



**RED TRACTOR ASSURANCE LIVESTOCK AUCTION MARKET  
SELF ASSESSMENT CHECKLIST**

		Do you meet the requirements of the Standards?		
CODE	STANDARD			
	<b>LICENSING</b>			
<b>LI.a</b>	The site must be licensed by the relevant authority for the animal gatherings undertaken on-site			
<b>LI.b</b>	Gathering licence conditions must always be complied with			
<b>LI.b.1</b>	A documented licence implementation plan is available to staff and followed			
<b>LI.c</b>	Where sites are used for pig gatherings, specific conditions must be adhered to			
	<b>DOCUMENTS AND PROCEDURES</b>	<b>YES</b>	<b>NO</b>	<b>N/A</b>
<b>DP.a</b>	Sites must hold a copy of the Red Tractor Assurance – Market/Collection Centre standards			
<b>DP.b</b>	A plan for the effective management of serious incidents and potential emergency situations must be documented, kept up-to-date and implemented when necessary			
<b>DP.b.1</b>	A disease outbreak contingency plan must be documented, kept u-to-date, and implemented when necessary			
<b>DP.b.2</b>	Key plans are developed with input from key personnel			
<b>DP.b.3</b>	Staff have access to and an understanding of the plans relevant to them			
<b>DP.b.4</b>	The contact details of key personnel are displayed appropriately to enable the reporting of issues and emergencies			
<b>DP.c</b>	Systems must be in place for the recording, investigating and resolution of any complaints received that are relevant to the requirements of the Standards			
	<b>STAFF AND LABOUR PROVIDERS</b>	<b>YES</b>	<b>NO</b>	<b>N/A</b>
<b>SC.a</b>	Systems must be in place to ensure that all staff are effectively trained and deemed competent to carry out the activities that they are employed to do			
<b>SC.a.1</b>	Temporary staff, used at seasonal sales are experienced, competent, and inducted to their task			
<b>SC.b</b>	The performance and competence of staff must be regularly reviewed, and refresher training implemented as required			
<b>SC.c</b>	Records of staff training, and performance reviews must be kept			
<b>SC.d</b>	There must be enough competent staff on-site to care for and handle livestock			
	<b>TRACEABILITY, ASSURANCE STATUS AND TRADING STATUS</b>	<b>YES</b>	<b>NO</b>	<b>N/A</b>
<b>TA.a</b>	The site must have a fully operational and demonstrably effective traceability system, from supplier through to customer			



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<b>TI.a.1</b>	The system must include a complete record of movements of livestock, on to and off the site			
<b>TA.a.2</b>	Traceability documentation for all livestock is received and reviewed to ensure it is complete			
<b>TA.a.3</b>	Livestock movements must be registered to national databases within the required timeframe			
<b>TA.a.4</b>	Traceability documentation is provided to the next link in the chain			
<b>TA.b</b>	Incoming livestock must be identified, in accordance with legislation, when they arrive on-site			
<b>TA.b.1</b>	Errors in livestock identification or movement documentation are investigated and acted upon			
<b>TA.c</b>	A system must be in place that enables vendors to declare key information, relevant to assurance, health, and welfare			
<b>TA.c.1</b>	Relevant information must be notified to potential buyers			
<b>TA.d</b>	Where livestock is claimed to be assured, systems must be in place to verify the assurance requirements behind the claim			
<b>TA.e</b>	Systems must be in place to ensure assured livestock are identifiable from non-assured livestock			
<b>TA.f</b>	All persons involved in delivering the traceability requirements must be demonstrably competent			
<b>BIOSECURITY AND DISEASE CONTROL</b>		<b>YES</b>	<b>NO</b>	<b>N/A</b>
<b>BD.A</b>	A Biosecurity Officer with overall responsibility for site biosecurity, must be appointed			
<b>BD.a.1</b>	The Biosecurity Officer must be trained and demonstrably competent			
<b>BD.b</b>	A biosecurity plan must be documented and implemented			
<b>BD.c</b>	Biosecurity risks posed by staff must be identified and minimised			
<b>BD.c.1</b>	Staff wear clean boots and outer clothing at the start of each gathering			
<b>BD.d</b>	Facilities to cleanse and disinfect boots and wash hands must be made available to all site users			
<b>BD.d.1</b>	Boot cleaning facilities must be maintained and provide effective disinfection			
<b>BD.e</b>	Public access to the livestock and loading areas must be restricted			
<b>BD.f</b>	Systems must be in place to prevent contact between the livestock on-site and other livestock			
<b>BD.g</b>	All vehicles that unload livestock at the site must be cleansed and disinfected after use			
<b>BD.h</b>	A vehicle wash facility must be available and useable during all times of the year the site is in operation			
<b>BD.h.1</b>	The wash facility must be well-managed and maintained			
<b>BD.h.2</b>	The wash facility must be of suitable design to allow effective cleansing of vehicles			
<b>BD.h.4</b>	Suitable, functioning equipment must be available for use at the wash facility			
<b>BD.h.5</b>	A supply of water must be available for use at the wash facility			
<b>BD.h.6</b>	Suitable disinfectants must be available for use along with application equipment at the wash facility			
<b>BD.i</b>	Suitable disinfectants must be used to disinfect the site, vehicles, and boot disinfecting facilities			



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<b>BD.j</b>	Animal derived wastes must be stored appropriately pending disposal			
<b>BD.j.1</b>	Animal derived wastes must be disposed of appropriately			
<b>BD.j.2</b>	Fallen stock must be stored appropriately pending prompt disposal via an approved method			
<b>BD.j.3</b>	All other wastes must be disposed of in a manner that minimises the risk of contamination or pollution			
	<b>SITE CLEANLINESS</b>	<b>YES</b>	<b>NO</b>	<b>N/A</b>
<b>CL.a</b>	The site must be regularly cleansed and disinfected			
<b>CL.a.1</b>	Records of cleansing and disinfection must be kept			
<b>CL.a.2</b>	All persons who undertake site cleansing and disinfection must be demonstrably competent			
<b>CL.b</b>	Dedicated, functioning washing equipment is available and used to cleanse and disinfect the site			
<b>CL.c</b>	A supply of water must be available for use			
	<b>SITE MANAGEMENT AND CONSTRUCTION</b>	<b>YES</b>	<b>NO</b>	<b>N/A</b>
<b>MC.a</b>	The site is well managed and of a clean and tidy appearance			
<b>MC.b</b>	Effective system must be in place to control vermin on-site			
<b>MC.c</b>	Systems must be in place to ensure that those delivering livestock have an awareness of arrival and unloading procedures			
<b>MC.d</b>	All facilities livestock have access to are suitably designed, maintained, and constructed to provide a suitable environment for livestock			
<b>MC.e</b>	Suitably designed and constructed loading facilities must be provided			
<b>MC.f</b>	Floors which livestock have access to must be suitably designed, constructed, and maintained to minimise the risk of slipping, falling or injury and allow effective cleansing			
<b>MC.g</b>	Livestock areas have sufficient lighting to allow normal behaviours, effective handling, and inspection			
<b>MC.h</b>	Livestock must be kept in conditions that provide appropriate thermal conditions			
<b>MC.i</b>	Facilities must be available for the segregation of sick or injured livestock			
<b>MC.j</b>	Handling and inspection facilities must always be available for use			
<b>MC.k</b>	A system must be in place to ensure maintenance issues are identified and rectified			
	<b>ANIMAL HEALTH AND WELFARE</b>	<b>YES</b>	<b>NO</b>	<b>N/A</b>
<b>AW.a</b>	A system must be in place to check the health and welfare of all livestock that come onto site			
<b>AW.a.1</b>	A system must be in place to check the health and welfare of all livestock regularly whilst the livestock are on-site			
<b>AW.b</b>	Prompt appropriate action must be undertaken in the event of an animal arriving unfit or becoming unfit to travel whilst on-site			



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<b>AW.b.1</b>	Staff understand the actions to be undertaken in the event of an animal arriving unfit or becoming unfit to travel whilst on-site			
<b>AW.b.2</b>	Livestock that require emergency killing must be dealt with immediately by a competent person according to the site's documented procedure			
<b>AW.c</b>	Dairy cows with overstocked udders must be milked prior to onward consignment			
<b>AW.c.1</b>	Milking equipment must be maintained and tested			
<b>AW.d</b>	Livestock must be held on-site for the minimum time possible			
<b>AW.e</b>	Livestock must be handled appropriately for their species behaviour			
<b>AW.e.1</b>	Handling aids used on-site must be suitable for the species and used appropriately			
<b>AW.f</b>	Livestock must be grouped appropriately to avoid bullying, injury, or distress			
<b>AW.f.1</b>	Fractious livestock must be penned and handled appropriately to minimise distress and the risk of injury			
<b>AW.g</b>	Systems must be in place to ensure livestock are penned with sufficient space allowances to ensure animals are not overcrowded			
<b>AW.g.1</b>	Livestock space allowances are increased as needed to safeguard welfare			
<b>AW.h</b>	Additional provisions are given to specific categories of livestock to deliver their welfare needs			
<b>AW.i</b>	Facilities for providing fresh, clean drinking water to livestock must be available and provided as necessary to meet welfare needs			
<b>AW.j</b>	Suitable feed must be available and provided as necessary to meet welfare needs			
<b>AW.j.1</b>	Where feed is provided, it must be provided in a way that enables all livestock to take sufficient feed			
<b>AW.j.2</b>	Feed purchase records must be kept			
<b>AW.k</b>	An Animal Welfare Officer (AWO) with overall animal welfare responsibility, must be appointed			
<b>AW.k.1</b>	The AWO must be appropriately trained and demonstrably competent			
<b>AW.k.3</b>	Deputies appointed to assist the AWO on sites with high throughputs/frequent gatherings must be appropriately trained			
<b>AW.l</b>	All staff involved in the care, handling and management of livestock on-site must be demonstrably competent			
<b>AW.l.1</b>	Staff involved in the care, handling and management of livestock are given regular training updates			
<b>AW.m</b>	The AWO must maintain a record of all welfare incidents that occur on-site			
<b>AW.m.1</b>	Welfare incidents must be investigated, and appropriate corrective action implemented			
<b>AW.m.2</b>	A record of the investigation, findings and any corrective action undertaken must be recorded and reviewed annually			



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<b>OVERNIGHT AND FIELD LAIRAGING</b>		<b>YES</b>	<b>NO</b>	<b>N/A</b>
<b>OL.a</b>	The time livestock spend on-site must be kept to a minimum			
<b>OL.b</b>	Areas or pens used for overnight lairage must be suitable			
<b>OL.c</b>	Livestock must be provided with fresh drinking water and feed			
<b>OL.d</b>	Suitable livestock feed must always be available on-site if overnight lairaging is a routine practice			
<b>OL.d.1</b>	Feed must be provided in a way that enables all livestock to take sufficient feed			
<b>OL.e</b>	Regular checks must be made on livestock and any issues identified acted upon			
<b>OL.f</b>	Field lairages must be equipped with appropriate facilities to deliver welfare needs			
<b>OL.f.1</b>	Field lairages must be effectively managed			
<b>RECOMMENDATIONS (the following do not affect your certification)</b>		<b>YES</b>	<b>NO</b>	<b>N/A</b>
<b>BD.c.2</b>	It is recommended that staff do not have contact with pigs outside of the market or collection centre environment			
<b>BD.g.1</b>	It is recommended that vehicles that unload pigs at the site must be cleansed and disinfected on-site <b><u>NB Upgraded to full standard from October 2017</u></b>			
<b>BD.h.3</b>	It is recommended that during a gathering of pigs, there must be a system in place to ensure vehicles used to transport pigs can be cleansed and disinfected, without the risk of cross contamination from other vehicles <b><u>NB Upgraded to full standard from October 2017</u></b>			
<b>BD.h.7</b>	It is recommended that sufficient wash bays are provided			
<b>AW.k.2</b>	It is recommended that AWOs hold a formal AWO qualification			