAIL/EXPLAIN	EXAMPLES TO CONSIDER
<b>Biosecurity policy</b>	Actions taken to reduce biosecurity risks to your farm	e.g. managing incoming stock, people, vehicles
<b>Infectious disease and vaccination</b>	For disease risks relevant to the farm: <ul style="list-style-type: none"> <li>n herd status</li> <li>n vaccines used and vaccine timing</li> </ul>	e.g. Johne's, TB, pasteurella
<b>Parasite control</b>	For parasite risks relevant to the farm: <ul style="list-style-type: none"> <li>n products used and timing</li> </ul>	e.g. fluke, lungworm, worms, lice, flies
<b>Foot care</b>	For foot problems relevant to the farm: <ul style="list-style-type: none"> <li>n details of the person responsible for treating</li> <li>n when and how the problem is treated - controls/treatments and products used</li> </ul>	e.g. digital dermatitis, foul, foot rot
<b>Mastitis</b>	For routine prevention measures: <ul style="list-style-type: none"> <li>n the measures taken and products used</li> </ul> For treatment of clinical cases: <ul style="list-style-type: none"> <li>n measures taken, products used and withdrawal periods</li> </ul>	
<b>Metabolic disorders</b>	For metabolic disorders relevant to the farm: <ul style="list-style-type: none"> <li>n preventative measures</li> <li>n how clinical cases are treated including products used</li> </ul>	e.g. milk fever
<b>Management of Drying off</b>	The drying off procedure - measures taken; products used and withdrawal periods	
<b>Colostrum Policy</b>	<ul style="list-style-type: none"> <li>n The measures taken to ensure newborns receive adequate colostrum (including where applicable, timing, quantity and quality)</li> </ul>	
<b>Husbandry procedures</b>	For those procedures relevant to the farm: <ul style="list-style-type: none"> <li>n the person responsible</li> <li>n methods and age of animal</li> <li>n anaesthetics/analgesics used</li> </ul>	e.g. disbudding, dehorning, castration,
<b>Identifying treated animals</b>	A written policy detailing how livestock are identified to manage withdrawal periods, and their treatment	
<b>Dealing with TB reactors</b>	A written policy for dealing with TB reactors within the herd and their milk, including actions taken to: <ul style="list-style-type: none"> <li>n isolate and identify reactors</li> <li>n ensure reactor milk doesn't enter the bulk tank and is not fed to calves</li> </ul>	
<b>Euthanasia</b>	A written policy outlining how euthanasia is undertaken on-farm, including: <ul style="list-style-type: none"> <li>n animal type</li> <li>n methods used</li> <li>n name of person who undertakes it</li> </ul>	

### APPROPRIATE METHODS OF HANDLING

The best way to move animals is to understand their natural behaviour and to work with that behaviour.

For cattle and sheep, use your position, voice, flags, and a stick as an extension of the arm

For goats, use your position, voice, aids and flags

For pigs, use your position, voice, aids and pig boards

Handlers are not permitted to handle animals in such a way as to cause them pain or suffering.

It is not permitted to...

- n Strike or kick any animal
- n Apply pressure to any particularly sensitive part of the body in such a way as to cause pain or suffering
- n Lift or drag in any way that may cause pain or suffering
- n Use prods or other implements with pointed ends
- n Twist, crush or break the tails of animals or grasp the eyes of any animal
- n Throw or drop animals

**Poor handling can result in lower meat quality, as well as bruising and injury.**

### LIVESTOCK HUSBANDRY PROCEDURES

This appendix outlines the scheme requirements when undertaking certain husbandry procedures (also known as common veterinary operations). Consideration should be given to whether the procedure is necessary – and procedures must only be carried out by trained and competent persons. Consideration must also be given to the application of dressing/ antibiotic sprays to wounds.

Where husbandry procedures are carried out on-farm, it is a requirement that you document this in your health plan - and a recommendation that the need of husbandry procedures is reviewed with a vet.

A competent stock person is trained and/ or experienced stockman who understands the welfare implications of the procedure they are undertaking, the methods they are using and the conditions for use.

#### GOATS

PROCEDURE/ METHOD		CONDITIONS OF USE			
		Animal age	Operator	Anaesthetic	Analgesic
Castration	Elastration/Rubber ring	1st week of life only	Competent stock person		
	Clamp/bloodless	Up to 2 months	Competent stock person		
	Where the animal is over 2 months of age or where any methods other than the above are used		Vet Only	With	With
Dehorning and disbudding	All other cases	Any age	Vet Only	With	With

\*\* Under the Veterinary Surgeons Act 1966 (as amended), only a veterinary surgeon may de-horn or disbud a goat, apart from trimming the insensitive tip of an ingrowing horn, which if left untreated could cause pain or distress.

### LIVESTOCK TRANSPORT - STOCKING DENSITIES

The standard requires livestock to be transported at legally prescribed stocking densities. Outlined below are the stocking densities as prescribed by Council Regulation (EC) No 1/2005 on the protection of animals during transport and related operations (and amending directives).

	Category	Approx. weight (in kg)	Area in m <sup>2</sup> /animal
Goats	Goats	<35kg	0.20 to 0.30
		35 to 55kg	0.3 to 0.40
	Heavily pregnant goats	<55kg	0.40 to 0.50
		>55kg	>0.50

## Appendix EC.1

### SILAGE, SLURRY AND AGRICULTURAL FUEL OIL (SSAFO) STORAGE

There are exemptions from the Water Resources (Control of Pollution) (Silage, Slurry and Agricultural Fuel Oil) (England) Regulations 2010 (or equivalent) for installations built before prescribed dates. Those dates are as follows:

	Slurry/Silage	Agricultural Fuel Oil
England	1991	1991 or where less than 1,500 litres stored on farm
Wales	1991	Since 15 March 2020 all storage must meet the requirements of the Oil Storage (Wales) Regulations 2016
Scotland	2003	2003 or where less than 1,250 litres stored on farm
Northern Ireland	2003	2003 or where tank capacity does not exceed 1,250 litres

#### Agricultural Fuel Oil (excluding domestic only tanks, mobile and underground tanks)

- n There must be an impermeable bund. The bund is either at least 110% capacity of the individual tank, or if there is more than one tank within the bund, 25% of the total or 110% of the largest tank
- n There must be an outlet within bund (NB – with double skinned tanks, in principle the outer skin can act as the bund for the inner tank. However this benefit is lost if the outlet is at the bottom of the tank as any leakage through or around the outlet will not be captured)

**Note: It is best practice for all fuel tanks to be bunded even if they benefit from exemptions to the legislation**

### SAFE APPLICATIONS TO LAND

This appendix provides guidance on making applications to land. All applications to land must be carried out in accordance with legislation. Environmental permits or exemptions must be held where applicable. If your farm is in an Nitrate Vulnerable Zone (NVZ) you must also follow NVZ rules.

*Note:* Producers should always check with buyers to ensure that any applications of sludge, compost, digestate and other materials originating outside the farm are acceptable to customers.

#### Sewage Sludge (biosolids)

For further information, including the Biosolids Assurance Scheme (BAS) Standard, refer to:

<https://assuredbiosolids.co.uk/>

Evidence of BAS Certified Biosolids should be provided by your biosolids supplier and can be verified in the Certification section of the BAS website.

#### Farmyard Manure (FYM) and Slurry – Fresh, Stored or Treated

Using the following steps as a guide will help to ensure that manures are used efficiently:

- Know the nutrient contents of applied manures
- Apply manures evenly and at known rates
- Where appropriate, rapidly incorporate manures or use an application technique that will minimise ammonia losses
- Apply manures in spring, where possible, to reduce nitrate leaching losses
- Take the nutrient content of applied manures into account when calculating inorganic fertiliser applications

Spreading manures onto pasture is a valuable source of nutrients but can play a role in transferring disease to healthy stock. The main risk is from spreading fresh, unstored slurry or manure. Risks are reduced by storage, low application rates and leaving pasture for as long as possible before grazing.

*Note:* The scheme recommends that poultry manure is not applied to grazing land or grassland to be harvested due to the risks associated with botulism.

#### Compost, Digestates and other Recycled Materials

It is recommended that digestates and composts sourced from external contractors for application to land have been produced to the relevant PAS specification (PAS 110 for digestate, PAS 100 for compost) and are applied following the associated Quality Protocol. The specifications and Quality Protocols provide safeguards on the feedstock materials, the processing stages and end product quality.

Where anaerobic digestate is produced from an energy crop feedstock (e.g. maize) and there is no pasteurisation step there is a risk that plant pathogens, for example *Fusarium* spp., may be present. It is recommended that energy crop digestate is ploughed in before drilling a subsequent cereal crop.

**SAFE APPLICATIONS TO LAND**

**Safe Applications to Land Matrix**

	Manure and Slurry		Compost and Anaerobic Digestate		Biosolids	
	Application	Grazing/harvest interval	Including animal by-products	Not including animal by-products	Conventional treated	Enhanced treated
Combinable crops (inc. homefed)	May be applied before and after drilling/planting	n/a	May be applied before and after drilling/planting			
Grassland and forage – grazed *	Recommended that applications are made in the spring and that rapid incorporation techniques are used	At minimum a 4 week no-graze interval applies. It is recommended that there is an 8 week no-graze interval for adult livestock and a 6 month no-graze interval for youngstock	A no-graze interval of 2 months for pigs and 3 weeks for other livestock applies	A no-graze interval of 3 weeks applies	No grazing in season of application or a no-graze interval of 3 weeks applies when biosolids is deep injected or ploughed down	A no-graze interval of 3 weeks applies
Grassland and forage – harvested **		A no-harvest interval of 4 weeks applies	A no-harvest interval of 2 month for pigs and 3 weeks for other livestock applies	A no-harvest interval of 3 weeks applies	A no-harvest interval of 3 weeks applies	A no-harvest interval of 3 weeks applies

\* Grass, forage swedes and turnips, fodder mangolds, fodder beet, fodder kale, forage rye and triticale, turf

\*\* Grass silage, silage maize, haylage, hay, herbage seeds



# RED TRACTOR ASSURANCE SCHEME MEMBERSHIP RULES

## RED TRACTOR ASSURANCE MEMBERSHIP RULES (1st August 2020)

Your attention is drawn in particular to the limitation of liability provisions set out in Rules 74 to 76.

We are Assured Food Standards, trading as Red Tractor Assurance ('RTA'), a not for profit company, owned and funded by organisations and trade bodies from across the British farming and food industry.

1. These rules together with the accompanying explanation of how the Scheme works and any additional sector specific rules issued from time to time by RTA as contemplated in Rule 5 (as amended from time to time, 'these Rules') govern the terms and conditions for membership of the Red Tractor Assurance Scheme ('the Scheme').
2. In these Rules:
  - a. 'Applicant Business' means applicants for membership of the Scheme;
  - b. 'Assessment' means the assessment of your conformance to the Standards by your Certification Body's appointed assessor, whether carried out on application, renewal, on a revisit to assess previously identified non-conformances or by way of spot checks, whether announced or unannounced and whether by way of personal visit, remote assessment via video-streaming and/or the review of documentation uploaded by you to the Red Tractor Portal;
  - c. 'Associate', in respect of a Member, means any shareholder, officer, agent, employee, contractor or relative of that Member and any relative of any such person;
  - d. 'Certification Body' means a certification body (acting as an independent contractor) which is licensed by RTA to carry out certification and assess conformance to the Standards, all such bodies being set out from time to time in RTA's website at <https://assurance.redtractor.org.uk/standards/contact-certification-bodies>;
  - e. 'Enterprise' means a farming enterprise or activity which is covered by any of the particular Standards;
  - f. 'Member' means a member of the Scheme;
  - g. 'Member Logos' means the Member logos set out from time to time in the RTA Website at <https://assurance.redtractor.org.uk/contentfiles/files/SchemeLogoRules.pdf>;
  - h. 'Membership' means membership of the Scheme;
  - i. 'Red Tractor Assurance Claim' means a claim to source and supply food or drink products which are eligible to carry any version of the Red Tractor logo;
  - j. 'Red Tractor Portal' means the online portal onto which you may upload documentation in advance of an Assessment and which can be accessed at <https://portal.redtractor.org.uk/#/home>;
  - k. 'relative' in relation to a person includes the mother, father, stepfather, stepmother, sister, brother, wife, husband, civil partner, daughter, son, grandparent, grandchild, parent-in-law, son-in-law, daughter-in-law, sister-in-law, brother-in-law, step parent, step child, step sister, step brother, foster child, legal guardian, domestic partner or fiancé/fiancée of that person or of that person's spouse, former spouse, civil partner or former civil partner;
  - l. 'RTA Website' means the website of RTA at <https://assurance.redtractor.org.uk> (or any replacement website RTA may establish and operate from time to time);
  - m. 'Scheme Member Checker' means the database of Members maintained by RTA at <https://checkers.redtractor.org.uk/rtassurance/services eb>;
  - n. 'Standards' means the Red Tractor Assurance Scheme farm standards for each farming sector (currently, beef & lamb, dairy, pigs, poultry, dairy goats, crops and fresh produce) and supply chain standards (currently, livestock transport, meat and poultry processing, livestock markets and collection centres, safe haven and cold crush) as set out in the RTA Website at <https://assurance.redtractor.org.uk/standards> and as set out at the end of this document, as updated from time to time; and
  - o. 'you' means such Applicant Business or Member as the context permits.
3. You can only gain certification and obtain 'Red Tractor Assured' status for an Enterprise to which your application relates if you conform to the Standards applicable to that Enterprise and you must continue to meet these Rules and those Standards at all times. Failure to comply with these Rules or the Standards may result in your certification (whether for a particular Enterprise or for all Enterprises carried out by you) being suspended or withdrawn and your Membership being terminated.
4. RTA may update these Rules and the Standards at any time. You will be given notice of the specific changes made to the Standards and when they will come into effect. When changes are made to the Rules, we will give you notice of that fact and confirm in such notice when the amended Rules will come into effect and how you can view and download or otherwise obtain the amended Rules. You must ensure full compliance with all such changes to the Standards and the Rules to retain 'Red Tractor Assured' status.
5. From time to time RTA may publish (including via the RTA Website) additional sector specific rules and guidance which will be supplementary to and deemed to be part of these Rules (for example, rules and guidance in respect of contract farming in the combinable crops sector and the Safe Haven New Entrants and Suspended Member Protocols).

6. These Rules are in addition to any statutory requirements. Nothing in these Rules shall be deemed to provide exemption from current legislation and you must comply with all legislation relevant to the scope of the Scheme at all times.
7. Where the words 'include(s)', 'including' or 'in particular' are used in these Rules, they are deemed to have the words 'without limitation' following them.

### Claiming Products or Services are 'Red Tractor Assured'

8. Subject always to the provisions of Rules 68 to 73 (and in particular the restrictions in Rule 70 on using the relevant Member Logo(s) or any other version of the Red Tractor logo on, or applying any such logo or a Red Tractor Assurance Claim to, food packs (including packaging such as meat, fruit or vegetable boxes, milk cartons or bottles) or using any such logo or making such a claim at the point of sale of food products (including online sales) unless you have a processors and packers licence issued by RTA (see <https://trade.redtractor.org.uk/>), you must not claim that products you sell and/or services you provide are, or describe them as, 'Red Tractor Assured', 'RT Assured', 'RTA Assured', 'RTA', 'RT' or 'Assured' or 'Certified' for the purposes of the Scheme unless and until you have been through the application and Assessment process referred to in the above explanation of how the Scheme works and a certificate of conformity to the relevant Standards has been issued for the Enterprise to which those products or services relate. Thereafter, subject always to Rules 68 to 73, you must not claim that products you sell and/or services you provide are, or describe them as, 'Red Tractor Assured', 'RT Assured', 'RTA Assured', 'RTA', 'RT' or 'Assured' or 'Certified' for the purposes of the Scheme:
  - a. if and to the extent that such products or services relate to a business, Enterprise, activity, location, holding, site, store or vehicle which is not included in the scope of your certification;
  - b. if your certification has been (and for so long as it remains) suspended or has been withdrawn for any reason;
  - c. if you do not renew your Membership on time in accordance with these Rules;
  - d. if your Membership terminates for any reason; or
  - e. if you have voluntarily left the Scheme.

### Application

9. Any sole trader, partnership, limited or unlimited company or limited liability partnership (or other form of trading entity approved by RTA), farming or carrying out an Enterprise, can apply to be a Member. Similar schemes operate in the devolved regions of the UK covering some Enterprises and where this applies you should join the local scheme.
10. You can apply to join the Scheme for a single Enterprise or whatever combination of Enterprises fits your business needs. The exceptions to this are: beef and lamb, where, if both cattle and sheep are farmed, both have to be assessed and certified; and dairy, where beef assurance is required for the cattle (see Rule 23).
11. You can only apply for Membership through a Certification Body, which is an independent contractor licensed by RTA to certify conformance to the Standards.
12. Each sole trader, partnership, limited or unlimited company or limited liability partnership (or other form of business approved by RTA) farming or carrying out an Enterprise must be registered as a Member in its own right in order to exercise the rights afforded by Membership and each Member must have at all times a named nominated person ('Nominated Person') who has functional responsibility for the management decisions and operating systems being assessed.
13. The Nominated Person of the Applicant Business must sign the membership application form provided by your chosen Certification Body ('your Certification Body') and subsequent renewal application forms (and RTA and your Certification Body shall be entitled to assume that the Nominated Person is authorised to sign such forms on your behalf). In submitting an application or renewal fee and/or such forms, you are agreeing with RTA and your Certification Body to be bound by these Rules and the Certification Body's terms of engagement.
14. Any false or misleading statement made on the Membership or renewal application forms, during Assessments, or in any other communication, may lead to suspension or withdrawal of your certification and even exclusion from future Membership. You must provide, upon request by RTA or your Certification Body, any information relevant to conformance with the Standards or these Rules.
15. Any act or omission to act (whether by you, your officers, employees or agents or a third party and whether or not in relation to your premises, site or holding) which impacts on your conformance to the Standards, these Rules or any relevant legislation, will be deemed to be your responsibility for the purpose of assessing your compliance with the Standards and these Rules. Any rights and remedies available to and sanctions imposed by RTA or your Certification Body in respect of any non-conformance to the Standards ('non-conformance') or breach of these Rules may, at the discretion of RTA or your Certification Body, be deemed to apply also to any other person who operates or proposes to operate from the same premises, site or holding as you and who is or wishes to become a Member.

## Holdings, Sites, Stores and Vehicles

16. You must disclose in your Membership or renewal application form all holdings, sites, stores and vehicles which you want to be included in the scope of your certification (having regard to Rules 17, 18 and 19 below).
17. Subject always to Rules 12, 18 and 19, where a Membership or renewal application form lists more than one holding, site, store, or vehicle, they must all be managed on a day to day basis by the same person. A separate application must be made in respect of any holding, site, store or vehicle of a Member which is managed on a day to day basis by a different person. If there is any uncertainty over whom any holding, site, store, or vehicle is managed by, RTA or your Certification Body may require you to provide such documentary evidence as it sees fit and its decision shall be final, subject always to Rule 83 to 85 (Complaints and Appeal Procedure).
18. Unless RTA or your Certification Body otherwise permits in writing in its absolute discretion, separate Membership or renewal applications will be required in accordance with the following principles or as RTA or your Certification Body may direct:

Enterprise / Activity	Separate Membership for each:
Farms / Safe Haven / Cold Crush	<ul style="list-style-type: none"> <li>Enterprise</li> <li>Holding / site within each such Enterprise: subject to the provisions of Rule 19 below.</li> </ul>
Livestock Transport	Commercial Livestock Vehicle / Trailer
Livestock Market	Site with separate Animal Gatherings Order Approval Number
Collection Centre	Site with separate Animal Gatherings Order Approval Number
Meat and Poultry Processing	Site with separate FSA Approval Number

19. Additional farm holdings may be allowed within an application for a main site or holding provided the additional holdings fall within the relevant description set out below and subject always to such holdings being managed on a day to day basis by the same person.

Dairy/Dairy Goats	Poultry	Pigs	Beef/Lamb	Crops	Fresh Produce
Each milking premises requires a separate registration	A self contained live poultry site with defined poultry stock management, operational control and bio-security standards requires a separate registration	Main holding + max 3 small nursery or finishing units	Main site + additional sites where livestock are kept.	Main holding + any additional sites where fertilisers and pesticides are kept or harvested products stored or processed.	
		Additional sites must be sufficiently close to the main site or holding as agreed by the Certification Body.			

### Dairy Members (Cattle only)

20. Dairy farm assurance is normally arranged by the dairy purchaser (i.e. the first purchaser of milk from the farm and with whom the milk producer enters a milk supply contract). Separate membership rules (the 'Dairy Purchaser Membership Rules') apply to dairy purchasers. Dairy purchasers who wish to become a dairy purchaser member of the Red Tractor Dairy Farm Assurance Scheme must complete the application form available upon request by emailing "memberhelp@redtractor.org.uk" and are required to ensure that all milk producers supplying milk to them have a valid certificate evidencing conformance to the Red Tractor Dairy Farm Assurance Standards.
21. By agreeing to supply milk to a dairy purchaser member of the Red Tractor Dairy Farm Assurance Scheme, a milk producer shall be deemed to have agreed to be bound by these Rules and upon the issue of a valid certificate evidencing conformance to the Red Tractor Dairy Farm Assurance Standards, the milk producer shall become a milk producer member of the Red Tractor Dairy Farm Assurance Scheme. If the dairy purchaser membership of the dairy purchaser to whom a milk producer member supplies milk is suspended or terminated, the milk producer membership of the milk producer itself will also be suspended or terminated (as the case may be). If a dairy purchaser member has given notice to terminate its membership of the Red Tractor Dairy Farm Assurance Scheme in accordance with the Dairy Purchaser Membership Rules, each milk producer member supplying milk to that dairy purchaser member must (until such termination) continue to comply with these Rules and permit dairy Assessments to continue to be carried out.
22. Individual dairy farms which are both a milk producer and a milk processor may, on an exceptional basis and at RTA's absolute discretion, be accepted into membership of the Red Tractor Dairy Farm Assurance Scheme as both a milk producer member and a dairy purchaser member. Any such member (referred to as an "Independent Member") must comply with both these Rules and the Dairy Purchaser Membership Rules. If an Independent

Member's dairy purchaser membership is suspended or terminated in accordance with the Dairy Purchaser Membership Rules, the Independent Member's milk producer membership shall automatically be suspended or terminated (as appropriate). Similarly, if an Independent Member's milk producer membership is suspended or terminated in accordance with these Rules, the Independent Member's dairy purchaser membership shall automatically be suspended or terminated (as appropriate).

23. Cattle on dairy farms must also be beef assured (i.e. certification to the RTA beef Standards or to the beef assurance scheme in any relevant devolved region of the UK – see Rule 9). If you do not want your beef assurance to be assessed by the Certification Body which assesses your certification to the Red Tractor Dairy Farm Assurance Standards, you must, at the time of your dairy Assessment, demonstrate to the dairy assessor that your beef assurance is in place and commit to it being maintained.

### Initial Assessment and Certification

24. A full initial Assessment must be completed and you must conform to all the Standards (not including 'recommendations') before your certification can be progressed and your Membership confirmed.
25. To enable a full Assessment to take place you must grant the assessor access to (or take all steps necessary to facilitate the carrying out of a remote visual Assessment, such as via video-streaming and/or by uploading records and documents to the Red Tractor Portal, of):
- all parts of the holding, site, store or vehicle, key members of staff and records/documents to which he/she reasonably requests access;
  - all livestock and, where relevant, allow the assessor to carry out welfare outcome scoring.
26. Without prejudice to Rule 53.d, an assessor may refuse to carry out or finish an Assessment:
- if he/she believes the presence of a third party may, intentionally or otherwise, influence its outcome in an inappropriate manner;
  - if he/she feels threatened or that he/she has been subjected to abusive behaviour at any time during the visit; or
  - if the site is empty or non-operational.

27. If any of the Standards has not been met, then a non-conformance will be raised. Certification will be dependent upon you carrying out improvements to the satisfaction of the Certification Body and within the specified time period(s).

28. Certificates and, where applicable, stickers confirming certification status, only relate to the assessed holding(s) or site(s) and are not transferable to any other holding or site or to any other business or person. All such certificates and stickers remain the property of your Certification Body. Whilst certificates and stickers may be useful in confirming 'Red Tractor Assured' status, the only definitive proof of certification and 'Red Tractor Assured' status is the Scheme Member Checker database managed and operated by or on behalf of RTA.

### Membership Renewal

29. Your Membership must be renewed annually. Failure to renew within one month after an annual renewal date will result in your Membership being terminated. If you subsequently apply again to be a Member, you will be treated as a new applicant and a full initial Assessment will be required. In such a case, your Membership and certification will not be backdated.

### Material Changes

30. You must keep your Certification Body informed of any material changes to your operation (when compared to the operation as at the date of your most recent Assessment) which might affect your certification. Examples of such 'material changes' include:
- additional holdings or sites (e.g. crop storage facilities, livestock grazing);
  - a change in the person who manages on a day to day basis any Enterprise, holding, site store or vehicle within your certification;
  - additional commercial livestock vehicles;
  - the site becoming empty of livestock at any time;
  - the site becoming restocked; and/or
  - changes to the activity being carried out on the holding or site (e.g. a farm or haulier also operating as a collection centre, or a meat processor beginning to process new species such as pork or poultry).
31. If you are the subject of an event described in Rule 53.g, or if any action is taken which is likely to lead to such an event, you must inform your Certification Body immediately giving details of any person appointed (or proposed to be appointed) as receiver, liquidator, administrative receiver, administrator or other such officer pursuant to any such arrangement or related order.
32. If, where you are a company or limited liability partnership, one of your members or any other person (including any group company) with whom you are connected, is the subject of an event described in Rule 53g or if any action is taken which is likely to lead to such an event, in circumstances which could result in you being unable properly to perform your obligations as a Member under these Rules, you must inform your Certification Body immediately, giving details of any person appointed (or proposed to be appointed) as receiver, liquidator, administrative receiver, administrator or other such officer pursuant to any such arrangement or order.

33. When certified against the Red Tractor Fresh Produce Standard only, you may ask your Certification Body for a voluntary suspension of one, some or all of the crop types covered by the certification.

#### **Changing Certification Body**

34. You can change your Certification Body at any time, in accordance with Rules 35 to 38.
35. You must declare on your application to the proposed new Certification Body whether you have previously applied to become, or have been, or are currently, a Member. If that is the case, you must confirm the Certification Body to whom you applied, your previous Membership number and details of all sites previously assessed (as well as the CPH number and PRIMO/ Herd mark, where applicable).
36. Your proposed new Certification Body will request and be given access to your historical data and records from your existing Certification Body before confirming continued certification.
37. The proposed new Certification Body may reject your application to transfer if you have outstanding non-conformances still to be rectified or if any other previously imposed certification or Membership condition prevents the application from being accepted. In such a case, before any transfer takes place, you must clear non-conformances to the satisfaction of your existing Certification Body. Unless otherwise agreed in writing by RTA, any previously imposed conditions (e.g. more frequent or unannounced inspections) shall continue to apply to and may be enforced by the new Certification Body.
38. If your application to transfer is accepted by the new Certification Body, it will take effect immediately upon such acceptance being confirmed and you do not need to inform your former Certification Body of the transfer.

#### **Routine Assessments and Spot Checks**

39. You must allow your Certification Body's appointed assessors to carry out routine Assessments, revisits and spot checks, whether in person or remotely (whether via video streaming and/or review of documents and records uploaded to the Red Tractor Portal or otherwise) and where Assessments are requested to be carried out remotely you must take all reasonable steps necessary to enable remote Assessment to take place.
40. Each of RTA, your Certification Body and any third party duly authorised to act on behalf of either or both of them, has the right to carry out an Assessment or spot check at short notice or unannounced.
41. The assessor may be accompanied by an observer.
42. If any of the Standards are not met, a non-conformance will be raised. Continued certification will be dependent upon you carrying out improvements to the satisfaction of the Certification Body and within the time period(s) specified.

#### **Special Conditions of Certification**

43. RTA and your Certification Body shall each be entitled, at any time and each in its absolute discretion, to specify 'Special Conditions of Certification' for your Membership and/or continued certification. This may include:
- additional announced or, if so specified, unannounced Assessments or spot checks by the Certification Body over and above the normal routine Assessments, all such additional Assessments and spot checks being at your cost; and
  - obtaining evidence from a third party expert (nominated by RTA or the Certification Body and appointed at your cost) that the Standards are being met.

#### **Fees**

44. You must, on initial application and annually thereafter, pay to your Certification Body an annual Membership fee for each Enterprise being assessed. The annual Membership fee is made up of an amount equal to the annual participant fee(s) which RTA charges the Certification Body for each Enterprise assessed by it (and which your Certification Body charges on to you) and an amount to cover your Certification Body's own charges. Details of the annual participant fees and any other fees charged by RTA to Certification Bodies can be downloaded at: <https://assurance.redtractor.org.uk/who-we-are/how-we-are-funded>. Your Certification Body may change the annual Membership fee from time to time and will review the fee annually. Your Certification Body (or RTA) will notify you of any changes in the annual Membership fee.
45. You must pay any additional charges your Certification Body may impose for:
- visits to additional holdings/sites not close to the main holding or site;
  - visits to additional holdings/sites/vehicles notified to the Certification Body after any previous Assessment;
  - the assessor having to return, whether to complete an Assessment report where, through no fault of the assessor, it could not initially be completed in full, or to check that non-conformances have been rectified; and
  - any additional Assessments or spot checks required under any Special Conditions of Certification specified by RTA or your Certification Body, as contemplated in Rule 43.
46. For milk producer members, some fees may be met by your milk purchaser. You should contact your milk purchaser for further details.
47. You are responsible for any external third party fees required to meet the requirements of the Standards (for example, Quarterly Veterinary Reports

on farms certified to the RTA Pig Standards) and any Special Conditions of Certification specified under Rule 43.

48. You are responsible for any costs you incur in meeting the Standards and rectifying non-conformances.
49. If you fail to pay any fees required to be paid by you under these Rules, RTA or your Certification Body shall each be entitled to reject your Membership or renewal application or to suspend or withdraw certification for any relevant Enterprise(s).

#### **Suspending Certification**

50. Your Certification Body has the right to suspend your certification if:
- you unreasonably delay or refuse a routine Assessment, revisit or spot check;
  - circumstances on a relevant holding or site prevent the assessor from completing an Assessment in full;
  - major non-conformances (meaning for the purpose of these Rules a non-conformance to the Standards or breach of these Rules which is treated as a 'major' non-conformance by assessors at the time of the relevant Assessment);
  - an excessive number of non-conformances are found during an Assessment;
  - the same non-conformance is found on successive Assessment visits;
  - you fail to rectify any non-conformance within a specified timescale;
  - you fail to comply with these Rules or the Standards;
  - evidence which your Certification Body or RTA reasonably believes to be reliable is received from a third party, indicating that you are not conforming to the Standards to a material extent; or
  - being a milk producer member of the Red Tractor Dairy Farm Assurance Scheme, the dairy purchaser membership of that scheme of the dairy purchaser to whom you supply milk is suspended (cattle only).

If your certification has been suspended and you do not take the necessary action to rectify any notified non-conformance(s) within 3 months of such suspension, your certification may be withdrawn with immediate effect by written notice served by your Certification Body, whereupon your Membership will be automatically terminated.

#### **Termination of Membership and Withdrawing Certification**

51. Each of RTA and your Certification Body may refuse applications or impose particular conditions for re-entry into the Scheme where an application relates to an Enterprise, business, holding, site, store and/or vehicle in respect of which certification has previously been withdrawn in accordance with these Rules (and even if all relevant non-conformances have been rectified).
52. RTA and the Certification Bodies will not accept a Membership or renewal application (including from a new applicant) if the application relates to an Enterprise, business, holding, site, store or vehicle in respect of which Membership or certification conditions or other restrictions or sanctions have been imposed under these Rules and remain outstanding or in place (as the case may be), unless it can be demonstrated to the satisfaction of RTA and the Certification Body that the Applicant Business is not connected to the Member subject to those conditions, restrictions or sanctions (other than being connected purely in terms of operating from the same holding, site, store or vehicle) and there are no other reasons for refusing such an application.
53. Each of your Certification Body and RTA may, in its absolute discretion, refuse an application for Membership, and/or your Certification Body may withdraw certification (whether for a particular Enterprise or for all Enterprises carried out by you) and/or RTA may, by written notice, immediately terminate your Membership:
- if you fail to comply with these Rules or the Standards;
  - if the Certification Body or RTA considers that it is necessary to do so to prevent RTA or the Scheme from being brought into disrepute;
  - if any act or omission by you or any of your Associates (i) has brought or may, in the reasonable opinion of the Certification Body or RTA, bring RTA or the Scheme into disrepute or (ii) has damaged or may, in the reasonable opinion of the Certification Body or RTA, damage the integrity of the Standards;
  - if, notwithstanding the rights under Rule 26.b, an assessor, employee or officer of the Certification Body or RTA feels threatened or that he has been subjected to abusive behaviour by you or any of your Associates;
  - if, without prejudice to Rules 53.a, b, c and d, a material breach by you of these Rules (including a major non-conformance to the Standards) which is not capable of being remedied has occurred or a material breach by you of these Rules (including a major non-conformance to the Standards) which is capable of remedy has not been remedied within 30 days (or such other period as RTA or your Certification Body notify you in the case of a major non-conformance of the Standards) after service of a written notice requiring it to be remedied;
  - if you are still categorised as high risk following two consecutive unannounced spot checks as a result of our risk based approach to inspections;
  - upon your voluntary or compulsory bankruptcy or liquidation, the

appointment of a receiver, liquidator, administrative receiver, administrator or other such officer over any of your assets, or your entry into any composition or arrangement with your creditors;

- h. if you are subject to a change of control ("control" being defined as in the Income and Corporation Taxes Act 1988) which, in the reasonable opinion of RTA or the Certification Body, is likely to have a detrimental effect on the integrity, goodwill or reputation of RTA, the Certification Body, the Standards or the Member Logos;
- i. in the event of an act of gross negligence or fraud on your part or the part of any of your Associates;
- j. if, after your certification has been suspended under Rule 50, you do not take the necessary action to rectify notified non-conformances within 3 months of such suspension;
- k. if you fail to pay any amount owing to RTA or your Certification Body under these Rules;
- l. if you, any of your Associates, any person involved in the day to day management of an Enterprise, holding, site, store or vehicle within your certification or any other person involved with livestock in relation to any such Enterprise, holding, site, store or vehicle, is banned from keeping livestock;
- m. if, being a milk producer member of the Red Tractor Dairy Farm Assurance Scheme, the dairy purchaser membership of that scheme of the dairy purchaser to whom you supply milk is terminated (cattle only); or
- n. if RTA ceases to operate the Scheme.

For the avoidance of doubt, if your certification is withdrawn in respect of all relevant Enterprises, you shall automatically cease to be a Member.

#### **Termination of Membership by Member**

54. You may give notice in writing to terminate your Membership in the event of the voluntary or compulsory winding-up or liquidation of RTA, the appointment of a receiver, liquidator, administrative receiver, administrator or other such officer over any of the assets of RTA, or RTA entering into any composition or arrangement with its creditors.
55. You may at any time give notice in writing immediately to terminate your Membership. For the avoidance of doubt, this Rule 55 does not apply to milk producer members of the Red Tractor Dairy Farm Assurance Scheme.

#### **Indemnity and Inadequacy of Damages**

56. You undertake to indemnify RTA and your Certification Body against all liabilities, costs, expenses, damages or losses (including any direct or indirect loss of profit, loss of business, loss of goodwill, loss of reputation or consequential loss, and all interest, penalties and legal and other professional costs and expenses) suffered or incurred by RTA or your Certification Body arising out of or in connection with any event or circumstance referred to in Rules 53.a to 53.m (inclusive) or any other breach by you of these Rules.
57. Without prejudice to any other rights or remedies that RTA or the Certification Body may have, you acknowledge and agree that damages alone may not be an adequate remedy for any breach by you of these Rules. Accordingly, RTA and the Certification Body shall be entitled, without proof of special damages, to the remedies of injunction, specific performance or other equitable relief for any threatened or actual breach of these Rules.

#### **Prosecutions, Regulatory Sanctions and Third Party Evidence**

58. You must notify your Certification Body of any prosecution that has at any time been brought or is or is likely to be brought against you or any of your Associates, or in relation to any Enterprise, business, site, holding, store or vehicle owned or occupied by you or any of your Associates or referred to in a Membership or renewal application, which relates to any issues covered in the Standards, including food safety, product traceability, animal health, animal welfare, animal identification and movements, veterinary medicine records, trade description, animal transport, environmental legislation or relevant consumer protection legislation.
59. For the purpose of Rule 58, 'prosecution' shall include, in respect of farms, any penalty relating to Cross-Compliance requirements that directly relates to issues covered in the Standards.
60. You will be asked to sign a declaration relating to prosecutions and penalties in the initial Membership application form, renewal forms and other Scheme documents. Any information relating to such matters received by RTA or your Certification Body will be investigated and appropriate action taken.

#### **Confidentiality and Data Protection**

61. Your details will be treated in confidence save as set out below, and your personal data will be treated in accordance with the terms of our Privacy Policy. RTA and your Certification Body may however provide to any third parties who have a legitimate interest in knowing the same (including your customers and suppliers), details of your certification status (being full, suspended, withdrawn or a non-member), the date of your last Assessment, your certification expiry date and your certification renewal date. This information may be made available through the on-line Scheme Member Checker and lists of certified, suspended and/or withdrawn Members may be published by RTA from time to time.
62. If a matter arises which is or could constitute or lead to an infringement of any laws or regulations relating to the scope of Red Tractor either (a) by you or any of your Associates or (b) which is connected directly or indirectly

to you, your Membership or any Enterprise, you agree that any relevant regulatory or administrative body or agency, including the Food Standards Agency, the National Food Crime Unit, the Animal and Plant Health Agency, Local Authorities, the Rural Payments Agency, the Environment Agency, Natural England, any equivalent bodies in devolved regions of the UK and any of their respective successor bodies or agencies and any third party acting in good faith on their behalf or in their interests, shall be entitled to provide to RTA and/or your Certification Body any reports or documentation produced by such body, agency or third party in respect of the relevant matter (whether or not requested by RTA or your Certification Body) and that each of RTA and your Certification Body may request such reports and documentation from such bodies, agencies and third parties. Each such body, agency and third party shall be entitled to rely on your consent under this Rule 62 to the disclosure of such reports and documentation to RTA and/or your Certification Body.

63. Without limiting Rules 61 and 62, each of RTA and your Certification Body may transfer data and information about your certification and Membership to their respective successors, any transferee(s) of their respective businesses and any new operator(s) of all or part of the Scheme, provided such transferee(s) shall have the same rights and obligations with regard to such data and information as the relevant transferor(s).
64. RTA shall be entitled to produce and publish statistical reports drawing upon aggregated Scheme data, provided that individual performance data cannot be traced back to you.
65. Membership data may be retained on RTA's databases (subject always to the provisions of Rules 61 to 64) for ten years after you have ceased to be a Member (or such longer period as RTA reasonably considers necessary to enable it effectively to monitor trends in membership and performance).
66. RTA is entitled to receive copies of Assessment reports from your Certification Body.
67. In exceptional circumstances, where an assessor comes across evidence of an immediate and significant risk of unacceptable animal welfare practices, food contamination or environmental pollution, RTA and your Certification Body may each immediately notify any competent authorities, notwithstanding any other provision of these Rules or any other agreement you may have with either RTA or your Certification Body.

#### **Member Logos**

68. Using the Member Logos: For so long as you are a Member, you are entitled to indicate that you hold a certificate of conformity to the relevant Standards and, for that purpose only, you may refer to your operations (but only insofar as they are covered by your certificate of conformity) as 'Red Tractor Assured' and (subject always to Rules 69 to 73) use the appropriate Member Logo(s) on stationery and publicity materials (including on your website) provided that you follow all directions on the use of the Member Logos given from time to time by RTA or set out on the RTA Website at <https://assurance.redtractor.org.uk/standards/member-rules>. You agree to observe all such directions.
69. Farm Shops, Farmers Markets and food/drink product sales via all other channels, such as meat/vegetable/fruit box schemes, including online sales and use of Red Tractor supplied marketing materials: Subject always to Rule 70, if you sell your own Red Tractor Assured meat, fruit or vegetable food or drink products to the public, whether through your own farm shop, or at farmers markets or other events, or via any other channels, including online sales of meat/vegetable/fruit boxes via your website/apps, you are, for so long as you are a Member, allowed to use the appropriate Member Logo(s) in your shop, or on your market/fair stall, or within the content of your websites/apps for online sales which describes your Red Tractor Assured farm operations only and provided always that:
  - a. the relevant Member Logo(s) are only used in your own farm shop or on such stall in relation to Red Tractor Assured meat, fruit and vegetable food or drink products of your own farm and no other products (and the Member Logo(s) must be positioned carefully so that the public is not misled into thinking that those other products are Red Tractor Assured);
  - b. Member Logo(s) used in your website/apps are only used to describe your Red Tractor Assured farm operations and are not used to describe any products or displayed next to or in connection any product or price lists;
  - c. you follow all directions on the use of the Member Logos which may from time to time be given by RTA or set out on the RTA Website at <https://assurance.redtractor.org.uk/contentfiles/files/SchemeLogoRules.pdf>;
  - d. if you are a milk producer member and sell raw cows' or goats' milk to the public, you may not use the Member Logo denoting your membership of the Red Tractor Dairy Farm Assurance Scheme or Red Tractor Dairy Goats Farm Assurance Scheme (or use any other version of the Red Tractor logo or make any Red Tractor Assurance Claim) in any way whatsoever (including in relation to any marketing materials, whether your own or those supplied by RTA); and
  - e. if you are milk producer member and do not sell raw milk to the public, provided you comply with Rule 68, you may use the Member Logo denoting your membership of the Red Tractor Dairy Farm Assurance Scheme on your stationery and publicity materials (including on your website).

In addition, for so long as you are a Member (other than a milk producer who sells raw milk to the public) you may use marketing materials supplied by RTA to help promote the Scheme provided that you not do so in a way which might mislead the public in relation to the scope of your Red Tractor Assured products or activities or in a way which ties such materials to actual products.

70. Restriction on using the Member Logos or Red Tractor logo on food products, including on product packaging (including any meat/vegetable/fruit boxes or milk cartons or bottles): You may not use or print any Member Logos or any other version of the Red Tractor logo on, or apply any such logo or a Red Tractor Assurance Claim to, food packs (including any packaging such as meat/vegetable/fruit boxes, milk cartons or bottles) or use any such logo or make a Red Tractor Assurance Claim in connection with the sale of food or drink products (including online sales) unless you have a processors and packers licence issued by RTA. To apply for a processors and packers licence, go to <https://trade.redtractor.org.uk/apply-for-a-red-tractor-license/>.
71. The rights to use Member Logos under Rules 68 and 69 are limited to using the entire designation and in an identical form or forms to that or those directed by RTA from time to time. These rights are personal to you as a Member and may not be assigned, transferred or sub-licensed to any other person.
72. As a Member, you shall not use (or authorise or license others to use) the Member Logos and/or the Red Tractor logo or name in any way other than as expressly permitted in these Rules (or in a processors and packers licence granted to you) and you shall not use or authorise or license any other person to use any name, mark, sign or device which is or could reasonably be regarded as similar to the Member Logos and/or the Red Tractor logo or name; nor shall you file or cause to be filed any application for any trade mark or certification mark which is or could reasonably be regarded as similar to the Member Logos and/or the Red Tractor logo; nor shall you register or attempt to register any company in a name which is or could reasonably be regarded as similar to any of the names 'Red Tractor', 'Red Tractor Assurance', 'Red Tractor Assured', 'RTA', 'Assured Food Standards' or 'AFS'. You will not oppose or cause any oppositions to be filed to any trade mark or certification mark applications filed by or on behalf of RTA, nor object to or take any adverse action in respect of any of RTA's trade mark or certification mark registrations; nor will you register or attempt to register the Member Logos and/or the Red Tractor Logo or name, or any name, mark, sign, or device which is or could reasonably be regarded as similar to the Member Logos and/or the Red Tractor logo or name, anywhere in the world or otherwise cause any question to be raised concerning RTA's ownership of the Member Logos or the Red Tractor logo or name, or the validity or enforceability of such rights; nor will you do or say anything that could damage the goodwill and/or reputation of RTA, the Scheme Logos or the Red Tractor logo.
73. Your right to use any Member Logo and/or the Red Tractor name in accordance with these Rules:
  - a. may be terminated by RTA on one month's written notice;
  - b. may be terminated by RTA immediately on written notice if you fail to observe the provisions of these Rules or the directions of RTA with regards to the use of such logos;
  - c. will automatically terminate in so far as use of such logos on products is concerned if and for so long as your certification has been suspended; and
  - d. will automatically terminate if your certification has been withdrawn or your Membership is terminated for any reason or has expired (and is not renewed in accordance with Rule 29).

#### Limitation of Liability

74. Nothing in these Rules shall limit or exclude the liability of RTA or any Certification Body or any of their respective officers, employees, agents or subcontractors for (a) death or personal injury caused by the negligence of any such person; or (b) fraud or fraudulent misrepresentation.
75. Subject to Rule 74:
  - a. neither RTA nor any Certification Body, nor any of their respective officers, employees, agents or subcontractors, shall under any circumstances be liable, whether in contract, tort (including negligence), breach of statutory duty or otherwise, for any loss of profit, loss caused by business interruption, or any indirect or consequential loss suffered or incurred by a Member and arising under or in connection with the Scheme (including in relation to the administration of the Scheme, the carrying out of Assessments, any rejection of a Membership or renewal application, any suspension or withdrawal of certification or any termination of Membership);
  - b. neither RTA nor any Certification Body, nor any of their respective officers, employees, agents or subcontractors, shall under any circumstances be liable, whether in contract, tort (including negligence), breach of statutory duty, or otherwise, for any losses, liabilities, damages, charges, costs or expenses of whatever nature, suffered or incurred by a milk producer member as a result of or in connection with the purchaser of that milk producer member's milk having its dairy purchaser membership of the Red Tractor Dairy Farm

Assurance Scheme suspended or terminated in accordance with the Dairy Purchaser Membership Rules;

- c. the total liability to any Member of RTA and its officers, employees and agents in respect of all losses, liabilities, damages, charges, costs or expenses of whatever nature, suffered or incurred by that Member and arising under or in connection with the Scheme (including in respect of the administration of the Scheme, the carrying out of Assessments, any rejection of a Membership or renewal application, any suspension or withdrawal of certification or any termination of Membership), and whether in contract, tort (including negligence), breach of statutory duty or otherwise, shall not exceed £300,000 in aggregate in respect of all and any such claims arising in any period of 12 months ending on 31 March (provided that for this purpose a claim will be deemed to have arisen on the date on which the event, act or omission giving rise to such losses, liabilities, damages, charges, costs or expenses occurred); and
  - d. you shall not be able to recover from both RTA and your Certification Body for the same loss.
76. RTA is not a party to the contract you must enter with your Certification Body to govern its Assessment of your conformance (or non-conformance) to the Standards. Subject to Rule 74, neither RTA nor any of its officers, employees or agents shall under any circumstances be liable, whether in contract, tort (including negligence), breach of statutory duty or otherwise, for any acts or omissions of your Certification Body or its assessors or other agents in connection with that contract or the services proposed to be carried out under it by your Certification Body.
  77. The Member shall not be entitled (whether under these Rules and/or in respect of any other membership or licence the Member may have with RTA) to recover damages, or obtain payment, reimbursement, restitution or indemnity more than once in respect of the same loss, shortfall, damage, deficiency, breach or other event or circumstance.

#### Variation of Rules and Standards

78. RTA shall be entitled at any time to change and update the Standards and these Rules. You will be given notice of any the specific changes made to the Standards and when they will come into effect. When changes are made to the Rules, we will give you notice of that fact and confirm in such notice when the amended Rules will come into effect and how you can view and download or otherwise obtain the amended Rules. RTA and each Certification Body shall each be entitled at any time to change its operating procedures where, in its absolute discretion, it considers it necessary to do so. You will be given advance written notice of any such changes to operating procedures and of when they will come into effect.

#### Force Majeure

79. RTA shall not be liable to a Member if any delay or failure by RTA or its employees, officers, agents or independent contractors to perform their obligations under these Rules or any related agreement is the result of a Force Majeure Event. For the purpose of this Rule, 'Force Majeure Event' means an event beyond the reasonable control of RTA (or its employees, officers, agents or independent contractors) including acts of God, flood, drought, earthquake or other natural disaster, epidemic or pandemic, terrorist attack, civil war, civil commotion or riots, war, threat of or preparation for war, armed conflict, nuclear, chemical or biological contamination or sonic boom, any law or any action taken by a government, local or public authority, collapse of buildings, fire, explosion or accident, interruption or failure of a utility service.

#### No Waiver

80. A waiver of any right of RTA under these Rules is only effective if it is in writing and shall not be deemed to be a waiver of any subsequent breach or default. No failure or delay by RTA in exercising any right or remedy under these Rules or by law shall constitute a waiver of that or any other right or remedy, nor preclude or restrict its further exercise. No single or partial exercise of such right or remedy shall preclude or restrict the further exercise of that or any other right or remedy.

#### Notices

81. A notice given under or in connection with these Rules shall be in writing and:
  - (a) in the case of a notice to RTA, sent by email to [Memberhelp@redtractor.org.uk](mailto:Memberhelp@redtractor.org.uk) or by first class post to RTA's registered office from time to time; and
  - (b) in the case of a notice to the Member, sent by email or first class post to the email or postal address set out in the Membership or renewal application (or such replacement email or postal address as may subsequently have been notified in writing to RTA) and, in the absence of any such addresses, by post to its registered office (in the case of a company) or last known business address in any other case.
82. A notice shall be deemed to be delivered by 9.00am on the second business day (excluding weekends and bank holidays) after posting in the case of first class post and on sending in the case of email.

### Complaints and Appeal Procedure

83. If you wish to raise a formal complaint (such as a formal expression of dissatisfaction about RTA's personnel, services, decisions, contractors or the services of your Certification Body), you should supply details of the complaint and evidence supporting it in accordance with RTA's complaints procedure, a copy of which will be provided upon request (provided that where your complaint relates to an RTA decision or to the outcome of any appeal to your Certification Body your complaint must be sent to RTA within 14 days after the date of the RTA decision or (as the case may be) within 14 days after you have been notified of the outcome of the appeal to your Certification Body).
84. If you are not satisfied with the way your application, Assessment or any certification decision has been conducted, you may lodge an appeal in writing with your Certification Body within such period as your Certification Body may stipulate from time to time. All such appeals will be investigated and dealt with in accordance with the Certification Body's appeal procedure or, if so directed, RTA's appeal procedure. Copies of the relevant appeal procedure will be provided by your Certification Body (or RTA as appropriate) upon request.
85. Decisions taken by RTA to terminate your membership at any time are subject to a right to appeal in accordance with RTA's appeal procedure (which requires you to appeal within 14 days after the date of the RTA decision). Copies of the RTA appeal procedure will be provided by RTA upon request.

### Entire Agreement, Third Party Rights and Governing Law

86. These Rules and the documents specifically referred to in these Rules as being available via the RTA Website (including the Standards) represent the entire understanding between you and RTA in relation to your Membership. You acknowledge that you have not relied upon any statement from RTA (written or oral) which is not contained in these Rules (or such documents) in applying to be a Member or renewing your Membership.
87. Subject to the rights of the bodies, agencies and third parties referred to in Rule 62 and to the rights of Certification Bodies as referred to in Rule 88, these Rules are not intended to benefit, or be enforceable by, anyone other than RTA and each Member.
88. Where a Certification Body is referred to in these Rules, the relevant Certification Body shall be able to enforce the rights expressly or impliedly ascribed to it to the fullest extent permitted by law. Furthermore, any successor to RTA (or any transferee of the business of RTA or of RTA's rights under and in relation to the Scheme) shall be entitled to enforce RTA's rights under these Rules and any successor to your Certification Body (or any transferee of the business of your Certification Body) shall be entitled to enforce your Certification Body's rights under these Rules.
89. These Rules and any disputes or claims arising out of or in connection with their subject matter or formation are governed by and shall be construed in accordance with English law. The courts of England have exclusive jurisdiction to settle any dispute or claim that arises out of or in connection with these Rules or their subject matter or formation.







# CERTIFICATION BODIES

Your routine point of contact with the Scheme is through your certification body.

Certification bodies are licensed by Red Tractor to manage membership applications and to carry out assessment and certification against the standards. The table below shows which certification bodies apply to each enterprise.

Certification Body	Beef and Lamb	Dairy	Dairy Goats	Combinable Crops and Sugar Beet	Fresh Produce	Pigs	Poultry		
							Chickens	Turkey	Duck
NSF	4	4		4	4	4	4		
SAI Global	4	4	4	4	4		4	4	4
Lloyd's Register	4	4		4	4	4			
NIFCC (Northern Ireland)		4					4		
QWFC (Wales)		4							



n **NSF Certification**  
 Hanborough Business Park,  
 Long Hanborough, Oxford OX29 8SJ  
 T. 01993 885610  
 E. agriculture@nsf.org  
 www.nsf-foodeurope.com



n **SAI Global Assurance Services Ltd**  
 PO Box 6236, Milton Keynes MK1 9ES  
 T. 01908 249973  
 E. agrifood@saiglobal.com  
 www.saiglobal.com/assurance



n **Lloyd's Register**  
 6 Redheughs Rigg, Edinburgh EH12 9DQ  
 T. 0131 335 6643  
 E. redtractor-ca@lr.org  
 www.lr.org/uk



n **NIFCC [Northern Ireland]**  
 1A Lissue Walk, Lissue Industrial Estate  
 (East), Lisburn, Northern Ireland BT28 2LU  
 T. 028 9263 3017  
 E. info@nifcc.co.uk  
 www.nifcc.co.uk



n **QWFC [Wales]**  
 PO Box 8, Gorseland, North Road,  
 Aberystwyth SY23 2WB  
 T. 01970 636688  
 E. info@wlbp.co.uk  
 www.wlbp.co.uk





# BUILDING TRUST AND ADVANCING BRITISH AGRICULTURE FOR OVER TWO DECADES

In the wake of damaging food scares, Red Tractor was founded with a clear mission to rebuild public trust in the food produced by British farmers.

Since 2000, we have worked with consumers, our farmer members, food processors and retailers to create the UK's largest and most comprehensive food standards scheme.

Today, it is Britain's most-trusted food assurance scheme, with more than three-quarters of shoppers viewing it as independent and trustworthy.

The Red Tractor symbol is a hallmark of quality British food and drink that is easily recognised by shoppers and diners.

We are proud to work with 50,000 farmer members to produce food and drink to world-leading standards worth £14bn to the UK economy.

The progress we have made does not mean our journey is at an end. Red Tractor will always strive to support and advance British agriculture in producing food that is traceable, safe and farmed with care.